

RAYMOND JAMES FINANCIAL INC
Form 4
December 05, 2007

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
AVERITT RICHARD G

2. Issuer Name and Ticker or Trading Symbol
RAYMOND JAMES FINANCIAL INC [RJF]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
880 CARILLON PARKWAY
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
12/04/2007

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
CEO - RJFS

ST. PETERSBURG, FL 33716

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) Code V Amount Price | | | |
| Common Stock | 12/04/2007 | | P | 769 A \$ 27.63 | 198,335 ⁽¹⁾ | D | |
| Common Stock | | | | | 40,076 ⁽²⁾ | I | ESOP |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Edgar Filing: RAYMOND JAMES FINANCIAL INC - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Employee Stock Option (right to buy) | \$ 14.0222 | | | | | 12/10/2005 02/10/2008 | Common Stock | 42,597 ⁽³⁾ |
| Employee Stock Option (right to buy) | \$ 14.0222 | | | | | 12/10/2005 02/10/2008 | Common Stock | 13,653 ⁽⁴⁾ |
| Employee Stock Option (right to buy) | \$ 16.8 | | | | | 12/04/2006 02/04/2009 | Common Stock | 7,200 |
| Employee Stock Option (right to buy) | \$ 16.8 | | | | | 01/04/2008 02/04/2009 | Common Stock | 10,800 ⁽⁵⁾ |
| Employee Stock Option (right to buy) | \$ 24.9733 | | | | | 12/01/2008 02/01/2011 | Common Stock | 15,000 ⁽⁶⁾ |
| Employee Stock Option (right to buy) | \$ 30.44 | | | | | 11/27/2010 01/27/2013 | Common Stock | 15,000 ⁽⁷⁾ |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|------------|-------|
| | Director | 10% Owner | Officer | Other |
| AVERITT RICHARD G 880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 | | | CEO - RJFS | |

Signatures

Richard G.
Averitt

12/04/2007

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquired thru the Employee Stock Purchase Plan
- (2) Includes number of shares acquired under ESOP through 12/04/2007
- (3) Options currently exercisable 38,476, Options Becoming exercisable - 4,121 on 12/10/07
- (4) Options currently exercisable - 6,522, Options Becoming exercisable - 7,131 on 12/10/2007
- (5) Options Becoming exercisable - 5,400 on 1/04/2008, and 5,400 on 1/04/2009
- (6) Options Becoming exercisable - 3,750 on 12/01/2008, 3,750 on 12/01/2009, 3,750 on 12/01/2010 and 3,750 on 2/01/2011
- (7) Options Becoming exercisable - 9,000 on 11/27/2010, 3,000 on 11/27/2011 and 3,000 on 11/27/2012

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.