#### **COLUMBUS MCKINNON CORP**

Form 4

December 04, 2013

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

1(b).

Common

Common

Common

Common

Stock

Stock

Stock

Stock

12/02/2013

12/02/2013

12/02/2013

12/02/2013

(Print or Type Responses)

1. Name and A Buer Gene	Address of Reporting P	Symbol	MBUS MCKINNON CORP	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) 140 JOHN PARKWA	JAMES AUDUB	(Month	of Earliest Transaction /Day/Year) /2013	Director 10% Owner Softicer (give title Other (specify below) below)  VP NA & Global Vertical Market			
AMHERST	(Street)		nendment, Date Original (onth/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip) Ta	ble I - Non-Derivative Securities A	cquired, Disposed of, or Beneficially Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Code (Instr. 3, 4 and 5)	Securities Ownership Indirect Beneficially Form: Direct Beneficial Owned (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4) Transaction(s) (Instr. 3 and 4)			
Common Stock				26,783 (1) D			

M

M

M

M

5,992

4,008

7,680

4,868

32,775 <u>(1)</u>

36,783 (1)

44,463 (1)

49,331 (1)

D

D

D

D

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Common Stock	12/02/2013	M	3,230	A	\$ 19.5	52,561 <u>(1)</u>	D
Common Stock	12/02/2013	M	2,786	A	\$ 13.43	55,347 <u>(1)</u>	D
Common Stock	12/02/2013	F	4,929	D	\$ 27.02	50,418 (1)	D
Common Stock	12/02/2013	F	17,109	D	\$ 26.53	33,309 (1)	D
Common Stock							D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	Derivative Expiration Date I		Expiration Date		Derivative Expiration Date Underly Securities (Month/Day/Year) (Instr. 3 Acquired (A) or Disposed of (D) (Instr. 3, 4,		Secur
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Am or Nui of S		
Non-Qualified Stock Options (Right to Buy)	\$ 28.45					05/19/2009	05/18/2018	Common Stock	4		
Non-Qualified Stock Options (Right to Buy)	\$ 16.81	12/02/2013		M	10,000	10/20/2011	10/19/2018	Common Stock	10		
Non-Qualified Stock Options (Right to Buy)	\$ 13.27	12/02/2013		M	7,680	05/18/2010	05/17/2019	Common Stock	7,		
Non-Qualified Stock Options (Right to Buy)	\$ 18.24	12/02/2013		M	4,868	05/17/2011	05/16/2020	Common Stock	4,		
Non-Qualified Stock Options (Right to Buy)	\$ 19.5	12/02/2013		M	3,230	05/23/2012	05/22/2021	Common Stock	3,		

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Non-Qualified Stock Options (Right to Buy)	\$ 13.43	12/02/2013	M	2,786	05/21/2013	05/20/2022	Common Stock	2,
Non-Qualified Stock Options (Right to Buy)	\$ 18.95				05/20/2014	05/20/2023	Common Stock	9,

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Buer Gene P 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228

VP NA & Global Vertical Market

## **Signatures**

Gene P. Buer 12/03/2013

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Includes 18,200 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 2,104 shares become fully vested and non-forfeitable 5/17/2014; 1,711 shares become fully vested and non-forfeitable 50% per year for two years beginning
- (1) 5/23/14; 4,169 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/21/14; 4,408 shares become fully vested and non-forfeitable 25% per year for four year beginning 5/20/14; and the remaining 5,808 shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of issuer.
- (2) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (3) Fully exercisable, subject to IRS limitations.
- (4) The reporting person exercised 10,000 options on 12/02/2013.
- (5) The reporting person exercised 7,680 options on 12/02/2013.
- (6) The reporting person exercised 4,868 options on 12/02/2013. The remaining 1,622 options become exercisable on 5/17/2014, if reporting person remains an employee of issuer.
- (7) The reporting person exercised 3,230 options on 12/02/2013. The remaining 3,230 options become exercisable 50% per year for two years beginning 5/23/2014, if reporting person remains an employee of issuer.
- (8) The reporting person exercised 2,786 options on 12/02/2013. The remaining 8,360 options become exercisable 33.33% per year for three years beginning 5/21/2014, if reporting person remains an employee of issuer.
- (9) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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