

CORNERSTONE TOTAL RETURN FUND INC
Form N-PX
August 17, 2018

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT

INVESTMENT COMPANY

Investment Company Act file number 811-02363

Cornerstone Total Return Fund, Inc.

(Exact name of registrant as specified in charter)

225 Pictoria Drive, Suite 450, Cincinnati, OH 45246
(Address of principal executive offices) (Zip code)

Benjamin V. Mollozzi, Esq.

c/o Ultimus Fund Solutions, LLC, 225 Pictoria Drive, Suite 450, Cincinnati, OH 45246

(Name and address of agent for service)

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Registrant's telephone number, including area code: (866) 668-6558

Date of fiscal year end: December 31

Date of reporting period: July 1, 2017 - June 30, 2018

ITEM 1. PROXY VOTING RECORD.

| Company Name | Ticker | CUSIP | Meeting Date | Proposal Number | Proposal Description | Proponent | Ballot Status | M |
|---------------------|--------|-----------|--------------|-----------------|---|-----------|---------------|----|
| 3M Company | MMM | 88579Y101 | 5/8/2018 | 1 | Elect Sondra L. Barbour | Mgmt | Voted | Fo |
| 3M Company | MMM | 88579Y101 | 5/8/2018 | 2 | Elect Thomas K. Brown | Mgmt | Voted | Fo |
| 3M Company | MMM | 88579Y101 | 5/8/2018 | 3 | Elect David B. Dillon | Mgmt | Voted | Fo |
| 3M Company | MMM | 88579Y101 | 5/8/2018 | 4 | Elect Michael L. Eskew | Mgmt | Voted | Fo |
| 3M Company | MMM | 88579Y101 | 5/8/2018 | 5 | Elect Herbert L. Henkel | Mgmt | Voted | Fo |
| 3M Company | MMM | 88579Y101 | 5/8/2018 | 6 | Elect Amy E. Hood | Mgmt | Voted | Fo |
| 3M Company | MMM | 88579Y101 | 5/8/2018 | 7 | Elect Muhtar Kent | Mgmt | Voted | Fo |
| 3M Company | MMM | 88579Y101 | 5/8/2018 | 8 | Elect Edward M. Liddy | Mgmt | Voted | Fo |
| 3M Company | MMM | 88579Y101 | 5/8/2018 | 9 | Elect Gregory R. Page | Mgmt | Voted | Fo |
| 3M Company | MMM | 88579Y101 | 5/8/2018 | 10 | Elect Michael F. Roman | Mgmt | Voted | Fo |
| 3M Company | MMM | 88579Y101 | 5/8/2018 | 11 | Elect Inge G. Thulin | Mgmt | Voted | Fo |
| 3M Company | MMM | 88579Y101 | 5/8/2018 | 12 | Elect Patricia A. Woertz | Mgmt | Voted | Fo |
| 3M Company | MMM | 88579Y101 | 5/8/2018 | 13 | Ratification of Auditor | Mgmt | Voted | Fo |
| 3M Company | MMM | 88579Y101 | 5/8/2018 | 14 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| 3M Company | MMM | 88579Y101 | 5/8/2018 | 15 | Shareholder Proposal Regarding Right to Call Special Meetings | ShrHoldr | Voted | A |
| 3M Company | MMM | 88579Y101 | 5/8/2018 | 16 | Shareholder Proposal Regarding Employee Salary Considerations When Setting Executive Compensation | ShrHoldr | Voted | A |
| Abbott Laboratories | ABT | 002824100 | 4/27/2018 | 1.1 | Elect Robert J. Alpern | Mgmt | Voted | Fo |
| Abbott Laboratories | ABT | 002824100 | 4/27/2018 | 1.2 | Elect Roxanne S. Austin | Mgmt | Voted | Fo |
| Abbott Laboratories | ABT | 002824100 | 4/27/2018 | 1.3 | Elect Sally E. Blount | Mgmt | Voted | Fo |
| Abbott Laboratories | ABT | 002824100 | 4/27/2018 | 1.4 | Elect Edward M. Liddy | Mgmt | Voted | Fo |

