

Edgar Filing: JAPAN EQUITY FUND INC - Form NSAR-B

JAPAN EQUITY FUND INC

Form NSAR-B

December 29, 2011

PAGE 1
000 B000000 10/31/2011
000 C000000 0000866095
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 THE JAPAN EQUITY FUND, INC.
001 B000000 811-06142
001 C000000 2019153054
002 A000000 ONE EVERTRUST PLAZA, 9TH FLOOR
002 B000000 JERSEY CITY
002 C000000 NJ
002 D010000 07302
002 D020000 3051
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 DAIWA SB INVESTMENTS CO., LTD.
008 B000001 A
008 C000001 801-14682
008 D010001 TOKYO
008 D050001 JAPAN
008 D060001 100-0013
008 A000002 DAIWA SB INVESTMENTS (USA) LTD.
008 B000002 A
008 C000002 801-15948
008 D010002 NEW YORK
008 D020002 NY
008 D030002 10005
008 D040002 3538
010 A000001 DAIWA SECUTITIES TRUST COMPANY
010 C010001 JERSEY CITY
010 C020001 NJ
010 C030001 07302
010 C040001 3051
PAGE 2
011 A000001 DAIWA SECURITIES AMERICA, INC.
011 B000001 8-37095
011 C010001 NEW YORK
011 C020001 NY
011 C030001 11219
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY

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012 B000001 84-416
 012 C010001 NEW YORK
 012 C020001 NY
 012 C030001 10038
 013 A000001 PRICEWATERHOUSECOOPERS LLP
 013 B010001 NEW YORK
 013 B020001 NY
 013 B030001 10017
 014 A000001 DAIWA SECURITIES AMERICA, INC.
 014 B000001 8-37095
 015 A000001 DAIWA SECUTIRIES TRUST COMPANY
 015 B000001 C
 015 C010001 JERSEY CITY
 015 C020001 NJ
 015 C030001 07302
 015 C040001 3051
 015 E010001 X
 015 A000002 DAIWA SECURITIES TRUST & BANKING (EUROPE) PCL
 015 B000002 S
 015 C010002 LONDON
 015 D010002 UNITED KINGDOM
 015 D020002 EC4N 7 JB
 015 E040002 X
 018 000000 Y
 019 A000000 N
 019 B000000 0
 020 A000001 NOMURA SECURITIES
 020 C000001 21
 020 A000002 MIZUHO SECURITIES ASIA LTD.
 020 C000002 16
 020 A000003 BANK OF AMERICA MERRILL LYNCH
 020 C000003 16
 020 A000004 MITSUBISHI UFJ SECURITIES
 020 C000004 15
 020 A000005 DEUTSCHE SECURITIES LTD.
 020 C000005 10
 020 A000006 UBS SECURITIES ASIA LTD.
 020 C000006 9
 020 A000007 J.P. MORGAN SECURITIES LTD.
 020 C000007 8
 020 A000008 GOLDMAN SACHS
 020 C000008 5
 020 A000009 CITIBANK TOKYO
 020 C000009 4
 020 A000010 CREDIT SUISSE (HK)

PAGE 3

020 C000010 4
 021 000000 109
 022 C000001 0
 022 D000001 0
 022 C000002 0
 022 D000002 0
 022 C000003 0
 022 D000003 0
 022 C000004 0
 022 D000004 0
 022 C000005 0
 022 D000005 0
 022 C000006 0
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 022 C000007 0
 022 D000007 0

