AWM Investment Company, Inc.

Form 3

February 02, 2018

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

AWM Investment Company,

Inc.

(First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

(Month/Day/Year) 01/25/2018

Statement

EMAGIN CORP [EMAN]

(Check all applicable)

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

527 MADISON

(Last)

AVENUE. SUITE 2600

(Street)

Director Officer

__X__ 10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person

Form filed by More than One

Reporting Person

NEW YORK. NYÂ 10022

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Form: Direct (D) or Indirect

(I) (Instr. 5)

Common Stock 5,650,333 (1) (4) $I^{(1)}(4)$ By Limited Partnerships (1) (4)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Ownership Conversion or Exercise Form of

6. Nature of Indirect Beneficial Ownership

(Instr. 5) Price of Derivative

Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Warrants	05/24/2017	05/24/2022	Common Stock	1,625,000 (2) (4) (5)	\$ 2.45	I (2) (4) (5)	By Limited Partnerships (2) (4) (5)
Warrants	01/29/2018	01/29/2023	Common Stock	890,000 (3) (4) (5)	\$ 1.55	I (3) (4) (5)	By Limited Partnerships (3) (4) (5)

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
AWM Investment Company, Inc. 527 MADISON AVENUE SUITE 2600 NEW YORK, NY 10022	Â	ÂX	Â	Â		

Signatures

Adam C.
Stettner

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

AWM Investment Company, Inc., a Delaware Corporation (AWM), is the investment adviser to Special Situations Fund III QP, L.P. (QP), Special Situations Cayman Fund, L.P. (CAYMAN), Special Situations Technology Fund, L.P. (TECH) and Special Situations

- (1) Technology Fund II, L.P. (TECH II and together with QP, CAYMAN, and TECH, the Funds). As the investment adviser to the Funds, AWM holds sole voting and investment power over 1,890,677 shares of Common Stock of the Issuer (the Shares) held by QP, 717,158 Shares held by CAYMAN, 456,377 Shares held by TECH and 2,586,121 Shares held by TECH II.
 - AWM Investment Company, Inc., a Delaware Corporation (AWM), is the investment adviser to Special Situations Fund III QP, L.P. (QP), Special Situations Cayman Fund, L.P. (CAYMAN), Special Situations Technology Fund, L.P. (TECH) and Special Situations
- (2) Technology Fund II, L.P. (TECH II and together with QP, CAYMAN, and TECH, the Funds). As the investment adviser to the Funds, AWM holds sole voting and investment power over 543,750 warrants of the Issuer (the Shares) held by QP, 206,250 warrants held by CAYMAN, 131,250 warrants held by TECH and 743,750 warrants held by TECH II.
 - AWM Investment Company, Inc., a Delaware Corporation (AWM), is the investment adviser to Special Situations Fund III QP, L.P. (QP), Special Situations Cayman Fund, L.P. (CAYMAN), Special Situations Technology Fund, L.P. (TECH) and Special Situations
- (3) Technology Fund II, L.P. (TECH II and together with QP, CAYMAN, and TECH, the Funds). As the investment adviser to the Funds, AWM holds sole voting and investment power over 297,806 warrants of the Issuer (the Shares) held by QP, 112,962 warrants held by CAYMAN, 71,885 warrants held by TECH and 407,347 warrants held by TECH II.
- Austin W. Marxe (Marxe), David M. Greenhouse (Greenhouse) and Adam C. Stettner (Stettner) are the controlling principals of AWM.

 The reporting person disclaims beneficial ownership of the Shares, except to the extent of its pecuniary interest therein. This report shall not be deemed an admission that the reporting person is the beneficial owner of the securities reported herein for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.
- (5) The Warrants described herein may be exercised to the extent that the total number of shares of Common Stock then beneficially owned does not exceed 9.99% of the outstanding shares.

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.