

Edgar Filing: SOURCE CAPITAL INC /DE/ - Form NSAR-A

SOURCE CAPITAL INC /DE/

Form NSAR-A

August 30, 2016

PAGE 1  
000 A000000 06/30/2016  
000 C000000 0000091847  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 SOURCE CAPITAL, INC.  
001 B000000 811-1731  
001 C000000 3109965460  
002 A000000 11601 Wilshire Blvd., Suite 1200  
002 B000000 Los Angeles  
002 C000000 CA  
002 D010000 90025  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 FIRST PACIFIC ADVISORS, LLC  
008 B000001 A  
008 C000001 801-67160  
008 D010001 LOS ANGELES  
008 D020001 CA  
008 D030001 90025  
010 A000001 STATE STREET BANK AND TRUST COMPANY  
010 B000001 85-05003  
010 C010001 BOSTON  
010 C020001 MA  
010 C030001 02111  
012 A000001 AMERICAN STOCK TRANSFER & TRUST CO LLC  
012 B000001 84-00416  
012 C010001 BROOKLYN  
012 C020001 NY  
012 C030001 11219  
013 A000001 DELOITTE & TOUCHE LLP  
013 B010001 LOS ANGELES  
013 B020001 CA  
013 B030001 90071  
013 B040001 3462  
015 A000001 STATE STREET BANK AND TRUST COMPANY  
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015 C020001 MA  
015 C030001 02105  
015 E010001 X  
018 000000 Y  
PAGE 2  
019 A000000 N  
019 B000000 0  
020 A000001 SANFORD C. BERNSTEIN & CO., LLC  
020 B000001 13-4132953  
020 C000001 32  
020 A000002 JP MORGAN CHASE & CO.

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020 B000002 74-3023395  
020 C000002 8  
020 A000003 JEFFERIES & CO., INC.  
020 B000003 95-2622900  
020 C000003 6  
020 A000004 HSBC SECURITIES INC.  
020 B000004 13-2650272  
020 C000004 4  
020 A000005 EVERCORE ISI INSTITUTIONAL EQUITIES  
020 B000005 20-4748747  
020 C000005 4  
020 A000006 CREDIT LYONNAIS  
020 B000006 13-2602298  
020 C000006 3  
020 A000007 MORGAN STANLEY & CO. INC  
020 B000007 13-2655998  
020 C000007 3  
020 A000008 BARCLAYS CAPITAL, INC.  
020 B000008 05-0346412  
020 C000008 3  
020 A000009 CANTOR FITZGERALD & CO.  
020 B000009 13-3680184  
020 C000009 3  
020 A000010 ANCORA SECURITIES INC  
020 B000010 41-2071875  
020 C000010 2  
021 000000 88  
022 A000001 STATE STREET BANK & TRUST CO.  
022 B000001 04-1867445  
022 C000001 3127398  
022 D000001 0  
022 A000002 PERSHING LLC  
022 B000002 13-2741729  
022 C000002 119354  
022 D000002 24997  
022 A000003 CITIGROUP  
022 B000003 13-3214963  
022 C000003 31256  
022 D000003 0  
022 A000004 BREAN CAPITAL, LLC.  
022 B000004 13-3490212  
022 C000004 22479  
022 D000004 7503  
022 A000005 WELLS FARGO INVESTMENTS  
022 B000005 91-1374280  
PAGE 3  
022 C000005 27986  
022 D000005 649  
022 A000006 GENERAL ELECTRIC CAPITAL CORP  
022 B000006 13-1300700  
022 C000006 19998  
022 D000006 0  
022 A000007 JP MORGAN CHASE & CO.  
022 B000007 74-3023395  
022 C000007 13742  
022 D000007 0  
022 A000008 ROYAL BANK OF CANADA  
022 B000008 56-1688522  
022 C000008 8097  
022 D000008 0  
022 A000009 BETZOLD BERG & NUSSBAUM INC.  
022 B000009 20-8098412

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| 023 | D000000 | 34700                         |
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| 025 | B000001 | 13-3214963                    |
| 025 | C000001 | E                             |
| 025 | D000001 | 12033                         |
| 025 | A000002 | CITIGROUP                     |
| 025 | B000002 | 13-3214963                    |
| 025 | C000002 | D                             |
| 025 | D000002 | 303                           |
| 025 | A000003 | GENERAL ELECTRIC CAPITAL CORP |
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| 025 | C000003 | E                             |
| 025 | D000003 | 6761                          |
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| 028 | A030000 | 0                             |
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| 028 | C020000 | 0                             |
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| 028 | D020000 | 0                             |
| 028 | D030000 | 0                             |
| 028 | D040000 | 0                             |
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| 028 | E020000 | 0                             |
| 028 | E030000 | 0                             |
| 028 | E040000 | 0                             |
| 028 | F010000 | 0                             |
| 028 | F020000 | 0                             |
| 028 | F030000 | 0                             |
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| 028 | G020000 | 0                             |
| 028 | G030000 | 0                             |
| 028 | G040000 | 0                             |
| 028 | H000000 | 0                             |
| 030 | A000000 | 0                             |

