

LIQUIDMETAL TECHNOLOGIES INC

Form NT 10-Q

November 10, 2016

**UNITED STATES**

**SECURITIES AND EXCHANGE COMMISSION**

**Washington, D.C. 20549**

**FORM 12b-25**

**NOTIFICATION OF LATE FILING**

*(Check one):* Form 10-K      Form 20-F      Form 11-K      Form 10-Q      Form 10-D  
Form N-SAR      Form N-CSR

For Period Ended: September 30, 2016

Transition Report

on Form 10-K

Transition Report

on Form 20-F

Transition Report

on Form 11-K

Transition Report

on Form 10-Q

Transition Report

on Form N-SAR

For the Transition

Period Ended:

*Read Instructions (on back page) Before Preparing Form. Please Print or Type.*

**Nothing in this form shall be construed to imply that the Commission has verified any information contained herein.**

If the notification relates to a portion of the filing checked above, identify the Item(s) to which the notification relates:

**PART I — REGISTRANT INFORMATION**

**LIQUIDMETAL TECHNOLOGIES, INC.**

Full Name of Registrant

Former Name if Applicable

**30452 Esperanza**

Address of Principal Executive Office (*Street and Number*)

**Rancho Santa Margarita, CA 92688**

City, State and Zip Code

**PART II — RULES 12b-25(b) AND (c)**

If the subject report could not be filed without unreasonable effort or expense and the registrant seeks relief pursuant to Rule 12b-25(b), the following should be completed. (Check box if appropriate)

- (a) The reason described in reasonable detail in Part III of this form could not be eliminated without unreasonable effort or expense  
The subject annual report, semi-annual report, transition report on Form 10-K, Form 20-F, Form 11-K, Form N-SAR or Form N-CSR, or portion thereof, will be filed on or before the fifteenth calendar day following
- (b) the prescribed due date; or the subject quarterly report or transition report on Form 10-Q or subject distribution report on Form 10-D, or portion thereof, will be filed on or before the fifth calendar day following the prescribed due date; and
- (c) The accountant's statement or other exhibit required by Rule 12b-25(c) has been attached if applicable.

**PART III — NARRATIVE**

State below in reasonable detail why Forms 10-K, 20-F, 11-K, 10-Q, 10-D, N-SAR, N-CSR, or the transition report or portion thereof, could not be filed within the prescribed time period.

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The preparation of the Registrant's quarterly report on Form 10-Q has taken longer than anticipated and could not be completed by the required filing date of November 9, 2016 without unreasonable effort and expense, as additional time is needed to determine whether the Registrant will need to accrue a liability for a potential loss contingency relating to a recently asserted contractual claim and to determine whether such loss contingency is material.

The Registrant expects to file its Form 10-Q within the prescribed extended period of November 14, 2016.

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(Attach extra Sheets if Needed)

**PART IV — OTHER INFORMATION**

- (1) Name and telephone number of person to contact in regard to this notification  
Tony Chung 949 635-2170  
(Name) (Area Code) (Telephone Number)

- Have all other periodic reports required under Section 13 or 15(d) of the Securities Exchange Act of 1934 or Section 30 of the Investment Company Act of 1940 during the preceding 12 months or for such shorter period that the registrant was required to file such report(s) been filed? If answer is no, identify report(s).

Yes No

- Is it anticipated that any significant change in results of operations from the corresponding (3) period for the last fiscal year will be reflected by the earnings statements to be included in the subject report or portion thereof?

Yes No

If so, attach an explanation of the anticipated change, both narratively and quantitatively, and, if appropriate, state the reasons why a reasonable estimate of the results cannot be made.

**LIQUIDMETAL TECHNOLOGIES, INC.**

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(Name of Registrant as Specified in Charter)

has caused this notification to be signed on its behalf by the undersigned hereunto duly authorized.

Date November 10, 2016 By/s/ Tony Chung  
Tony Chung  
Chief Financial Officer

INSTRUCTION: The form may be signed by an executive officer of the registrant or by any other duly authorized representative. The name and title of the person signing the form shall be typed or printed beneath the signature. If the statement is signed on behalf of the registrant by an authorized representative (other than an executive officer), evidence of the representative's authority to sign on behalf of the registrant shall be filed with the form.

**ATTENTION**  
**Intentional**  
**misstatements**  
**or omissions of**  
**fact constitute**  
**Federal**  
**Criminal**  
**Violations (See**  
**18 U.S.C.**  
**1001).**