

ARC DOCUMENT SOLUTIONS, INC.
Form 4/A
March 08, 2017

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Suriyakumar Kumarakulasingam

2. Issuer Name and Ticker or Trading Symbol
ARC DOCUMENT SOLUTIONS, INC. [ARC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
02/16/2017

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman, President and CEO

C/O ARC DOCUMENT SOLUTIONS, INC., 1981 N. BROADWAY, SUITE 385

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)
02/21/2017

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

WALNUT CREEK, CA 94596

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | | | | | 2,520,664 | I | By Suriyakumar Family Trust (3) |
| Common Stock | 02/16/2017 | | A(1) | | 100,000 | A(2) | \$ 0 595,772 D |
| Common Stock | | | | | 500,000 | I | Shiyulli Suriyakumar 2013 Irrevocable |

| | | | |
|--------------|---------|---|------------------------------------------------------------------------------------------|
| Common Stock | 500,000 | I | Trust ⁽⁴⁾ Seiyonne Suriyakumar 2013 Irrevocable Trust ⁽⁵⁾ |
|--------------|---------|---|------------------------------------------------------------------------------------------|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Beneficially (Instr. 5) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|--------------------------------------------|------------------------------------------------------------------|
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|--------------------------------------------|------------------------------------------------------------------|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|----------------------------------------------------------------------------------------------------------------------------|---------------|-----------|-----------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Suriyakumar Kumarakulasingam C/O ARC DOCUMENT SOLUTIONS, INC., 1981 N. BROADWAY, SUITE 385 WALNUT CREEK, CA 94596 | X | | Chairman, President and CEO | |

Signatures

/s/ D. Jeffery Grimes,
Attorney-in-Fact

03/08/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of Restricted Stock Award as a discretionary bonus for 2016 performance, which vests 33.3% on each of the first three anniversaries of the grant date.
- (2) This Form 4 is being amended to show that the Stock Award granted to Mr. Kumarakulasingam on February 16, 2017 was on acquisition not a disposition.
- (3) Shares are held by The Suriyakumar Revocable Family Trust dated March 20, 1999, Kumarakulasingam Suriyakumar and Rushika A. Suriyakumar as Trustees.
- (4) Shares are held by the Shiyulli Suriyakumar 2013 Irrevocable Trust, Shiyulli Suriyakumar, as Trustee.
- (5) Shares are held by the Seiyonne Suriyakumar 2013 Irrevocable Trust, Seiyonne Suriyakumar, as Trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.