## Edgar Filing: New Mountain Finance Corp - Form 4

Form 4	ain Finance Corp											
March 07, 2017 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB AF OMB Number:	PROVAL 3235-0287			
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction Section 17(a) of the Public Utility Holding Company Act of 194 Section 17(a) of the Investment Company Act of 194						change Act of	Act of 1934, 1935 or Section	Expires:January 31, 2005Estimated average burden hours per response0.5				
1(b). (Print or Type Responses)												
1. Name and A Malpass Da	S N	2. Issuer Name <b>and</b> Ticker or Trading Symbol New Mountain Finance Corp [NMFC]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) 28 WEST 4 1501	(Month/Day/Year) 8 WEST 44TH STREET, SUITE 03/04/2017				isaction			XDirector10% Owner Officer (give titleOther (specify below)Other (specify				
	. If Amendment, Date Original ïled(Month/Day/Year)					<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>						
NEW YOR	.K, NY 10036							Person	ore than One Rej	porting		
(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								y Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if Tran Code (/Year) (Inst	nsaction(A e (I tr. 8)	A. Securiti A) or Dis Instr. 3, 4 Amount	posed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	03/04/2017		S			D	\$ 14.8 (1)	66,411	D			
Common Stock	03/04/2017		S	1	,500	D	\$ 14.82 (1)	0	Ι	By Spouse		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

## Edgar Filing: New Mountain Finance Corp - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Tit Amou Under Secur (Instr	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address		Relationships						
		Director	10% Owner	Officer	Other			
Malpass David 28 WEST 44TH STREET, SUITE 1501 NEW YORK, NY 10036		Х						
Signatures								
/s/ David Malpass	03/07/2017							
<u>**</u> Signature of	Date							

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported is the average weighted price. The shares were sold in multiple transactions. The reporting person undertakes to(1) provide to the SEC, the issuer and any security holder, upon request, full information regarding the number of shares and the prices at which the shares were purchased

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Person