#### COLUMBUS MCKINNON CORP

Form 3 July 31, 2013

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement COLUMBUS MCKINNON CORP [CMCO] A Paradowski Mark R (Month/Day/Year) 07/22/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 140 JOHN JAMES AUDUBON (Check all applicable) **PARKWAY** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting **VP** - Information Services Person AMHERST, ÂNYÂ 14228 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 5,064 (1) D Common Stock 1,326.2374 (2) D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 2. Date Exercisable and Expiration Date | 3. Title and Amount of Securities Underlying | 4. Conversion           | 5.<br>Ownership    | 6. Nature of Indirect Beneficial |
|---|--|-------------------------|--------------------|----------------------------------|
| (Month/Day/Year)                        | Derivative Security (Instr. 4)               | or Exercise<br>Price of | Form of Derivative | Ownership (Instr. 5)             |

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|   | Date<br>Exercisable | Expiration<br>Date | Title           | Amount or<br>Number of<br>Shares | Derivative<br>Security | Security: Direct (D) or Indirect (I) (Instr. 5) |   |
|---|---------------------|--------------------|-----------------|----------------------------------|------------------------|---|---|
| Non-Qualified Stock<br>Option (Right to Buy)  | 05/19/2009          | 05/18/2018         | Common<br>Stock | 305 (3)                          | \$ 28.45               | D   | Â |
| Non-Qualified Stock<br>Option (Right to Buy)  | 05/18/2010          | 05/17/2019         | Common<br>Stock | 989 (4)                          | \$ 13.27               | D   | Â |
| Non-Qualified Stock<br>Option (Right to Buy)  | 05/17/2011          | 05/16/2020         | Common<br>Stock | 1,568 (5)                        | \$ 18.24               | D   | Â |
| Non-Qualified Stock<br>Option (Right to Buy)  | 05/23/2012          | 05/22/2021         | Common<br>Stock | 1,436 (6)                        | \$ 19.5                | D   | Â |
| Non-Qualified Stock<br>Options (Right to Buy) | 05/21/2013          | 05/20/2022         | Common<br>Stock | 2,165 (7)                        | \$ 13.43               | D   | Â |
| Non-Qualified Stock<br>Options (Right to Buy) | 05/20/2014          | 05/19/2023         | Common<br>Stock | 1,980 (8)                        | \$ 18.95               | D   | Â |

## **Reporting Owners**

| Reporting Owner Name / Address   |          | Relationships |                           |       |  |  |
|--|----------|---------------|---------------------------|-------|--|--|
| <b>F-</b>  | Director | 10% Owner     | Officer                   | Other |  |  |
| Paradowski Mark R<br>140 JOHN JAMES AUDUBON PARKWAY<br>AMHERST, NY 14228 | Â        | Â             | VP - Information Services | Â     |  |  |

### **Signatures**

Mark R.
Paradowski

\*\*Signature of Date

Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 2,336 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 206 shares become fully vested and non-forfeitable on 5/17/2014; 381 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/23/2014; 810 shares become fully vested and non-forfeitable 33.33% for three years beginning 5/21/2014; and 939 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- (2) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (3) All exercisable, pursuant to IRS limitations.
- (4) All exercisable, pursuant to IRS limitations.
- (5) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.

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- (7) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.