

Fogarty Maria V.  
Form 4  
April 17, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Fogarty Maria V.

2. Issuer Name and Ticker or Trading Symbol  
SPIRE INC [SR]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
700 MARKET STREET  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/08/2018

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  
\_\_\_\_ 10% Owner  
\_\_\_\_ Other (specify below)

ST. LOUIS, MO 63101

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |     |       |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|-----|-------|
|                                 |                                      |  |                                | (A) or (D)  | Code  | V  | Amount                            | (D) | Price |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|------------------------------------|--------------------------------------|--|--------------------------------|--|--|---|
|--|------------------------------------|--------------------------------------|--|--------------------------------|--|--|---|

Edgar Filing: Fogarty Maria V. - Form 4

| Phantom Stock | Derivative Security |            | Disposed of (D) |          | Date Exercisable | Expiration Date | Title        | Amount or Number of Shares |
|---------------|---------------------|------------|-----------------|----------|------------------|-----------------|--------------|----------------------------|
|               |                     |            | (A)             | (D)      |                  |                 |              |                            |
| Phantom Stock | <u>(1)</u>          | 02/08/2018 | I               | 1,078.04 | <u>(1)</u>       | <u>(1)</u>      | Common Stock | 1,078.04                   |
| Phantom Stock | <u>(2)</u>          | 04/03/2018 | A               | 8.37     | <u>(2)</u>       | <u>(2)</u>      | Common Stock | 8.37                       |
| Phantom Stock | <u>(3)</u>          | 06/29/2018 | A               | 102.26   | <u>(3)</u>       | <u>(3)</u>      | Common Stock | 102.26                     |
| Phantom Stock | <u>(2)</u>          | 07/03/2018 | A               | 8.45     | <u>(2)</u>       | <u>(2)</u>      | Common Stock | 8.45                       |
| Phantom Stock | <u>(3)</u>          | 09/28/2018 | A               | 98.23    | <u>(3)</u>       | <u>(3)</u>      | Common Stock | 98.23                      |
| Phantom Stock | <u>(2)</u>          | 10/02/2018 | A               | 9.25     | <u>(2)</u>       | <u>(2)</u>      | Common Stock | 9.25                       |
| Phantom Stock | <u>(3)</u>          | 12/14/2018 | A               | 91.09    | <u>(3)</u>       | <u>(3)</u>      | Common Stock | 91.09                      |
| Phantom Stock | <u>(2)</u>          | 01/03/2019 | A               | 10.62    | <u>(2)</u>       | <u>(2)</u>      | Common Stock | 10.62                      |
| Phantom Stock | <u>(4)</u>          | 02/01/2019 | A               | 19.06    | <u>(4)</u>       | <u>(4)</u>      | Common Stock | 19.06                      |
| Phantom Stock | <u>(5)</u>          | 02/01/2019 | A               | 19.06    | <u>(5)</u>       | <u>(5)</u>      | Common Stock | 19.06                      |
| Phantom Stock | <u>(6)</u>          | 02/01/2019 | A               | 19.06    | <u>(6)</u>       | <u>(6)</u>      | Common Stock | 19.06                      |
| Phantom Stock | <u>(7)</u>          | 02/01/2019 | A               | 19.06    | <u>(7)</u>       | <u>(7)</u>      | Common Stock | 19.06                      |
| Phantom Stock | <u>(8)</u>          | 02/01/2019 | A               | 19.06    | <u>(8)</u>       | <u>(8)</u>      | Common Stock | 19.06                      |
| Phantom Stock | <u>(4)</u>          | 03/29/2019 | A               | 27.85    | <u>(4)</u>       | <u>(4)</u>      | Common Stock | 27.85                      |
| Phantom Stock | <u>(5)</u>          | 03/29/2019 | A               | 27.85    | <u>(5)</u>       | <u>(5)</u>      | Common Stock | 27.85                      |
| Phantom Stock | <u>(6)</u>          | 03/29/2019 | A               | 27.85    | <u>(6)</u>       | <u>(6)</u>      | Common Stock | 27.85                      |
| Phantom Stock | <u>(7)</u>          | 03/29/2019 | A               | 27.85    | <u>(7)</u>       | <u>(7)</u>      | Common Stock | 27.85                      |
| Phantom Stock | <u>(8)</u>          | 03/29/2019 | A               | 27.85    | <u>(8)</u>       | <u>(8)</u>      | Common Stock | 27.85                      |



## Edgar Filing: Fogarty Maria V. - Form 4

economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2025 and can be transferred to other investments within the reporting person's deferred income plan account at any time.

- (2) Represents phantom stock automatically credited to the reporting person's deferred income plan account as a result of dividend equivalents paid on the phantom stock held by her within her deferred income plan account. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person at retirement and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- (3) Represents phantom stock acquired by the reporting person with deferred director fees paid by Spire Inc. pursuant to Spire Inc.'s deferred income plan. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person at retirement and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- (4) Represents phantom stock acquired by the reporting person with deferred director fees paid by Spire Inc. pursuant to Spire Inc.'s deferred income plan. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2021 and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- (5) Represents phantom stock acquired by the reporting person with deferred director fees paid by Spire Inc. pursuant to Spire Inc.'s deferred income plan. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2022 and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- (6) Represents phantom stock acquired by the reporting person with deferred director fees paid by Spire Inc. pursuant to Spire Inc.'s deferred income plan. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2023 and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- (7) Represents phantom stock acquired by the reporting person with deferred director fees paid by Spire Inc. pursuant to Spire Inc.'s deferred income plan. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2024 and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- (8) Represents phantom stock acquired by the reporting person with deferred director fees paid by Spire Inc. pursuant to Spire Inc.'s deferred income plan. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2025 and can be transferred to other investments within the reporting person's deferred income plan account at any time.
- (9) Represents phantom stock automatically credited to the reporting person's deferred income plan account as a result of dividend equivalents paid on the phantom stock held by her within her deferred income plan account. Each share of phantom stock is the economic equivalent of one share of Spire Inc. common stock. Shares of phantom stock are payable in cash to the reporting person in January 2021 and can be transferred to other investments within the reporting person's deferred income plan account at any time.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.