

NATIONAL FUEL GAS CO

Form 3

April 08, 2016

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â MCGINNIS JOHN P

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

04/01/2016

3. Issuer Name **and** Ticker or Trading Symbol
NATIONAL FUEL GAS CO [NFG]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

COO - Seneca Resources

6. Individual or Joint/Group Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person1201 LOUISIANA
STREET,Â SUITE 2600

(Street)

HOUSTON,Â TXÂ 77002

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

27,535

D

Â

Common Stock

5,873 ⁽¹⁾

I

401(k) Trust

Common Stock

12,949

I

By limited liability company ⁽²⁾

Common Stock

695

I

By reporting person and spouse as trustees for child

Common Stock

695

I

By reporting person and spouse as trustees for child

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Stock Appreciation Right	02/20/2009	02/20/2018	Common Stock	4,166	\$ 47.37	D	Â
Stock Appreciation Right	Â ⁽³⁾	03/11/2020	Common Stock	20,000	\$ 52.1	D	Â
Stock Appreciation Right	Â ⁽⁴⁾	12/20/2020	Common Stock	7,500	\$ 63.865	D	Â
Restricted Stock Units	12/19/2016	12/19/2016	Common Stock	4,000	\$ ⁽⁵⁾	D	Â
Stock Appreciation Right	Â ⁽⁶⁾	12/19/2022	Common Stock	20,802	\$ 53.045	D	Â
Restricted Stock Units	12/19/2016	12/19/2016	Common Stock	775	\$ ⁽⁵⁾	D	Â
Restricted Stock Units	Â ⁽⁷⁾	Â ⁽⁷⁾	Common Stock	1,727	\$ ⁽⁵⁾	D	Â
Restricted Stock Units	Â ⁽⁸⁾	Â ⁽⁸⁾	Common Stock	7,619	\$ ⁽⁵⁾	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MCGINNIS JOHN P 1201 LOUISIANA STREET SUITE 2600 HOUSTON, TX 77002	Â	Â	Â COO - Seneca Resources	Â

Signatures

James P.
Baetzhold

04/08/2016

 Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- The NFG stock fund under the NFG 401(k) plan is denominated in units, representing ownership interests in a fund that includes both NFG common stock and a reserve of cash. The information reported represents the dollar value of the reporting person's balance in the NFG stock fund as of April 1, 2016, as reported by the plan administrator, divided by the closing price of NFG common stock on that date.
- (1) The reporting person and his spouse are the sole members of the limited liability company.
 - (2) The stock appreciation right became exercisable as follows: 6,666 on November 24, 2010; 6,667 on November 23, 2011; and 6,667 on November 21, 2012.
 - (3) The stock appreciation right became exercisable in three equal annual installments beginning December 20, 2011.
 - (4) Each restricted stock unit represents a contingent right to receive one share of NFG common stock.
 - (5) The stock appreciation right became exercisable in three equal annual installments beginning December 19, 2013.
 - (6) The restricted stock units vest as follows: 863 on December 19, 2016 and 864 on December 19, 2017
 - (7) The restricted stock units vest as follows: 2,539 on December 17, 2016; 2,540 on December 17, 2017; and 2,540 on December 17, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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