#### **FALCONSTOR SOFTWARE INC**

Form 4 March 18, 2014

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

**OMB APPROVAL** 

Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person *	2. Issuer Name and Ticker or Trading					
QUINN GARY	Symbol					
	FALCONSTOR SOFTWARE INC					
	[FALC]					

(Middle)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

C/O FALCONSTOR SOFTWARE,

(First)

INC., 2 HUNTINGTON **QUADRANGLE** 

3. Date of Earliest Transaction

(Month/Day/Year) 03/14/2014

Director X\_ Officer (give title below)

10% Owner Other (specify

President and CEO

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

MELVILLE, NY 11747

(City)	(State)	(Zip) Tabl	e I - Non-D	Derivative	Secui	rities Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	(Instr. 3,	spose 4 and (A) or	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/14/2014		Code V P	Amount 5,000	(D)	Price \$ 1.5294	605,000	D	
Common Stock	03/14/2014		P	3,500	A	\$ 1.529	608,500	D	
Common Stock	03/14/2014		P	5,000	A	\$ 1.5291	613,500	D	
Common Stock	03/14/2014		P	5,000	A	\$ 1.5292	618,500	D	
	03/14/2014		P	2,064	A	\$ 1.52	620,564	D	

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Common Stock							
Common Stock	03/14/2014	P	1,500	A	\$ 1.54	622,064	D
Common Stock	03/14/2014	P	2,936	A	\$ 1.539	625,000	D
Common Stock	03/14/2014	P	5,000	A	\$ 1.5491	630,000	D
Common Stock	03/14/2014	P	5,000	A	\$ 1.54	635,000	D
Common Stock	03/14/2014	P	5,000	A	\$ 1.5491	640,000	D
Common Stock	03/14/2014	P	5,000	A	\$ 1.5397	645,000	D
Common Stock	03/14/2014	P	4,800	A	\$ 1.5696	649,800	D
Common Stock	03/14/2014	P	200	A	\$ 1.54	650,000	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4. T	5.	6. Date Exer		7. Title		8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if		orNumber	Expiration D		Amoun		Derivative
Security	or Exercise		any	Code	of	(Month/Day	Year)	Underl		Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Securit	ies	(Instr. 5)
	Derivative				Securities	\$		(Instr. 3	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
					4, and 3)					
								1	Amount	
						Data	Evaluation	(	or	
						Date Exercisable	Expiration Date	Title 1	Number	
								(	of	
				Code V	(A) (D)				Shares	

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

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## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

QUINN GARY C/O FALCONSTOR SOFTWARE, INC. 2 HUNTINGTON QUADRANGLE MELVILLE, NY 11747

President and CEO

## **Signatures**

/s/ Seth Horowitz as Attorney-in-Fact

03/18/2014

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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