

Identiv, Inc.  
Form 4  
June 21, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Wenzel Daniel S.C.

(Last) (First) (Middle)

2201 WALNUT AVENUE, SUITE #100

(Street)

FREMONT, CA 94538

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Identiv, Inc. [INVE]

3. Date of Earliest Transaction  
(Month/Day/Year)  
05/31/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	05/31/2015		M	2,248 A	26,315	D	
Common Stock					745,352	I	See footnote (2)
Common Stock					50,257	I	See footnote (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price or Value of Underlying Securities (Instr. 3 and 4)
Restricted Stock Unit	①	05/31/2015		M	2,248	(4) (4)	Common Stock	2,248

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Wenzel Daniel S.C. 2201 WALNUT AVENUE, SUITE #100 FREMONT, CA 94538		X		

## Signatures

/s/ Daniel S.C. Wenzel 06/21/2016

\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit converts into common stock on a one-for-one basis.  
Reflects 643,166 shares directly held by Mountain Partners AG and 102,186 shares indirectly held by Mountain Partners AG. Mr. Wenzel is a member of the board of directors of Mountain Partners AG. The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest herein. The inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for Section 16 or for any other purpose.
- (2) Reflects shares held by Rosenberg Ventures AG of which Mr. Wenzel is a member of the board of directors. The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest herein. The inclusion of these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for Section 16 or for any other purpose.
- (3) On August 25, 2014, the reporting person was granted 8,991 Restricted Stock Units pursuant to Issuer's 2011 Incentive Compensation Plan vesting 1/10 on August 31, 2014 with additional vesting of 3/10 on each of November 30, 2014, February 28, 2015 and May 31, 2015, respectively.
- (4)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

## Edgar Filing: Identiv, Inc. - Form 4

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