#### COLUMBUS MCKINNON CORP

Form 4 May 21, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

3235-0287 Number:

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Celi Ivo

2. Issuer Name and Ticker or Trading

Issuer

Symbol

COLUMBUS MCKINNON CORP

(Check all applicable)

5. Relationship of Reporting Person(s) to

[CMCO]

(Last) (First) (Middle) 3. Date of Earliest Transaction

Director 10% Owner X\_ Officer (give title \_ Other (specify below)

(Month/Day/Year)

05/19/2014

Vice President - EMEA

140 JOHN JAMES AUDUBON **PARKWAY** 

(Street)

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

AMHERST, NY 14228

(City)	(State) (2	Table	I - Non-De	erivative S	Securi	ties Ac	quired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock							21,000	D	
Common Stock	05/19/2014		A	6,555 (1)	A	\$0	27,555	D	
Common Stock	05/19/2014		A	3,436 (2)	A	\$0	30,991 (3)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not required to respond unless the form

(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Non-Qualified Stock Options (Right to Buy)	\$ 14.8					01/25/2013	01/24/2020	Common Stock	10,0
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011	05/16/2020	Common Stock	3,5
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012	05/22/2021	Common Stock	6,3
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013	05/20/2022	Common Stock	11,2 <u>(7</u>
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					(8)	05/20/2023	Common Stock	9,0 (8
Non-Qualified Stock Options (Right to Buy)	\$ 27.12	05/19/2014		A	8,706	05/19/2014	05/19/2024	Common Stock	8,7

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
coporting of the real of the coordinate of the c	Director	10% Owner	Officer	Other		
Celi Ivo 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228			Vice President - EMEA			

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### **Signatures**

Ivo Celi 05/21/2014

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents performance shares issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan (1) dated as of July 26, 2010. 3,971 units units become fully vested and non-forfeitable on 5/23/2014 and the remaining 2,584 units become fully vested and non-forfeitable on 5/20/2016, if reporting person remains an employee of issuer.
- Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan (2) dated as of July 26, 2010, subject to forfeiture in whole or part, become fully vested and non-forfeitable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.
  - Includes 14,426 shares of restricted stock units issued to reporting person, subject to forfeiture in whole or part; 2,538 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/23/2013, 2,802 units become fully vested and non-forfeitable
- (3) 50% per year for two years beginning 5/21/2015, 3,230 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/20/2015; and the remaining 5,856 shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of issuer.
- (4) Exercisable 50% per year for two years beginning 1/25/2014, if reporting person remains an employee of issuer.
- (5) All exercisable, subject to IRS limitations.
- (6) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive (9) Plan dated as of July 26, 2010, subject to forfeiture in whole or part; options become exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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