

CHEN FUSEN ERNIE  
Form 4  
October 03, 2017

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
CHEN FUSEN ERNIE

2. Issuer Name and Ticker or Trading Symbol  
KULICKE & SOFFA INDUSTRIES INC [KLIC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
23A SERANGOON NORTH AVE  
5, #01-01  
  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
09/30/2017

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
President and CEO

SINGAPORE, U0 554369

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |         |   |                    |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|---------|---|--------------------|
|                                 |                                      |  | Code                           | V   | Amount (D) Price  |  |                                   |         |   |                    |
| Common Stock                    | 09/30/2017                           |  | M                              |   | 14,539<br><u>(1)</u>  | A  | \$ 0                              | 152,832 | I | Held by Chen Trust |
| Common Stock                    |                                      |  |                                |   |   |  |                                   | 52,870  | D |                    |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |              |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title        | Amount or Number of Shares |
| Performance Share Units                    | \$ 0   | 09/30/2017                           |  | M                              | 14,539  | (1)  | (1)   | Common Stock | 14,539                     |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                   |       |
|---|---------------|-----------|-------------------|-------|
|   | Director      | 10% Owner | Officer           | Other |
| CHEN FUSEN ERNIE<br>23A SERANGOON NORTH AVE 5<br>#01-01<br>SINGAPORE, U0 554369 | X             |           | President and CEO |       |

## Signatures

Susan L. Waters, Attorney-in-Fact for Fusen E. Chen  
10/03/2017

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Fifty percent of the Performance Share Units (PSUs) awarded October 31, 2016 had its performance results certified, and then shares vested, on September 30, 2017 at 55% achievement based on total shareholder return (TSR) relative to a peer group. The peer group (1) consisted of the Philadelphia Semiconductor Index (SOX) companies as at September 29, 2014, with relative TSR measured over a three year measurement period commencing September 29, 2014. Each PSU is convertible into one share of Kulicke and Soffa Industries Inc. common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.