#### COLUMBUS MCKINNON CORP

Form 4 May 21, 2014

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

3235-0287 Number: January 31,

2005 Estimated average

Expires:

burden hours per response...

> 10% Owner Other (specify

0.5

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

(Middle)

(Zip)

See Instruction 1(b).

(Last)

(City)

Common

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \* Woon Eric

2. Issuer Name and Ticker or Trading Symbol

COLUMBUS MCKINNON CORP

[CMCO]

3. Date of Earliest Transaction (Month/Day/Year)

05/19/2014

5. Relationship of Reporting Person(s) to

Issuer

Director

X\_ Officer (give title

(Check all applicable)

140 JOHN JAMES AUDUBON **PARKWAY** 

(First)

(Street)

(State)

4. If Amendment, Date Original

Filed(Month/Day/Year)

below) Vice President - APAC

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

D

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

AMHERST, NY 14228

1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	onAcquired (A) or	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	Disposed of (D)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)	Owned	Indirect (I)	Ownership
					Following	(Instr. 4)	(Instr. 4)
					Reported		
				(A)	Transaction(s)		
			~	or	(Instr. 3 and 4)		

Code V Amount (D) Price

9.515

Common 05/19/2014 Stock

2,864 12,379 (2) A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Options (Right to Buy)	\$ 14.8					01/25/2013	01/24/2020	Common Stock	8,333
Non-Qualified Stock Options (Right to Buy)	\$ 18.24	05/21/2014		M	0	05/17/2011	05/16/2020	Common Stock	0
Non-Qualified Stock Options (Right to Buy)	\$ 19.5	05/21/2014		M	0	05/23/2012	05/22/2021	Common Stock	0
Non-Qualified Stock Options (Right to Buy)	\$ 13.43	05/21/2014		M	0	05/21/2013	05/20/2022	Common Stock	0
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014	05/20/2023	Common Stock	4,970 (7)

# **Reporting Owners**

Relationships Reporting Owner Name / Address

Director 10% Owner Officer Other

Vice President - APAC

Woon Eric

140 JOHN JAMES AUDUBON PARKWAY

AMHERST, NY 14228

# **Signatures**

Eric Woon 05/21/2014 \*\*Signature of Date Reporting Person

Reporting Owners 2

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents performance shares issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan (1) dated as of July 26, 2010. 1,450 units units become fully vested and non-forfeitable on 5/23/2014 and the remaining 1,414 units become fully vested and non-forfeitable on 5/20/2016, if reporting person remains an employee of issuer.
  - Includes 7,353 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 618 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/23/2014, 1,376 shares become fully vested and non-forfeitable 50% per year
- (2) for two years beginning 5/21/2015, 2,413 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/20/2015, and the remaining 2,946 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.
- Originally granted 10,000 options. The reporting person exercised 959 options on 11/19/2013. 1,666 options are excerisable, subject to (3) IRS limitations, and the remaining 6,667 options become exercisable 50% per year for two years beginning 1/25/2014, if reporting person remain an employee of issuer.
- Originally granted 1,724 options. The reporting person exercised 103 options on 11/26/2013. 647 options are exercisable, subject to IRS limitations, and the remaining 431 options become exercisable 5/17/2014, if reporting person remains an employee of issuer.
- Originally granted 2,334 options. The reporting person exercised 583 options on 11/26/2013. 583 options are exercisable, subject to IRS (5) limitations, and the remaining 1,168 options become exercisable 50% per year for two years beginning 5/23/2014, if reporting person remains an employee of issuer.
- Originally granted 5,517 options. The reporting person exercised 689 options on 11/26/2013. 690 options are exercisable, subject to IRS (6) limitations, and the remaining 4,138 otpions become exercisable 33.33% per year for three years beginning 5/21/2014, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.