

LESAR DAVID J
Form 4
December 10, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LESAR DAVID J

(Last) (First) (Middle)
3000 N. SAM HOUSTON PARKWAY E.
(Street)

HOUSTON, TX 77032

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
HALLIBURTON CO [HAL]

3. Date of Earliest Transaction (Month/Day/Year)
12/06/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Exec Chairman of the Board

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| Common Stock | 12/06/2018 | | F | (A) or (D) V Amount Price 7,453 \$ 31.44 (1) | 451,628.656 (2) | D | |
| Common Stock | | | | | 156,754.29 | I | By Spouse |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Edgar Filing: LESAR DAVID J - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|---|---|-------------------------------|
| Option to Buy Common Stock | \$ 43.38 | | | | | Date Exercisable: 12/06/2017 Expiration Date: 12/06/2027 | Common Stock | 77,899 |
| Option to Buy Common Stock | \$ 53.54 | | | | | Date Exercisable: 12/07/2016 Expiration Date: 12/07/2026 | Common Stock | 114,900 |
| Option to Buy Common Stock | \$ 38.95 | | | | | Date Exercisable: 12/02/2015 Expiration Date: 12/02/2025 | Common Stock | 176,900 |
| Option to Buy Common Stock | \$ 40.75 | | | | | Date Exercisable: 12/03/2014 Expiration Date: 12/03/2024 | Common Stock | 178,100 |
| Option to Buy Common Stock | \$ 50.62 | | | | | Date Exercisable: 12/04/2013 Expiration Date: 12/04/2023 | Common Stock | 137,900 |
| Option to Buy Common Stock | \$ 33.5 | | | | | Date Exercisable: 12/05/2012 Expiration Date: 12/05/2022 | Common Stock | 208,900 |
| Option to Buy Common Stock | \$ 35.57 | | | | | Date Exercisable: 12/06/2011 Expiration Date: 12/06/2021 | Common Stock | 141,900 |
| Option to Buy Common Stock | \$ 39.19 | | | | | Date Exercisable: 12/01/2010 Expiration Date: 12/01/2020 | Common Stock | 108,000 |

| | | | | | |
|------------|---------------------|--|------------|------------|---------|
| 06/2017 | | | | | |
| Restricted | \$ 0 ⁽³⁾ | | <u>(4)</u> | <u>(4)</u> | Common |
| Stock | | | | | Stock |
| Units | | | | | 326,229 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|----------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| LESAR DAVID J 3000 N. SAM HOUSTON PARKWAY E. HOUSTON, TX 77032 | X | | Exec Chairman of the Board | |

Signatures

| | |
|---|------------|
| /s/ Bruce A. Metzinger, by Power of Attorney | 12/10/2018 |
|---|------------|

____Signature of Reporting Person

____Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Shares transferred to Halliburton Company for payment for Federal tax withholding obligations on lapse of restrictions on shares issued
- (1) under the Stock and Incentive Plan. Said Plan permits Reporting Person to satisfy withholding tax obligation by transferring unrestricted shares to the Issuer.
 - (2) Includes 400.993 shares of stock purchased through the Halliburton Company Employee Stock Purchase Plan for the periods ended March 31, 2018, June 30, 2018 and September 30, 2018.
 - (3) Each Restricted Stock Unit represents the right to receive one share of common stock.
 - (4) One-half of the Restricted Stock Units vest and distribute as common stock effective December 31, 2018. The other one-half will be valued on December 31, 2018 and distribute as cash in three equal annual installments beginning December 31, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.