

SHERMAN JENNIFER L  
 Form 4  
 June 11, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 SHERMAN JENNIFER L

2. Issuer Name and Ticker or Trading Symbol  
 FEDERAL SIGNAL CORP /DE/ [FSS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
 1415 W. 22ND STREET, SUITE 1100

3. Date of Earliest Transaction (Month/Day/Year)  
 06/07/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
 President & CEO

(Street)  
 OAK BROOK, IL 60523

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	06/07/2018		M		36,459 A \$ 6.52	(1)	D
Common Stock	06/07/2018		S		36,459 D \$ 24.4559	(2)	D
Common Stock	06/08/2018		M		8,818 A \$ 6.52		D
Common Stock	06/08/2018		S		8,818 D \$ 24.1808	(3)	D

Edgar Filing: SHERMAN JENNIFER L - Form 4

Common Stock	06/08/2018	M	16,100	A	\$ 6.68	226,013.501	D	
Common Stock	06/08/2018	S	16,100	D	\$ 24.186 (4)	209,913.501	D	
Common Stock	06/08/2018	M	14,479	A	\$ 8.53	224,392.501	D	
Common Stock	06/08/2018	S	14,479	D	\$ 24.3067 (5)	209,913.501	D	
Common Stock	06/08/2018	M	6,806	A	\$ 10.04	216,719.501	D	
Common Stock	06/08/2018	S	6,806	D	\$ 24.213 (6)	209,913.501	D	
Common Stock	06/11/2018	M	13,394	A	\$ 10.04	223,307.501	D	
Common Stock	06/11/2018	S	13,394	D	\$ 24.2171 (7)	209,913.501	D	
Common Stock						53,802	I	held by 401(k) plan (8)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	Amount or Number of Shares
Stock Options (right-to-buy)	\$ 6.52	06/07/2018		M	36,459	(9) 05/04/2021	Common Stock	36,459
Stock Options (right-to-buy)	\$ 6.52	06/08/2018		M	8,818	(9) 05/04/2021	Common Stock	8,818

Stock Options (right-to-buy)	\$ 6.68	06/08/2018	M	16,100	<u>(10)</u>	02/20/2019	Common Stock	16,100
Stock Option (right-to-buy)	\$ 8.53	06/08/2018	M	14,479	<u>(11)</u>	08/07/2019	Common Stock	14,479
Stock Options (right-to-buy)	\$ 10.04	06/08/2018	M	6,806	<u>(12)</u>	04/26/2020	Common Stock	6,806
Stock Options (right-to-buy)	\$ 10.04	06/11/2018	M	13,394	<u>(12)</u>	04/26/2020	Common Stock	13,394

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SHERMAN JENNIFER L 1415 W. 22ND STREET SUITE 1100 OAK BROOK, IL 60523	X		President & CEO	

## Signatures

Daniel A. DuPre, attorney-in-fact for Jennifer L. Sherman  
06/11/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 692.712 shares were acquired through the dividend reinvestment plan.

(2) This transaction was executed in multiple trades at prices ranging from \$24.25 to \$24.68; the price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide full information regarding the number of shares and prices at which the transactions were effected upon request to the SEC, Federal Signal Corporation, or a security holder of Federal Signal Corporation.

(3) This transaction was executed in multiple trades at prices ranging from \$24.18 to \$24.20; the price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide full information regarding the number of shares and prices at which the transactions were effected upon request to the SEC, Federal Signal Corporation, or a security holder of Federal Signal Corporation.

(4) This transaction was executed in multiple trades at prices ranging from \$24.18 to \$24.22; the price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide full information regarding the number of shares and prices at which the transactions were effected upon request to the SEC, Federal Signal Corporation, or a security holder of Federal Signal Corporation.

(5) This transaction was executed in multiple trades at prices ranging from \$24.30 to \$24.34; the price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide full information regarding the number of shares and prices at which the transactions were effected upon request to the SEC, Federal Signal Corporation, or a security holder of Federal Signal Corporation.

(6) This transaction was executed in multiple trades at prices ranging from \$24.18 to \$24.24; the price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide full information regarding the number of shares and prices at which the transactions were effected upon request to the SEC, Federal Signal Corporation, or a security holder of Federal Signal Corporation.

## Edgar Filing: SHERMAN JENNIFER L - Form 4

- This transaction was executed in multiple trades at prices ranging from \$24.20 to \$24.27; the price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide full information regarding the number of shares and prices at which the transactions were effected upon request to the SEC, Federal Signal Corporation, or a security holder of Federal Signal Corporation.
- (7)
  - (8) Shares held in account under the Federal Signal Corporation Retirement Savings Plan 401(k) dated January 1, 2007.
  - (9) The option vested in three equal installments on May 4, 2012, 2013, and 2014.
  - (10) The option vested in three equal installments on February 20, 2010, 2011, and 2012.
  - (11) The option vested in three equal installments on August 7, 2010, 2011, and 2012.
  - (12) The option vested in three equal installments on April 26, 2011, 2012, and 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.