

Tobia Alfred Victor Jr.  
Form 4  
December 06, 2018

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Tobia Alfred Victor Jr.

2. Issuer Name and Ticker or Trading Symbol  
ACACIA RESEARCH CORP  
[ACTG]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
767 3RD AVENUE, 15TH FLOOR  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
11/19/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)

NEW YORK, NY 10017

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				(A) or (D)	Price			
Common Stock	11/19/2018		P	20,000	A \$ 3.014	221,664	I	By Sidus Investment Management, LLC <sup>(1)</sup>
Common Stock	11/20/2018		P	7,700	A \$ 2.9709	229,364	I	By Sidus Investment Management, LLC <sup>(1)</sup>
Common Stock	11/21/2018		P	1,499	A \$ 3.0057	230,863	I	By Sidus Investment Management, LLC <sup>(1)</sup>

Edgar Filing: Tobia Alfred Victor Jr. - Form 4

Common Stock	11/30/2018	P	3,000	A	\$ 3.1287	233,863	I	By Sidus Investment Management, LLC <sup>(1)</sup>
Common Stock	12/03/2018	P	2,500	A	\$ 3.098	236,363	I	By Sidus Investment Management, LLC <sup>(1)</sup>
Common Stock						177,957	I	By Sidus Investment Partners, L.P. <sup>(2)</sup>
Common Stock	11/20/2018	P	8,500	A	\$ 2.9709	495,735	I	By Sidus Double Alpha Fund, L.P. <sup>(3)</sup>
Common Stock	11/21/2018	P	3,000	A	\$ 3.0057	498,735	I	By Sidus Double Alpha Fund, L.P. <sup>(3)</sup>
Common Stock	11/30/2018	P	4,300	A	\$ 3.1287	503,035	I	By Sidus Double Alpha Fund, L.P. <sup>(3)</sup>
Common Stock	12/03/2018	P	5,300	A	\$ 3.098	508,335	I	By Sidus Double Alpha Fund, L.P. <sup>(3)</sup>
Common Stock	11/20/2018	P	3,800	A	\$ 2.9709	226,944	I	By Sidus Double Alpha Fund, Ltd. <sup>(4)</sup>
Common Stock	11/21/2018	P	847	A	\$ 3.0057	227,791	I	By Sidus Double Alpha Fund, Ltd. <sup>(4)</sup>
Common Stock	11/30/2018	P	2,700	A	\$ 3.1287	230,491	I	By Sidus Double Alpha Fund, Ltd. <sup>(4)</sup>
Common Stock	12/03/2018	P	2,200	A	\$ 3.098	232,691	I	By Sidus Double Alpha Fund, Ltd. <sup>(4)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: Tobia Alfred Victor Jr. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
---	---	---	---	---	---	--	---	---	--

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Code V (A) (D)				

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Tobia Alfred Victor Jr. 767 3RD AVENUE 15TH FLOOR NEW YORK, NY 10017	X			

## Signatures

Alfred Victor Tobia Jr.	12/06/2018
**Signature of Reporting Person	Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Shares of common stock held in an account for which Sidus Investment Management, LLC ("Sidus Management") serves as a sub-advisor (the "Managed Account"). The Reporting Person, solely by virtue of his position as a managing member of Sidus Management, the sub-advisor to the Managed Account, may be deemed to beneficially own the shares of common stock directly held in the Managed Account for purposes of Section 16 of the Securities Exchange Act of 1934, as amended ("Section 16"). The Reporting Person expressly disclaims beneficial ownership of such shares of common stock except to the extent of his pecuniary interest therein.

(2) Shares of common stock owned directly by Sidus Investment Partners, L.P. ("Sidus Partners"). The Reporting Person, solely by virtue of his position as a managing member of Sidus Management, the investment manager of Sidus Partners, may be deemed to beneficially own the shares of common stock directly held by Sidus Partners for purposes of Section 16. The Reporting Person expressly disclaims beneficial ownership of such shares of common stock except to the extent of his pecuniary interest therein.

(3) Shares of common stock owned directly by Sidus Double Alpha Fund, L.P. ("Sidus Double Alpha"). The Reporting Person, solely by virtue of his position as a managing member of Sidus Management, the investment manager of Sidus Double Alpha, may be deemed to beneficially own the shares of common stock directly held by Sidus Double Alpha for purposes of Section 16. The Reporting Person expressly disclaims beneficial ownership of such shares of common stock except to the extent of his pecuniary interest therein.

(4) Shares of common stock owned directly by Sidus Double Alpha Fund, Ltd. ("Sidus Double Alpha Offshore"). The Reporting Person, solely by virtue of his position as a managing member of Sidus Management, the investment manager of Sidus Double Alpha Offshore, may be deemed to beneficially own the shares of common stock directly held by Sidus Double Alpha Offshore for purposes of Section 16. The Reporting Person expressly disclaims beneficial ownership of such shares of common stock except to the extent of his pecuniary interest therein.

## Edgar Filing: Tobia Alfred Victor Jr. - Form 4

interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.