Capitol Federal Financial Inc

Form 4

January 14, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

2. Issuer Name and Ticker or Trading

OMB Number:

OMB APPROVAL

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

DICUS JO	HN B		Symbol Capitol [CFFN	l Federal F	inancia	l Inc		Issuer (Che	eck all applicab	le)
	(First) TOL FEDERAL AL, 700 SOUTH AVENUE			of Earliest Tr Day/Year) 2014	ansaction			X Director X Officer (gibelow)		
				endment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting		
(City)	(State)	(Zip)	. T 1.	L. I. N D		G	-	Person	. C D C	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	te 2A. Deer Execution	ned	3. Transaction	4. Securi (A) or D (Instr. 3,	ties A ispose	cquired d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect
CFFN common stock	01/10/2014				3,554		\$ 11.87	1,288,066	D	
CFFN common stock								75,536	I	ESOP
CFFN common stock								6,723	I	Custodian for Child 1

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CFFN common stock	6,791	I	Custodian for Child 2
CFFN common stock	6,791	I	Custodian for Child 3
CFFN common stock	226	I	Spouse for Child 1
CFFN common stock	226	I	Spouse for Child 2
CFFN common stock	226	I	Spouse for Child 3

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
CFFN phantom stock 2012	\$ 11.54					12/31/2014	12/31/2014	CFFN common stock	12,998
CFFN phantom stock 2013	\$ 11.69					12/31/2015	12/31/2015	CFFN common stock	12,831
CFFN phantom stock 2014	\$ 12.11					12/31/2016	12/31/2016	CFFN common stock	10,495

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CFFN				CFFN	
Incentive	\$ 11.91	(1)	05/14/2022	common	33,584
Stock Option				stock	
CFFN				CFFN	
Non-qualified	\$ 11.91	(2)	05/14/2027	common	100,116
Stock Option				stock	

Reporting Owners

Reporting Owner Name / Address	Relationships						
. 0	Director	10% Owner	Officer	Other			
DICUS JOHN B							
C/O CAPITOL FEDERAL FINANCIAL	X		Chairman and Drasidant				
700 SOUTH KANSAS AVENUE	X Chairman and President						
TOPEKA, KS 66603							

Signatures

James D. Wempe, Power of 01/14/2014 Attorney **Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 8,396 options vest on January 10, 2013, January 10, 2014, January 10, 2015 and January 10, 2016.
- (2) 25,029 options vest on January 10, 2013, January 10, 2014, January 10, 2015 and January 10, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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