

COLUMBUS MCKINNON CORP
Form 4
May 28, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Buer Gene P

2. Issuer Name and Ticker or Trading Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)
 Director 10% Owner
 Officer (give title below) Other (specify below)
VP Global Svcs/Vertical Markets

(Last) (First) (Middle)
140 JOHN JAMES AUDUBON
PARKWAY
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
05/23/2014

AMHERST, NY 14228

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock					41,569	D	
Common Stock	05/23/2014		F	316 ⁽¹⁾ D \$ 30.29	41,253	D	
Common Stock	05/23/2014		F	1,556 ⁽²⁾ D \$ 30.29	39,697 ⁽³⁾	D	
Common Stock					955.0536 ⁽⁴⁾	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Options (Right to Buy)	\$ 28.45					05/19/2009	05/18/2018	Common Stock	432
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011	05/16/2020	Common Stock	1,622
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012	05/22/2021	Common Stock	3,230
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013	05/20/2022	Common Stock	8,360
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014	05/20/2023	Common Stock	9,299 ⁽⁸⁾
Non-Qualified Stock Options (Right to Buy)	\$ 27.12					05/19/2015	05/19/2024	Common Stock	8,325

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

VP Global Svs/Vertical Markets

Buer Gene P
140 JOHN JAMES AUDUBON PARKWAY
AMHERST, NY 14228

Signatures

Gene P. Buer 05/28/2014

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to satisfy tax withholding obligation upon vesting of 855 restricted stock units on 5/23/2014.
- (2) Represents shares withheld to satisfy tax withholding obligation upon vesting 4,015 performance share units on 5/23/2014.
Includes 18,679 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 856 shares become fully vested and non-forfeitable on 5/23/2015, 2,779 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/21/2015,
- (3) 3,306 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/20/2015, 5,808 shares become fully vested and non-forfeitable on 5/21/2015, 3,285 shares become fully vested and non-forfeitable for four years beginning 5/19/2015, and 2,645 shares become fully vested and non-forfeitable on 5/20/2016, if reporting person remains an employee of issuer.
- (4) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (5) Fully exercisable, subject to IRS limitations.
- (6) Exercisable 50% per year for two years beginning 5/23/2014, if reporting person remains an employee of issuer.
- (7) Exercisable 33.33% per year for three years beginning 5/21/2014, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- (9) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.