

Edgar Filing: TOWER AUTOMOTIVE INC - Form 3

TOWER AUTOMOTIVE INC
Form 3
February 12, 2001

FORM 3

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

File pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person
David D. Krohn
c/o Tower Automotive, Inc.
5211 Cascade Road
Grand Rapids, Michigan 49546
2. Date of Event Requiring Statement (Month/Day/Year)
12/14/00
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Issuer Name and Ticker or Trading Symbol
Tower Automotive, Inc. TWR
5. Relationship of Reporting Person to Issuer (Check all applicable)
 Director 10% Owner
 Officer (give title below) Other (specify below)
- Leader, U.S. & Canada Region
6. If Amendment, Date of Original (Month/Day/Year)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)
2. Amount of Securities Beneficially Owned (Instr. 4)
3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)
4. Nature of Indirect Beneficial Ownership (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Responses)

(Over)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)
Employee Stock Option (Right to Buy) (1)
2. Date Exercisable and Expiration Date (Month/Day/Year)

Date Exercisable: 12/14/01 (1)

Expiration Date: 12/14/10
3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

Title Common Stock

Amount or Number of Shares 120,000
4. Conversion or Exercise Price of Derivative Security
\$7.5625
5. Ownership Form or Derivative Security: Direct (D) or Indirect (I)
(Instr. 5)
(D)
6. Nature of Indirect Beneficial Ownership (Instr. 5)

Explanation of Responses:

(1) The option vests in four annual installments beginning on 12/14/01 at a rate of 25% per year.

By: /s/ David D. Krohn 12/14/00
**Signature of Reporting Person Date

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, See Instruction 6 for procedure.