

SWIFT ENERGY CO
Form 4
April 26, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Tomberlin Steven L.

(Last) (First) (Middle)
17001 NORTHCHASE DR., STE. 100
(Street)

HOUSTON, TX 77060-6098

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
SWIFT ENERGY CO [NONE]

3. Date of Earliest Transaction (Month/Day/Year)
04/22/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
____ Officer (give title below) _____ Other (specify below)

SR. VP - RESOURCE DEV

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	04/22/2016		A ⁽¹⁾	504 ⁽¹⁾ A \$ 0 ⁽¹⁾	504	D	
Common Stock	04/22/2016		A ⁽¹⁾	18 ⁽¹⁾ A \$ 0 ⁽¹⁾	18	I	By Children
Common Stock	04/22/2016		A ⁽¹⁾	268 ⁽¹⁾ A \$ 0 ⁽¹⁾	268	I	By Family Partnership
Common Stock	04/22/2016		A ⁽¹⁾	707 ⁽¹⁾ A \$ 0 ⁽¹⁾	707	I	IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Warrants for Common Stock	\$ 80	04/22/2016		A ⁽²⁾	2,700 (<u>2</u>)	04/22/2016 04/22/2019	Common Stock	2,700
Warrants for Common Stock	\$ 86.18	04/22/2016		A ⁽²⁾	2,700 (<u>2</u>)	04/22/2016 04/22/2020	Common Stock	2,700
Warrants for Common Stock	\$ 80	04/22/2016		A ⁽²⁾	96 (<u>2</u>)	04/22/2016 04/22/2019	Common Stock	96
Warrants for Common Stock	\$ 86.18	04/22/2016		A ⁽²⁾	96 (<u>2</u>)	04/22/2016 04/22/2020	Common Stock	96
Warrants for Common Stock	\$ 80	04/22/2016		A ⁽²⁾	3,788 (<u>2</u>)	04/22/2016 04/22/2019	Common Stock	3,788
Warrants for Common Stock	\$ 86.18	04/22/2016		A ⁽²⁾	3,788 (<u>2</u>)	04/22/2016 04/22/2020	Common Stock	3,788
Warrants for Common Stock	\$ 80	04/22/2016		A ⁽²⁾	1,434 (<u>2</u>)	04/22/2016 04/22/2019	Common Stock	1,434

Stock

Warrants
for
Common
Stock

\$ 86.18

04/22/2016

A⁽²⁾

1,434
(2)

04/22/2016

04/22/2020

Common
Stock

1,434

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Tomberlin Steven L. 17001 NORTHCHASE DR., STE. 100 HOUSTON, TX 77060-6098			SR. VP - RESOURCE DEV	

Signatures

/s/Laurent A. Baillargeon, POA for Steven L.
Tomberlin

04/26/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Received on the Emergence Date after shares of SFYWQ were cancelled, pursuant to the Plan of Reorganization approved by the Board of Directors and filed with the bankruptcy court.
- (2) Received on the Emergence Date in connection with the receipt of the new common stock of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.