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|     |         |        |
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| 030 | B000000 | 0.00   |
| 030 | C000000 | 0.00   |
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| 036 | B000000 | 0      |
| 038 | 000000  | 0      |
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| 042 | B000000 | 0      |
| 042 | C000000 | 0      |
| 042 | D000000 | 0      |
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| 042 | F000000 | 0      |
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| 043 | 000000  | 0      |
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| 045 | 000000  | Y      |
| 046 | 000000  | N      |
| 047 | 000000  | Y      |
| 048 | 000000  | 0.000  |
| 048 | A010000 | 100000 |
| 048 | A020000 | 0.725  |
|     | PAGE    | 5      |
| 048 | B010000 | 100000 |
| 048 | B020000 | 0.700  |
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| 048 | C020000 | 0.000  |
| 048 | D010000 | 0      |
| 048 | D020000 | 0.000  |
| 048 | E010000 | 0      |
| 048 | E020000 | 0.000  |
| 048 | F010000 | 0      |
| 048 | F020000 | 0.000  |
| 048 | G010000 | 0      |
| 048 | G020000 | 0.000  |
| 048 | H010000 | 0      |
| 048 | H020000 | 0.000  |
| 048 | I010000 | 0      |
| 048 | I020000 | 0.000  |
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| 053 | C000000 | N      |
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| 054 | E000000 | N      |
| 054 | F000000 | N      |
| 054 | G000000 | Y      |
| 054 | H000000 | Y      |
| 054 | I000000 | N      |

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|     |         |   |     |
|-----|---------|---|-----|
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| 054 | K000000 | N |     |
| 054 | L000000 | N |     |
| 054 | M000000 | Y |     |
| 054 | N000000 | N |     |
| 054 | O000000 | Y |     |
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| 055 | B000000 | N |     |
| 056 | 000000  | Y |     |
| 057 | 000000  | N |     |
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| 059 | 000000  | Y |     |
| 060 | A000000 | Y |     |
| 060 | B000000 | Y |     |
| 061 | 000000  |   | 0   |
|     | PAGE    | 6 |     |
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| 062 | M000000 |   | 0.0 |
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| 066 | C000000 | N |     |
| 066 | D000000 | N |     |
| 066 | E000000 | N |     |
| 066 | F000000 | N |     |
| 066 | G000000 | Y |     |
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| 068 | B000000 | N |     |
| 069 | 000000  | N |     |
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| 070 | A020000 | Y |     |
| 070 | B010000 | Y |     |
| 070 | B020000 | N |     |
| 070 | C010000 | N |     |
| 070 | C020000 | N |     |
| 070 | D010000 | N |     |
| 070 | D020000 | N |     |
| 070 | E010000 | N |     |
| 070 | E020000 | N |     |
| 070 | F010000 | N |     |
| 070 | F020000 | N |     |
| 070 | G010000 | N |     |
| 070 | G020000 | N |     |
| 070 | H010000 | N |     |

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|       |         |   |        |
|-------|---------|---|--------|
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| 070   | I020000 | N |        |
| 070   | J010000 | Y |        |
| 070   | J020000 | Y |        |
|       | PAGE    | 7 |        |
| 070   | K010000 | Y |        |
| 070   | K020000 | N |        |
| 070   | L010000 | Y |        |
| 070   | L020000 | Y |        |
| 070   | M010000 | Y |        |
| 070   | M020000 | Y |        |
| 070   | N010000 | Y |        |
| 070   | N020000 | N |        |
| 070   | O010000 | Y |        |
| 070   | O020000 | N |        |
| 070   | P010000 | Y |        |
| 070   | P020000 | Y |        |
| 070   | Q010000 | N |        |
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| 070   | R010000 | Y |        |
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| 072EE | 000000  |   | 291249 |
| 073   | A010000 |   | 0.8200 |
| 073   | A020000 |   | 0.0000 |

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| 073 | B000000 | 33.6500 |
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|           |                   |              |
|-----------|-------------------|--------------|
| 086       | A020000           | 0            |
| 086       | B010000           | 0            |
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| 086       | F010000           | 0            |
| 086       | F020000           | 0            |
| 087       | A010000           | COMMON STOCK |
| 087       | A020000           | 836144-10    |
| 087       | A030000           | SOR          |
| 088       | A000000           | N            |
| 088       | B000000           | N            |
| 088       | C000000           | N            |
| 088       | D000000           | N            |
| SIGNATURE | J. RICHARD ATWOOD |              |
| TITLE     | PRESIDENT         |              |