

PACCAR INC
Form 4
March 08, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
DOZIER C MICHAEL

(Last) (First) (Middle)

777 106TH AVE NE

(Street)

BELLEVUE, WA 98004

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
PACCAR INC [PCAR]

3. Date of Earliest Transaction
(Month/Day/Year)
03/07/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)

VICE PRESIDENT

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
COMMON STOCK (SIP) <u>(1)</u> <u>(2)</u>	03/07/2017		J ⁽³⁾		63.118	A	\$ 68.27
					18,187.263	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
<u>STOCK OPTION (4)</u>	\$ 45.74					Date Exercisable: 01/01/2011 Expiration Date: 01/30/2018	COMMON STOCK	3,618
<u>STOCK OPTION (4)</u>	\$ 30.81					Date Exercisable: 01/01/2012 Expiration Date: 02/06/2019	COMMON STOCK	5,908
<u>STOCK OPTION (4)</u>	\$ 36.12					Date Exercisable: 01/01/2013 Expiration Date: 02/02/2020	COMMON STOCK	7,440
<u>STOCK OPTION (4)</u>	\$ 50.5					Date Exercisable: 01/01/2014 Expiration Date: 02/03/2021	COMMON STOCK	4,882
<u>STOCK OPTION (4)</u>	\$ 43.24					Date Exercisable: 01/01/2015 Expiration Date: 02/02/2022	COMMON STOCK	7,756
<u>STOCK OPTION (4)</u>	\$ 47.81					Date Exercisable: 01/01/2016 Expiration Date: 02/06/2023	COMMON STOCK	6,644
<u>STOCK OPTION (4)</u>	\$ 59.15					Date Exercisable: 01/01/2017 Expiration Date: 02/07/2024	COMMON STOCK	5,232
<u>STOCK OPTION (4)</u>	\$ 62.46					Date Exercisable: 01/01/2018 Expiration Date: 02/04/2025	COMMON STOCK	4,814
<u>STOCK OPTION (4)</u>	\$ 50					Date Exercisable: 01/01/2019 Expiration Date: 02/04/2026	COMMON STOCK	6,926
<u>STOCK OPTION (4)</u>	\$ 67.63					Date Exercisable: 01/01/2020 Expiration Date: 02/07/2027	COMMON STOCK	9,406

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DOZIER C MICHAEL 777 106TH AVE NE BELLEVUE, WA 98004			VICE PRESIDENT	

Signatures

C. Michael Dozier by Irene E. Song
POA 03/08/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held in PACCAR Savings Investment Plan (SIP).
- (2) Balance includes shares awarded under SIP (Company match) since date of last report in a transaction that was exempt under both Rule 16b-3(d) and Rule 16b-3(c).
- (3) March 7, 2017 dividend on PACCAR Savings Investment Plan (SIP) shares reinvested pursuant to SIP.
- (4) Option to buy awarded under PACCAR Long Term Incentive Plan (LTIP).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.