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|---------------------|------|-----------|-----------|------|--|----------|-------|----|
| Abbott Laboratories | ABT | 002824100 | 4/27/2018 | 1.5 | Elect Nancy McKinstry | Mgmt | Voted | Fo |
| Abbott Laboratories | ABT | 002824100 | 4/27/2018 | 1.6 | Elect Phebe N. Novakovic | Mgmt | Voted | Fo |
| Abbott Laboratories | ABT | 002824100 | 4/27/2018 | 1.7 | Elect William A. Osborn | Mgmt | Voted | Fo |
| Abbott Laboratories | ABT | 002824100 | 4/27/2018 | 1.8 | Elect Samuel C. Scott III | Mgmt | Voted | Fo |
| Abbott Laboratories | ABT | 002824100 | 4/27/2018 | 1.9 | Elect Daniel J. Starks | Mgmt | Voted | Fo |
| Abbott Laboratories | ABT | 002824100 | 4/27/2018 | 1.10 | Elect John G. Stratton | Mgmt | Voted | Fo |
| Abbott Laboratories | ABT | 002824100 | 4/27/2018 | 1.11 | Elect Glenn F. Tilton | Mgmt | Voted | Fo |
| Abbott Laboratories | ABT | 002824100 | 4/27/2018 | 1.12 | Elect Miles D. White | Mgmt | Voted | Fo |
| Abbott Laboratories | ABT | 002824100 | 4/27/2018 | 2 | Ratification of Auditor | Mgmt | Voted | Fo |
| Abbott Laboratories | ABT | 002824100 | 4/27/2018 | 3 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Abbott Laboratories | ABT | 002824100 | 4/27/2018 | 4 | Shareholder Proposal Regarding Independent Board Chair | ShrHoldr | Voted | Ap |
| AbbVie Inc. | ABBV | 00287Y109 | 5/4/2018 | 1.1 | Elect Roxanne S. Austin | Mgmt | Voted | Fo |
| AbbVie Inc. | ABBV | 00287Y109 | 5/4/2018 | 1.2 | Elect Richard A. Gonzalez | Mgmt | Voted | Fo |
| AbbVie Inc. | ABBV | 00287Y109 | 5/4/2018 | 1.3 | Elect Rebecca B. Roberts | Mgmt | Voted | Fo |
| AbbVie Inc. | ABBV | 00287Y109 | 5/4/2018 | 1.4 | Elect Glenn F. Tilton | Mgmt | Voted | Fo |
| AbbVie Inc. | ABBV | 00287Y109 | 5/4/2018 | 2 | Ratification of Auditor | Mgmt | Voted | Fo |
| AbbVie Inc. | ABBV | 00287Y109 | 5/4/2018 | 3 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| AbbVie Inc. | ABBV | 00287Y109 | 5/4/2018 | 4 | Frequency of Advisory Vote on Executive Compensation | Mgmt | Voted | 1 |
| AbbVie Inc. | ABBV | 00287Y109 | 5/4/2018 | 5 | Repeal of Classified Board | Mgmt | Voted | Fo |
| AbbVie Inc. | ABBV | 00287Y109 | 5/4/2018 | 6 | Elimination of Supermajority Requirement | Mgmt | Voted | Fo |
| AbbVie Inc. | ABBV | 00287Y109 | 5/4/2018 | 7 | Shareholder Proposal | ShrHoldr | Voted | Ap |

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|-----------------------------|------|-----------|-----------|-----|---|-----------|-------|----|
| AbbVie Inc. | ABBV | 00287Y109 | 5/4/2018 | 8 | Regarding Lobbying Report | | | |
| AbbVie Inc. | ABBV | 00287Y109 | 5/4/2018 | 9 | Shareholder Proposal Regarding Independent Board Chair | ShrHolder | Voted | AP |
| AbbVie Inc. | ABBV | 00287Y109 | 5/4/2018 | 9 | Shareholder Proposal Regarding Report on Linking Executive Compensation to Drug Pricing | ShrHolder | Voted | AP |
| Aberdeen Chile Fund, Inc. | CH | 00301W105 | 1/26/2018 | 1 | Increase of Authorized Common Stock | Mgmt | Voted | FC |
| Aberdeen Chile Fund, Inc. | CH | 00301W105 | 1/26/2018 | 2 | Issuance of Common Stock | Mgmt | Voted | FC |
| Aberdeen Chile Fund, Inc. | CH | 00301W105 | 1/26/2018 | 3 | Approve the Elimination of the Fund's Fundamental Investment Policy to Invest Primarily in Chilean Securities | Mgmt | Voted | FC |
| Aberdeen Chile Fund, Inc. | CH | 00301W105 | 1/26/2018 | 4 | Amendment to Fundamental Investment Restriction Regarding Borrowing | Mgmt | Voted | FC |
| Aberdeen Chile Fund, Inc. | CH | 00301W105 | 1/26/2018 | 5 | Amendment to the Investment Advisory Agreement | Mgmt | Voted | FC |
| Aberdeen Chile Fund, Inc. | CH | 00301W105 | 1/26/2018 | 1 | Elect Nancy Yao Maasbach | Mgmt | Voted | FC |
| Aberdeen Chile Fund, Inc. | CH | 00301W105 | 1/26/2018 | 2 | Elect C. William Maher | Mgmt | Voted | FC |
| Aberdeen Chile Fund, Inc. | CH | 00301W105 | 1/26/2018 | 3 | Elect Rahn K. Porter | Mgmt | Voted | FC |
| Aberdeen Singapore Fund Inc | SGF | 003244100 | 4/2/2018 | 1 | Reorganizations of the Aberdeen Singapore Fund, Inc. into the Aberdeen Chile Fund | Mgmt | Voted | NA |
| | ADX | 006212104 | 4/19/2018 | 1.1 | Elect Enrique R. Arzac | Mgmt | Voted | FC |

