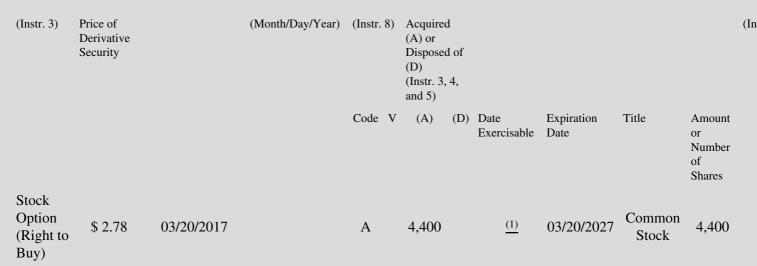
Pulmatrix, Inc.							
Form 4							
March 22, 2017							
FORM 4	О СТАТЕС СЕСІ	IDITIES ANI		COMMISSION	r	PPROVAL	
UNITE	Washington, D.C. 20549			COMMISSION	OMB Number:	3235-0287	
Check this box if no longer subject to Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exch					burden hou	Expires: January 31, 2005 Estimated average burden hours per response 0.5	
abligations	7(a) of the Public	Utility Holdin		of 1935 or Sectio	n		
(Print or Type Responses)							
1. Name and Address of Reportin Higgins Michael J	Symbo		C C	5. Relationship of Reporting Person(s) to Issuer			
	Pulma	trix, Inc. [PU	LIVI	(Cheo	k all applicabl	e)	
(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) C/O PULMATRIX, INC., 99 03/20/2017		saction	X_ Director 10% Owner Officer (give title Other (specify below) below)				
HAYDEN AVE					0010(1)		
(Street)		nendment, Date ( Ionth/Day/Year)	Original	<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
LEXINGTON, MA 02421				Person		· · · · · · · · · · · · · · · · · · ·	
(City) (State)	(Zip) Ta	ble I - Non-Deri	ivative Securities A	cquired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security2. Transaction Data (Month/Day/Year)(Instr. 3)		TransactionAc Code Di	sposed of (D) sstr. 3, 4 and 5) (A) or	Securities I Beneficially ( Owned (	5. Ownership Form: Direct D) or Indirect I) Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Report on a separate li	ne for each class of so			r indirectly			
Reminder. Report on a separate n	ne for each class of se			pond to the collect	tion of	SEC 1474	
			information conta required to respo	ained in this form and unless the for atly valid OMB cor	are not m	(9-02)	

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. I
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof Derivative	Expiration Date	Underlying Securities	Der
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Sec

## Edgar Filing: Pulmatrix, Inc. - Form 4



## **Reporting Owners**

	Relationships			
Reporting Owner Name / Address	Director	10% Owner	Officer	Other
Higgins Michael J C/O PULMATRIX, INC., 99 HAYDEN AVE LEXINGTON, MA 02421	Х			
Signatures				

/s/ Michael	
Higgins	03/22/2017
<u>**</u> Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). \*\*
- The option vests as to 25% on March 20, 2018 and the remaining 75% vests in thirty-six (36) equal monthly installments with 2.083% (1) vesting for each of the next thirty-six (36) months that follow March 20, 2018, subject to the terms and conditions of the Pulmatrix, Inc. Amended and Restated 2013 Employee, Director and Consultant Equity Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.