

GROSSMAN ROBERT A  
 Form 4  
 November 05, 2004

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 GROSSMAN ROBERT A

2. Issuer Name and Ticker or Trading Symbol  
 GENESEE & WYOMING INC  
 [GWR]

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)  
 \_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
 Exec VP-Govt/Industry Affairs

(Last) (First) (Middle)  
 C/O GENESEE & WYOMING INC., 66 FIELD POINT ROAD  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
 11/04/2004

GREENWICH, CT 06830

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 \_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)       | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                       |                                      |  |                                | (A) or (D)  | Price   |  |   |
| Class A Common Stock, \$.01 par value | 11/04/2004                           |  | M                              | 5,250   | A \$ 14.2333  | 6,750  | D   |
| Class A Common Stock, \$.01 par value | 11/04/2004                           |  | S                              | 2,850   | D \$ 27.4   | 3,900  | D   |
|                                       | 11/04/2004                           |  | S                              | 2,400   | D \$ 26.95  | 1,500  | D   |

Class A  
Common  
Stock,  
\$.01 par  
value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Stock Option (Right to Buy)                | \$ 14.2333   | 11/04/2004                           |  | M                              | 5,250   | <sup>(1)</sup><br>05/22/2007                             | Class A Common Stock, \$.01 par value                         | 21,000 <sup>(1)</sup>         |
| Stock Option (Right to Buy)                | \$ 14.94   |                                      |  |                                |   | <sup>(2)</sup><br>07/30/2008                             | Class A Common Stock, \$.01 par value                         | 7,501 <sup>(2)</sup>          |
| Stock Option (Right to Buy)                | \$ 23.45   |                                      |  |                                |   | <sup>(3)</sup><br>05/11/2009                             | Class A Common Stock, \$.01 par value                         | 5,000 <sup>(3)</sup>          |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director      10% Owner      Officer      Other

GROSSMAN ROBERT A  
C/O GENESEE & WYOMING INC.  
66 FIELD POINT ROAD  
GREENWICH, CT 06830

Exec  
VP-Govt/Industry  
Affairs

## Signatures

Robert A.  
Grossman

11/05/2004

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option was previously reported by Mr. Grossman. Mr. Grossman can exercise the option as follows: 5,250 shares on 5/23/03, 5,250 shares on 5/23/04, 5,250 shares on 5/23/05 and 5,250 shares on 5/23/06.
  - (2) This option was previously reported by Mr. Grossman. Mr. Grossman can exercise the option as follows: 1,876 shares on 7/31/04, 1,874 shares on 7/31/05, 1,876 shares on 7/31/06 and 1,875 shares on 7/31/07.
  - (3) This option was previously reported by Mr. Grossman. Mr. Grossman can exercise the option as follows: 1,667 shares on 5/12/05, 1,666 shares on 5/12/06 and 1,667 shares on 5/12/07.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.