

Edgar Filing: TRIARC COMPANIES INC - Form 4

TRIARC COMPANIES INC

Form 4

February 11, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person

Tsai, Jr., Gerald
Tsai Management, Inc.
200 Park Avenue
Suite 4501
New York,, NY 10166
USA

2. Issuer Name and Ticker or Trading Symbol

Triarc Companies, Inc.
TRY

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

January 31, 2002

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

(X) Director () 10% Owner () Officer (give title below) () Other
(specify below)

7. Individual or Joint/Group Filing (Check Applicable Line)

(X) Form filed by One Reporting Person
() Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D) Amount | A/D | Price | 5. Amount of Securities Beneficially Owned at End of Month |
|----------------------|---------------------|---------|---------------------------------------------------------|-----|----------|------------------------------------------------------------|
| Class A Common Stock | 1/28/02 | M | 15,000 | (A) | \$19.375 | |
| Class A Common Stock | 1/28/02 | S | 1,000 | (D) | \$26.25 | |
| Class A Common Stock | 1/28/02 | S | 900 | (D) | \$26.26 | |
| Class A Common Stock | 1/28/02 | S | 12,700 | (D) | \$26.27 | |
| Class A Common Stock | 1/28/02 | S | 400 | (D) | \$26.29 | |
| Class A Common Stock | 1/28/02 | M | 3,000 | (A) | \$16.25 | |

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| | | | | |
|----------------------|----------------|-------|----------------|--|
| Class A Common Stock | 1/28/0 S 2 | 700 | (D) \$26.29 | |
| Class A Common Stock | 1/28/0 S 2 | 1,200 | (D) \$26.30 | |
| Class A Common Stock | 1/28/0 S 2 | 1,000 | (D) \$26.31 | |
| Class A Common Stock | 1/28/0 S 2 | 100 | (D) \$26.32 | |
| Class A Common Stock | 1/28/0 M 2 | 3,000 | (A) \$12.625 | |
| Class A Common Stock | 1/28/0 S 2 | 200 | (D) \$26.32 | |
| Class A Common Stock | 1/28/0 S 2 | 2,800 | (D) \$26.33 | |
| Class A Common Stock | 1/28/0 M 2 | 3,000 | (A) \$22.25 | |
| Class A Common Stock | 1/28/0 S 2 | 1,400 | (D) \$26.33 | |
| Class A Common Stock | 1/28/0 S 2 | 500 | (D) \$26.35 | |
| Class A Common Stock | 1/28/0 S 2 | 500 | (D) \$26.37 | |
| Class A Common Stock | 1/28/0 S 2 | 600 | (D) \$26.39 | |
| Class A Common Stock | 1/28/0 M 2 | 3,000 | (A) \$21.5625 | |
| Class A Common Stock | 1/28/0 S 2 | 500 | (D) \$26.39 | |
| Class A Common Stock | 1/28/0 S 2 | 600 | (D) \$26.42 | |
| Class A Common Stock | 1/28/0 S 2 | 700 | (D) \$26.50 | |
| Class A Common Stock | 1/28/0 S 2 | 300 | (D) \$26.53 | |
| Class A Common Stock | 1/28/0 S 2 | 100 | (D) \$26.55 | |
| Class A Common Stock | 1/28/0 S 2 | 200 | (D) \$26.56 | |
| Class A Common Stock | 1/28/0 S 2 | 600 | (D) \$26.60 | |
| Class A Common Stock | 1/28/0 M 2 | 2,000 | (A) \$19.75 | |

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| | | | | | | | |
|----------------------|---------|---|-------|-----|---------|-------|--|
| Class A Common Stock | 1/28/02 | S | 1,400 | (D) | \$26.60 | | |
| Class A Common Stock | 1/28/02 | S | 200 | (D) | \$26.63 | | |
| Class A Common Stock | 1/28/02 | S | 200 | (D) | \$26.64 | | |
| Class A Common Stock | 1/28/02 | S | 200 | (D) | \$26.65 | 3,422 | |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities | 8. Put or Call |
|----------------------------------------------------------------|--------------------------------------------------------|---------------------|---------|--------------------------------------------------------------------|----------------------------------------------------------|----------------------------------------------|----------------|
| Stock Option (right to buy) with tandem tax withholding rights | \$19.375 | 1/28/02 | M | 15,000 | D (1) 6/9/04 | Class A Common Stock | 15,000 |
| Stock Option (right to buy) with tandem tax withholding rights | \$16.25 | 1/28/02 | M | 3,000 | D (2) 6/8/05 | Class A Common Stock | 3,000 |
| Stock Option (right to buy) with tandem tax withholding rights | \$12.6250 | 1/28/02 | M | 3,000 | D (3) 6/6/06 | Class A Common Stock | 3,000 |
| Stock Option (right to buy) with tandem tax withholding rights | \$22.25 | 1/28/02 | M | 3,000 | D (4) 6/4/07 | Class A Common Stock | 3,000 |
| Stock Option (right to buy) with tandem tax withholding rights | \$21.5625 | 1/28/02 | M | 3,000 | D (5) 9/23/09 | Class A Common Stock | 3,000 |
| Stock Option (right to buy) with tandem tax withholding rights | \$19.75 | 1/28/02 | M | 2,000 | D (6) 6/22/10 | Class A Common Stock | 2,000 |

Explanation of Responses:

- (1) The option vested in two equal installments on 6/9/95 and 6/9/96.
- (2) The option vested in two equal installments on 6/8/96 and 6/8/97.
- (3) The option vested in two equal installments on 6/6/97 and

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6/6/98.

(4) The option vested in two equal installments on 6/4/98 and 6/4/99.

(5) The option vested in two equal installments on 9/23/00 and 9/23/01.

(6) The option representing one-half of the total amount granted vested on 6/22/01. The balance of the option [to purchase 2,000 shares] vests on 6/22/02.

SIGNATURE OF REPORTING PERSON

GERALD TSAI, JR.

DATE

February 11, 2002