

Edgar Filing: FIRST OPPORTUNITY FUND INC - Form NSAR-B

FIRST OPPORTUNITY FUND INC

Form NSAR-B

May 28, 2014

PAGE 1  
000 B000000 03/31/2014  
000 C000000 0000790202  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 FIRST OPPORTUNITY FUND, INC.  
001 B000000 811-4605  
001 C000000 3034445483  
002 A000000 2344 SPRUCE STREET, SUITE A  
002 B000000 BOULDER  
002 C000000 CO  
002 D010000 80302  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
008 A000001 STEWART WEST INDIES TRADING COMPANY, LTD  
008 B000001 A  
008 C000001 801-56522  
008 D010001 ST. PETER  
008 D050001 BARBADOS  
008 A000002 ROCKY MOUNTAIN ADVISERS, LLC  
008 B000002 A  
008 C000002 801-70202  
008 D010002 BOULDER  
008 D020002 CO  
008 D030002 80302  
010 A000001 FUND ADMINISTRATIVE SERVICES, LLC  
010 B000001 84-1282398  
010 C010001 BOULDER  
010 C020001 CO  
010 C030001 80302  
010 A000002 ALPS FUND SERVICES, INC.  
010 B000002 84-5730  
010 C010002 DENVER  
010 C020002 CO  
010 C030002 80203  
012 A000001 COMPUTERSHARE TRUST COMPANY, NA  
012 B000001 85-11340  
012 C010001 CANTON  
012 C020001 MA  
012 C030001 02021  
013 A000001 DELOITTE & TOUCHE LLP  
013 B010001 DENVER  
013 B020001 CO  
PAGE 2  
013 B030001 80202  
015 A000001 BANK OF NEW YORK MELLON  
015 B000001 C  
015 C010001 NEW YORK  
015 C020001 NY  
015 C030001 10286

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015 E010001 X  
015 A000002 NATIONAL AUSTRALIA BANK  
015 B000002 S  
015 C010002 MELBOURNE  
015 D010002 AUSTRALIA  
015 E040002 X  
015 A000003 SKANDINAVISKA ENSKILDA BANKEN AB  
015 B000003 S  
015 C010003 COPENHAGEN  
015 D010003 DENMARK  
015 E040003 X  
015 A000004 BNP PARIBAS SECURITIES SERVICES  
015 B000004 S  
015 C010004 PARIS  
015 D010004 FRANCE  
015 E040004 X  
015 A000005 BANK OF NEW YORK, LONDON  
015 B000005 S  
015 C010005 LONDON  
015 D010005 ENGLAND  
015 E040005 X  
015 A000006 HONG KONG AND SHANGHAI BANKING CORPORATION  
015 B000006 S  
015 C010006 KOWLOON  
015 D010006 HONG KONG  
015 E040006 X  
015 A000007 DEUTSCHE BANK, AG (INDIA)  
015 B000007 S  
015 C010007 MUMBAI  
015 D010007 INDIA  
015 E040007 X  
015 A000008 BANK OF TOKYO  
015 B000008 S  
015 C010008 TOKYO  
015 D010008 JAPAN  
015 E040008 X  
015 A000009 NATIONAL AUSTRALIA BANK NEW ZEALAND-NATIONAL  
015 B000009 S  
015 C010009 WELLINGTON  
015 D010009 NEW ZEALAND  
015 E040009 X  
015 A000010 DEUTSCHE BANK (TURKEY)  
015 B000010 S  
015 C010010 ISTANBUL  
015 D010010 TURKEY  
PAGE 3  
015 E040010 X  
015 A000011 DEUTSCHE BANK, AG (JAKARTA)  
015 B000011 S  
015 C010011 JAKARTA  
015 D010011 INDONESIA  
015 E040011 X  
015 A000012 EUROCLEAR  
015 B000012 S  
015 C010012 BRUSSELS  
015 D010012 BELGIUM  
015 E040012 X  
015 A000013 CLEARSTREAM BANKING S.A.  
015 B000013 S  
015 C010013 FRANKFURT  
015 D010013 GERMANY  
015 E040013 X

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018 000000 Y  
 019 A000000 Y  
 019 B000000 4  
 019 C000000 BOULDERINV  
 020 A000001 BLOOMBERG TRADEBOOK LLC  
 020 B000001 13-3880286  
 020 C000001 3  
 020 A000002 KNIGHT CAPITAL GROUP  
 020 B000002 22-3660471  
 020 C000002 2  
 020 A000003 BNY CONVERGEX EXECUTION SOLUTIONS LLC  
 020 B000003 13-3989198  
 020 C000003 1  
 020 A000004 G-TRADE SERVICES, LLC  
 020 B000004 14-1950353  
 020 C000004 1  
 021 000000 8  
 022 A000001 DREYFUS TREASURY CASH MANAGEMENT  
 022 C000001 29039  
 022 D000001 24849  
 022 A000002 JP MORGAN PRIME MONEY MARKET FUND  
 022 C000002 0  
 022 D000002 3900  
 023 C000000 29039  
 023 D000000 28749  
 024 000000 Y  
 025 A000001 JP MORGAN PRIME MONEY MARKET FUND  
 025 B000001 -  
 025 C000001 E  
 025 D000001 3000  
 025 A000002 DREYFUS TREASURY CASH MANAGEMENT  
 025 B000002 -  
 025 C000002 E  
 025 D000002 8489  
 025 A000003 JP MORGAN CHASE & CO.  
 PAGE 4  
 025 B000003 13-2624428  
 025 C000003 E  
 025 D000003 18444  
 026 A000000 N  
 026 B000000 N  
 026 C000000 N  
 026 D000000 Y  
 026 E000000 N  
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 048 D010000 0  
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054	H000000	Y	
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062	R000000	0.0
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066	C000000	N
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068	B000000	N
069	000000	N
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070	D010000	Y
070	D020000	N
070	E010000	N
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070	R020000	N
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072	E000000	0
072	F000000	2954
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072	I000000	22

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072 J000000 26  
 072 K000000 0  
 072 L000000 33  
 072 M000000 99  
 072 N000000 0

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072 O000000 0  
 072 P000000 0  
 072 Q000000 0  
 072 R000000 131  
 072 S000000 95  
 072 T000000 0  
 072 U000000 0  
 072 V000000 0  
 072 W000000 76  
 072 X000000 4182  
 072 Y000000 212  
 072 Z000000 1596  
 072AA000000 0  
 072BB000000 1484  
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 072DD020000 0  
 072EE000000 0  
 073 A010000 0.2556  
 073 A020000 0.0000  
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 074 Q000000 0  
 074 R010000 0  
 074 R020000 0  
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 074 R040000 182  
 074 S000000 0  
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 074 V020000 0.00  
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074 X000000 492  
 074 Y000000 0  
 075 A000000 0  
 075 B000000 323741

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076 000000 9.32  
077 A000000 Y  
077 B000000 Y  
077 Q010000 Y  
077 Q020000 Y  
078 000000 Y  
080 A000000 ST. PAUL FIRE & MARINE INSURANCE CO.  
080 C000000 2775  
081 A000000 Y  
081 B000000 3  
082 A000000 N  
083 A000000 N  
084 A000000 N  
085 A000000 Y  
085 B000000 N  
086 A010000 0  
086 A020000 0  
086 B010000 0  
086 B020000 0  
086 C010000 0  
086 C020000 0  
086 D010000 0  
086 D020000 0  
086 E010000 0  
086 E020000 0  
086 F010000 0  
086 F020000 0  
087 A010000 Common Stock  
088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 N  
SIGNATURE Nicole Murphey  
TITLE Assistant Treasurer