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| | | |
|-----|---------|--------------------------------------|
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| 022 | D000008 | 0 |
| 022 | C000009 | 0 |
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| 025 | A000001 | MITSUBISHI UFJ FINANCIAL GROUP, INC. |
| 025 | C000001 | E |
| 025 | D000001 | 4148 |
| 025 | A000002 | MIZUHO FINANCIAL GROUP, INC. |
| 025 | C000002 | E |
| 025 | D000002 | 2053 |
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| 027 | 000000 | N |
| 028 | A010000 | 0 |
| 028 | A020000 | 0 |
| | PAGE | 4 |
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| 028 | B020000 | 0 |
| 028 | B030000 | 0 |
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| 028 | G020000 | 0 |
| 028 | G030000 | 0 |
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| | | |
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| 030 | B000000 | 0.00 |
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| 031 | B000000 | 0 |
| 032 | 000000 | 0 |
| 033 | 000000 | 0 |
| 035 | 000000 | 0 |
| 036 | B000000 | 0 |
| 038 | 000000 | 0 |
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| 042 | B000000 | 0 |
| 042 | C000000 | 0 |
| 042 | D000000 | 0 |
| 042 | E000000 | 0 |
| 042 | F000000 | 0 |
| 042 | G000000 | 0 |
| 042 | H000000 | 0 |
| 043 | 000000 | 0 |
| 044 | 000000 | 0 |
| 045 | 000000 | Y |
| 046 | 000000 | Y |
| 047 | 000000 | Y |
| 048 | 000000 | 0.000 |
| | PAGE | 5 |
| 048 | A010000 | 20000 |
| 048 | A020000 | 0.600 |
| 048 | B010000 | 30000 |
| 048 | B020000 | 0.400 |
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| 048 | C020000 | 0.000 |
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| 048 | F010000 | 0 |
| 048 | F020000 | 0.000 |
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| 048 | G020000 | 0.000 |
| 048 | H010000 | 0 |
| 048 | H020000 | 0.000 |
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| 048 | I020000 | 0.000 |
| 048 | J010000 | 0 |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 50000 |
| 048 | K020000 | 0.200 |
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| 050 | 000000 | N |
| 051 | 000000 | N |
| 052 | 000000 | N |
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| 054 | B000000 | Y |
| 054 | C000000 | Y |
| 054 | D000000 | N |
| 054 | E000000 | N |
| 054 | F000000 | N |
| 054 | G000000 | Y |
| 054 | H000000 | Y |
| 054 | I000000 | N |

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| | | | |
|-----|---------|---|-----|
| 054 | J000000 | Y | |
| 054 | K000000 | N | |
| 054 | L000000 | N | |
| 054 | M000000 | Y | |
| 054 | N000000 | N | |
| 054 | O000000 | N | |
| 055 | A000000 | N | |
| 055 | B000000 | N | |
| 056 | 000000 | Y | |
| 057 | 000000 | N | |
| 058 | A000000 | N | |
| 059 | 000000 | Y | |
| 060 | A000000 | Y | |
| 060 | B000000 | Y | |
| 061 | 000000 | | 0 |
| | PAGE | 6 | |
| 062 | A000000 | N | |
| 062 | B000000 | | 0.0 |
| 062 | C000000 | | 0.0 |
| 062 | D000000 | | 0.0 |
| 062 | E000000 | | 0.0 |
| 062 | F000000 | | 0.0 |
| 062 | G000000 | | 0.0 |
| 062 | H000000 | | 0.0 |
| 062 | I000000 | | 0.0 |
| 062 | J000000 | | 0.0 |
| 062 | K000000 | | 0.0 |
| 062 | L000000 | | 0.0 |
| 062 | M000000 | | 0.0 |
| 062 | N000000 | | 0.0 |
| 062 | O000000 | | 0.0 |
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| 062 | Q000000 | | 0.0 |
| 062 | R000000 | | 0.0 |
| 063 | A000000 | | 0 |
| 063 | B000000 | | 0.0 |
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| 066 | B000000 | N | |
| 066 | C000000 | Y | |
| 066 | D000000 | N | |
| 066 | E000000 | N | |
| 066 | F000000 | N | |
| 066 | G000000 | N | |
| 067 | 000000 | N | |
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| 068 | B000000 | Y | |
| 069 | 000000 | N | |
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| 070 | A020000 | N | |
| 070 | B010000 | N | |
| 070 | B020000 | N | |
| 070 | C010000 | N | |
| 070 | C020000 | N | |
| 070 | D010000 | N | |
| 070 | D020000 | N | |
| 070 | E010000 | N | |
| 070 | E020000 | N | |
| 070 | F010000 | N | |
| 070 | F020000 | N | |
| 070 | G010000 | N | |
| 070 | G020000 | N | |
| 070 | H010000 | N | |

