

Richmond David S.
Form 4
June 25, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Richmond David S.

2. Issuer Name and Ticker or Trading Symbol
AIR INDUSTRIES GROUP [AIRI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
3568 WILDWOOD AVENUE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
07/24/2017

____ Director 10% Owner
____ Officer (give title below) Other (specify below)

See Footnote 1

JACKSON, MI 49202

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Common Stock ⁽¹⁾ ₍₂₎	07/24/2017		P		1,633	A	\$ 1.4878
					3,130	⁽⁵⁾	
						I	
Common Stock ⁽¹⁾ ₍₂₎	09/21/2017		P		1,886	A	\$ 1.44
					6,859	⁽⁵⁾	
						I	

By:
Richmond Brothers
401(k) Profit Sharing Plan ⁽⁴⁾

By:
Richmond Brothers
401(k) Profit

Edgar Filing: Richmond David S. - Form 4

Common Stock <u>(1)</u> <u>(2)</u>	09/21/2017	P	2,464	A	\$ 1.44	9,323 <u>(5)</u>	I	Sharing Plan <u>(4)</u> By: Richmond Brothers 401(k) Profit Sharing Plan <u>(4)</u>
Common Stock <u>(1)</u> <u>(2)</u>	11/27/2017	P	1,685	A	\$ 1.3798	14,657 <u>(5)</u>	I	By: Richmond Brothers 401(k) Profit Sharing Plan <u>(4)</u>
Common Stock <u>(1)</u> <u>(2)</u>	11/27/2017	P	2,190	A	\$ 1.3798	16,847 <u>(5)</u>	I	By: Richmond Brothers 401(k) Profit Sharing Plan <u>(4)</u>
Common Stock <u>(1)</u> <u>(2)</u>	06/14/2018	P	10,944	A	\$ 1.6955	30,814 <u>(5)</u>	I	By: Richmond Brothers 401(k) Profit Sharing Plan <u>(4)</u>
Common Stock <u>(1)</u> <u>(2)</u>	06/14/2018	P	9,547	A	\$ 1.6955	40,361 <u>(5)</u>	I	By: Richmond Brothers 401(k) Profit Sharing Plan <u>(4)</u>
Common Stock <u>(1)</u> <u>(2)</u>	06/14/2018	P	7,281	A	\$ 1.6955	47,642 <u>(5)</u>	I	By: Richmond Brothers 401(k) Profit Sharing Plan <u>(4)</u>
Common Stock <u>(1)</u> <u>(2)</u>	06/14/2018	P	9,732	A	\$ 1.6955	57,374 <u>(5)</u>	I	By: Richmond Brothers

Common Stock ⁽¹⁾ <u>(2)</u>	15,333	I	401(k) Profit Sharing Plan ⁽⁴⁾ By: RBI Private Investment II, LLC ⁽³⁾
--	--------	---	--

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Benef Own Follo Repor Trans (Instr
---	--	---	---	--------------------------------------	--	--	---	---	--

Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
------	---	-----	-----	---------------------	--------------------	-------	--

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Richmond David S. 3568 WILDWOOD AVENUE JACKSON, MI 49202	X	See Footnote 1
RBI Private Investment II, LLC 3568 WILDWOOD AVENUE JACKSON, MI 49202		See Footnote 1
RBI PI Manager, LLC 3568 WILDWOOD AVENUE JACKSON, MI 49202		See Footnote 1
		See Footnote 1

Edgar Filing: Richmond David S. - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.