

SOCKET MOBILE, INC.
Form 8-K
June 20, 2016

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

June 16, 2016

Date of Report

(Date of earliest event reported)

SOCKET MOBILE, INC.

(Exact name of registrant as specified in its charter)

Delaware

(State or other jurisdiction of incorporation)

001-13810

(Commission File Number)

94-3155066

(IRS Employer
Identification No.)

39700 Eureka Drive

Newark, CA 94560

(Address of principal executive offices, including zip code)

(510) 933-3000

(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 3.01. Transfer of Listing

On June 16, 2016, Socket Mobile, Inc. (the “Company”) received approval from the Listing Qualifications Department of The Nasdaq Stock Market confirming that the Company’s common stock would commence trading on the Nasdaq Capital Market on June 22, 2016. On that date, the Company’s listing will transfer from the OTCQB tier of the Over-the-counter (OTC) market. The Company’s common stock will continue to trade under the trading symbol SCKT.

The full text of the Company's press release on June 20, 2016 announcing listing approval from the Nasdaq Stock Market Listings Qualification Department and commencement of trading on the Nasdaq Capital Market on June 22, 2016 is furnished with this report as Exhibit 99.1.

Item 9.01. Financial Statements and Exhibits

(d) Exhibits.

| <u>Exhibit No.</u> | Description |
|---------------------------|-----------------------------------|
| 99.1 | Press release dated June 20, 2016 |

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

SOCKET MOBILE,
INC.

Date: June 20, 2016 /s/ David W. Dunlap
Name: David W.
Dunlap

Vice President,
Finance and
Administration and
Chief Financial
Officer

EXHIBIT INDEX

Exhibit No. Description

99.1 Press release dated June 20, 2016