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| | | | |
|-------|---------|----|--------|
| 070 | H020000 | N | |
| 070 | I010000 | N | |
| 070 | I020000 | N | |
| 070 | J010000 | N | |
| 070 | J020000 | N | |
| | PAGE | 7 | |
| 070 | K010000 | Y | |
| 070 | K020000 | N | |
| 070 | L010000 | Y | |
| 070 | L020000 | Y | |
| 070 | M010000 | Y | |
| 070 | M020000 | Y | |
| 070 | N010000 | N | |
| 070 | N020000 | N | |
| 070 | O010000 | N | |
| 070 | O020000 | N | |
| 070 | P010000 | Y | |
| 070 | P020000 | N | |
| 070 | Q010000 | N | |
| 070 | Q020000 | N | |
| 070 | R010000 | N | |
| 070 | R020000 | N | |
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| 072 | A000000 | 12 | |
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| 072 | L000000 | | 57 |
| 072 | M000000 | | 54 |
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| 072 | O000000 | | 0 |
| 072 | P000000 | | 0 |
| 072 | Q000000 | | 0 |
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| 072 | S000000 | | 92 |
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| 072 | V000000 | | 0 |
| 072 | W000000 | | 98 |
| 072 | X000000 | | 1297 |
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| 072 | Z000000 | | 666 |
| 072AA | 000000 | | 6101 |
| 072BB | 000000 | | 7046 |
| 072CC | 010000 | | 0 |
| 072CC | 020000 | | 3004 |
| 072DD | 010000 | | 795 |
| | PAGE | 8 | |
| 072DD | 020000 | | 0 |
| 072EE | 000000 | | 0 |
| 073 | A010000 | | 0.0520 |
| 073 | A020000 | | 0.0000 |

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| | | |
|-----|---------|---------------------------------------|
| 073 | B000000 | 0.0000 |
| 073 | C000000 | 0.0000 |
| 074 | A000000 | 468 |
| 074 | B000000 | 0 |
| 074 | C000000 | 162 |
| 074 | D000000 | 0 |
| 074 | E000000 | 0 |
| 074 | F000000 | 85589 |
| 074 | G000000 | 0 |
| 074 | H000000 | 0 |
| 074 | I000000 | 0 |
| 074 | J000000 | 288 |
| 074 | K000000 | 0 |
| 074 | L000000 | 827 |
| 074 | M000000 | 13 |
| 074 | N000000 | 87347 |
| 074 | O000000 | 235 |
| 074 | P000000 | 56 |
| 074 | Q000000 | 0 |
| 074 | R010000 | 0 |
| 074 | R020000 | 0 |
| 074 | R030000 | 0 |
| 074 | R040000 | 118 |
| 074 | S000000 | 0 |
| 074 | T000000 | 86938 |
| 074 | U010000 | 14457 |
| 074 | U020000 | 0 |
| 074 | V010000 | 6.01 |
| 074 | V020000 | 0.00 |
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 157 |
| 074 | Y000000 | 0 |
| 075 | A000000 | 0 |
| 075 | B000000 | 94020 |
| 076 | 000000 | 5.35 |
| 077 | A000000 | Y |
| 077 | B000000 | Y |
| 077 | C000000 | N |
| 077 | D000000 | N |
| 077 | E000000 | N |
| 077 | F000000 | N |
| 077 | G000000 | N |
| 077 | H000000 | N |
| 077 | I000000 | N |
| 077 | J000000 | N |
| 077 | K000000 | N |
| 077 | L000000 | N |
| | PAGE | 9 |
| 077 | M000000 | N |
| 077 | N000000 | N |
| 077 | O000000 | N |
| 077 | P000000 | N |
| 078 | 000000 | N |
| 080 | A000000 | NATIONAL UNION FIRE INSURANCE COMPANY |
| 080 | C000000 | 3000000 |
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| 082 | A000000 | Y |
| 082 | B000000 | 150 |
| 083 | A000000 | N |
| 083 | B000000 | 0 |
| 084 | A000000 | N |

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| | | |
|-----------|-----------------|--------------|
| 084 | B000000 | 0 |
| 085 | A000000 | Y |
| 085 | B000000 | N |
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| 086 | C020000 | 0 |
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| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| 087 | A010000 | COMMON STOCK |
| 087 | A020000 | 471057109 |
| 087 | A030000 | JEQ |
| 088 | A000000 | N |
| 088 | B000000 | N |
| 088 | C000000 | N |
| 088 | D000000 | N |
| SIGNATURE | JOHN J. O'KEEFE | |
| TITLE | VICE PRESIDENT | |