

Edgar Filing: SWIFT ENERGY CO - Form 10-K/A

SWIFT ENERGY CO  
Form 10-K/A  
April 19, 2004

SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Form 10-K/A  
Amendment No. 1

Annual Report Pursuant to Section 13 or 15(d) of  
the Securities Exchange Act of 1934

For the Fiscal Year Ended December 31, 2002

Commission File Number 1-8754

SWIFT ENERGY COMPANY  
(Exact Name of Registrant as Specified in Its Charter)

Texas 74-2073055  
(State of Incorporation (I.R.S. Employer Identification No.))

16825 Northchase Dr., Suite 400  
Houston, Texas 77060  
(281) 874-2700  
(Address and telephone number of principal executive offices)

Securities registered pursuant to Section 12(b) of the Act:  
Title of Class: Common Stock, par value \$.01 per share  
Exchanges on Which Registered: New York Stock Exchange  
Pacific Stock Exchange

Securities registered pursuant to Section 12(g) of the Act: None

Indicate by check mark whether the Registrant (1) has filed all reports required to be filed by Section 13 or 15(d) of the Securities Exchange Act of 1934 during the preceding 12 months and (2) has been subject to such filing requirements for the past 90 days. Yes X No

Indicate by check mark if disclosure of delinquent filers pursuant to Item 405 of Regulation S-K is not contained herein, and will not be contained, to the best of Registrant's knowledge, in definitive proxy or information statements incorporated by reference in Part III of this Form 10-K or any amendment to this Form 10-K. [ ]

Indicate by check mark whether the registrant is an accelerated filer (as defined in Rule 12b-2 of the Act). Yes X No

The aggregate market value of the voting stock held by non-affiliates at March 1, 2003 was approximately \$246,766,019.

The number of shares of common stock outstanding as of December 31, 2002 was 27,201,509 shares of common stock, \$.01 par value.

Documents Incorporated by Reference

| Document   | Incorporated as to                 |
|--|------------------------------------|
| Notice and Proxy Statement for the Annual Meeting of Shareholders to be held | Part III, Items 10, 11, 12, and 13 |

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May 13, 2003

EXPLANATORY NOTE

This Amendment No. 1 to the Swift Energy Company Annual Report on Form 10-K for the fiscal year ended December 31, 2002 is being filed solely to correct typographical errors in the Certifications for the Chief Executive Officer and Chief Financial Officer filed pursuant to Section 302 of the Sarbanes-Oxley Act of 2002.

PART IV

Item 15. Exhibits, Financial Statement Schedules and Reports on Form 8-K.

(a). The following exhibits are filed as part of this report.

3. Exhibits

31(a)\* Certification of the Chief Executive Officer pursuant to Section 302 of the Sarbanes-Oxley Act of 2002.

31(b)\* Certification of the Chief Financial Officer pursuant to Section 302 of the Sarbanes-Oxley Act of 2002.

\* Filed herewith.

SIGNATURES

Pursuant to the requirements of Section 13 or 15(d) of the Securities Exchange Act of 1934, the Registrant, Swift Energy Company, has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized on April 16, 2004.

SWIFT ENERGY COMPANY

By /s/ Terry E. Swift

-----  
Terry E. Swift  
Chief Executive Officer and President

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Pursuant to the requirements of the Securities Exchange Act of 1934, this report has been signed below by the following persons on behalf of the Registrant, Swift Energy Company, and in the capacities and on the dates indicated:

| Signatures<br>-----   | Title<br>-----   |
|---|--|
| /s/ A. Earl Swift<br>-----<br>A. Earl Swift                   | Chairman of the Board  |
| /s/ Terry E. Swift<br>-----<br>Terry E. Swift                 | President, Chief Executive Officer<br>(Principal Executive Officer) and Director           |
| /s/ Alton D. Heckaman, Jr.<br>-----<br>Alton D. Heckaman, Jr. | Senior Vice President-Finance,<br>Chief Financial Officer<br>(Principal Financial Officer) |
| /s/ David W. Wesson<br>-----<br>David W. Wesson               | Controller<br>(Principal Accounting Officer)   |
| /s/ Virgil N. Swift<br>-----<br>Virgil N. Swift               | Director   |
| /s/ G. Robert Evans<br>-----<br>G. Robert Evans               | Director   |
| /s/ Harold J. Withrow<br>-----<br>Harold J. Withrow           | Director   |