

Smith Hatton C.V.  
Form 4  
April 18, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Smith Hatton C.V.

2. Issuer Name and Ticker or Trading Symbol  
ServisFirst Bancshares, Inc. [SFBS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
160 CLEAGE DRIVE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
04/17/2019

Director  10% Owner  
 Officer (give title below)  Other (specify below)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

BIRMINGHAM, AL 35217

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
COMMON STOCK	04/17/2019		A	579 <sup>(1)</sup> A	\$ 0 363,573 <sup>(2)</sup>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**



## Edgar Filing: Smith Hatton C.V. - Form 4

- On June 16, 2014 ServisFirst Bancshares, Inc. declared a 3-for-1 stock split in the form of a stock dividend. On July 16, 2014, all holders of record of common stock as of the close of business on July 9, 2014 received a distribution of an additional two shares of ServisFirst Bancshares, Inc. common stock for each share held. As a result, the reporting person received 20,000 options to purchase shares of ServisFirst Bancshares, Inc. common stock as of July 16, 2014.
- (3) On November 16, 2016 ServisFirst Bancshares, Inc. declared a 2-for-1 stock split in the form of a stock dividend. On December 20, 2016, all holders of record of common stock as of the close of business on December 5, 2016 received a distribution of one additional share of ServisFirst Bancshares, Inc. common stock for each share held. As a result, the reporting person received 30,000 options to purchase shares of ServisFirst Bancshares, Inc. common stock as of December 20, 2016.
- On November 16, 2016 ServisFirst Bancshares, Inc. declared a 2-for-1 stock split in the form of a stock dividend. On December 20, 2016, all holders of record of common stock as of the close of business on December 5, 2016 received a distribution of one additional share of ServisFirst Bancshares, Inc. common stock for each share held. As a result, the reporting person received 6,500 options to purchase shares of ServisFirst Bancshares, Inc. common stock as of December 20, 2016.
- (4)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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