#### Edgar Filing: PROVECTUS BIOPHARMACEUTICALS, INC. - Form 3

PROVECTUS BIOPHARMACEUTICALS, INC.

Form 3 April 13, 2017

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement PROVECTUS BIOPHARMACEUTICALS, INC. À Rodrigues Dominic (Month/Day/Year) [PVCT] 04/03/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 7327 OAK RIDGE (Check all applicable) HIGHWAY,, SUITE A (Street) 6. Individual or Joint/Group X Director 10% Owner Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Person KNOXVILLE, TNÂ 37931 Form filed by More than One Reporting Person (City) (Zip) (State) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Ownership (Instr. 4) Beneficially Owned Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (Instr. 5) Â Common Stock 940,989 D Common Stock 112,700 I By spouse Common Stock 1,700 I As custodian for child Common Stock Ι As custodian for child 16,625 Ι Common Stock As custodian for child 3,775 Common Stock 1,600 I As custodian for child Common Stock By CAL Enterprises LLC (1) 242,000 I

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exer Expiration D (Month/Day/Year) Date Exercisable	Pate	Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect	6. Nature of Indirect Beneficial Ownership (Instr. 5)
				Shares		(I) (Instr. 5)	
Common Stock Warrants (right to buy)	(2)	06/19/2020	Common Stock	30	\$ 0.85	D	Â
Common Stock Warrants (right to buy)	(2)	06/19/2020	Common Stock	961	\$ 0.85	I	By CAL Enterprises LLC

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b></b>	Director	10% Owner	Officer	Othe		
Rodrigues Dominic 7327 OAK RIDGE HIGHWAY, SUITE A KNOXVILLE, TN 37931	ÂX	Â	Â	Â		

## **Signatures**

/s/ Dominic
Rodrigues

\*\*Signature of Reporting Person

O4/13/2017

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Reporting Person hereby disclaims ownership of these securities in excess of his pecuniary interest therein.
- (2) The common stock warrants are exercisable currently.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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