

Edgar Filing: CHITNIS SHAKHER R - Form 5

CHITNIS SHAKHER R  
Form 5  
February 14, 2003

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OMB APPROVAL  
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OMB Number 3235-0362  
Expires: January 31, 2005  
Estimated average burden  
hours per response ..... 0.5  
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U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

- Check box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
- Form 3 Holdings Reported
- Form 4 Transactions Reported

1. Name and Address of Reporting Person\*

Chitnis Shekhar Ramesh  
-----  
(Last) (First) (Middle)  
  
91 Via Sonrisa  
-----  
(Street)  
  
San Clemente CA 92630  
-----  
(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

Liquidmetal Technologies (LQMT)

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Year

December 31, 2002

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer  
(Check all applicable)

- Director  10% Owner
- Officer (give title below)  Other (specify below)

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7. Individual or Joint/Group Filing  
(Check applicable line)

- Form filed by one Reporting Person  
 Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

| 1.<br>Title of Security<br>(Instr. 3) | 2.<br>Transaction<br>Date<br>(mm/dd/yy) | 2A.<br>Deemed<br>Execu-<br>tion<br>Date,<br>if any<br>(Month/<br>Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4.<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | (A)<br>Amount<br>or<br>(D) | Price |
|---------------------------------------|---|--|---|--|----------------------------|-------|
|---------------------------------------|---|--|---|--|----------------------------|-------|

Liquidmetal Technologies  
Common Stock

Liquidmetal Technologies  
Common Stock

Liquidmetal Technologies  
Common Stock

\* If the form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Form 3/99)

(Over)

FORM 5 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

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| 1.<br>Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conver-<br>sion<br>or<br>Exer-<br>cise<br>Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity | 3.<br>Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 3A.<br>Ex-<br>Deemed<br>Ex-<br>ecution<br>Date,<br>if any<br>(Month/<br>Day/<br>Year) | 4.<br>Trans-<br>action<br>Code<br>(Instr.<br>8) | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D)<br>(Instr. 3,<br>4 and 5)<br>-----<br>(A) (D) | 6.<br>Date<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year)<br>-----<br>Date Expira-<br>tion<br>Date | 7.<br>Title and Amou<br>of Underlying<br>Securities<br>(Instr. 3 and<br>-----<br>Amount<br>or<br>Number<br>of<br>Shares |
|--|---|--|---|---|--|---|---|
| Option to<br>purchase<br>common stock                  | \$2.79  |  |   |   |  | 05/01/02 05/01/10   | Liquidmetal<br>Technologies<br>Common<br>Stock 386,58   |

Explanation of Responses:

\_\_\_\_\_  
/s/ Curt P. Creely  
Curt P. Creely AS ATTORNEY IN FACT FOR SHEKHAR RAMESH CHITNIS

\_\_\_\_\_  
February 14, 2003  
Date

\*\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.