

SNIVELY JOSHUA A
Form 4
March 20, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SNIVELY JOSHUA A

2. Issuer Name and Ticker or Trading Symbol
FLOTEK INDUSTRIES INC/CN/[FTK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
10603 W. SAM HOUSTON PKWY N., SUITE 300
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
03/16/2018

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
EVP Operations

HOUSTON, TX 77064

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/16/2018		A	(A) or (D) V Amount Price <u>(1)</u> 75,000 \$ 6.13	134,881	D	
Common Stock	03/16/2018		A	<u>(2)</u> 49,000 \$ 6.13	183,881	D	
Common Stock					132,503	I	

By Joshua A. Snively, Sr. and Heather B. Snively Revocable Trust ⁽³⁾

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- (1) Award grant of 75,000 shares at \$6.13 per share which vest ratably on December 31, 2018, 2019, and 2020.
- (2) Acquisition of 49,000 shares from the 2018 Performance Bonus Plan at the closing price of \$6.13 per share.
- (3) Mr. Snively is a trustee and beneficiary of the named trusts. Mr. Snively disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.
- (4) Mr. Snively, Sr.'s spouse is a trustee and beneficiary of the named trust. Mr. Snively, Sr. disclaims beneficial ownership of such shares except to the extent of his pecuniary interests therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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