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Adams Diversified
Equity Fund

| | | | | | | | | |
|-------------------------------------|-----|-----------|-----------|-----|----------------------------------|------|-------|----|
| Adams Diversified Equity Fund | ADX | 006212104 | 4/19/2018 | 1.2 | Elect Kenneth J. Dale | Mgmt | Voted | Fo |
| Adams Diversified Equity Fund | ADX | 006212104 | 4/19/2018 | 1.3 | Elect Frederic A. Escherich | Mgmt | Voted | Fo |
| Adams Diversified Equity Fund | ADX | 006212104 | 4/19/2018 | 1.4 | Elect Roger W. Gale | Mgmt | Voted | Fo |
| Adams Diversified Equity Fund | ADX | 006212104 | 4/19/2018 | 1.5 | Elect Lauriann C. Kloppenburg | Mgmt | Voted | Fo |
| Adams Diversified Equity Fund | ADX | 006212104 | 4/19/2018 | 1.6 | Elect Kathleen T. McGahran | Mgmt | Voted | Fo |
| Adams Diversified Equity Fund | ADX | 006212104 | 4/19/2018 | 1.7 | Elect Craig R. Smith | Mgmt | Voted | Fo |
| Adams Diversified Equity Fund | ADX | 006212104 | 4/19/2018 | 1.8 | Elect Mark E. Stoeckle | Mgmt | Voted | Fo |
| Adams Diversified Equity Fund | ADX | 006212104 | 4/19/2018 | 2 | Ratification of Auditor | Mgmt | Voted | Fo |
| Adams Natural Resources Fund Inc | PEO | 00548F105 | 4/19/2018 | 1.1 | Elect Enrique R. Arzac | Mgmt | Voted | Fo |
| Adams Natural Resources Fund Inc | PEO | 00548F105 | 4/19/2018 | 1.2 | Elect Kenneth J. Dale | Mgmt | Voted | Fo |
| Adams Natural Resources Fund Inc | PEO | 00548F105 | 4/19/2018 | 1.3 | Elect Frederic A. Escherich | Mgmt | Voted | Fo |
| Adams Natural Resources Fund Inc | PEO | 00548F105 | 4/19/2018 | 1.4 | Elect Roger W. Gale | Mgmt | Voted | Fo |
| Adams Natural Resources Fund Inc | PEO | 00548F105 | 4/19/2018 | 1.5 | Elect Lauriann C. Kloppenburg | Mgmt | Voted | Fo |

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|----------------------------------|------|-----------|-----------|-----|---|------|-------|----|
| Adams Natural Resources Fund Inc | PEO | 00548F105 | 4/19/2018 | 1.6 | Elect Kathleen T. McGahran | Mgmt | Voted | FO |
| Adams Natural Resources Fund Inc | PEO | 00548F105 | 4/19/2018 | 1.7 | Elect Craig R. Smith | Mgmt | Voted | FO |
| Adams Natural Resources Fund Inc | PEO | 00548F105 | 4/19/2018 | 1.8 | Elect Mark E. Stoeckle | Mgmt | Voted | FO |
| Adams Natural Resources Fund Inc | PEO | 00548F105 | 4/19/2018 | 2 | Ratification of Auditor | Mgmt | Voted | FO |
| Adobe Systems Incorporated | ADBE | 00724F101 | 4/12/2018 | 1 | Elect Amy L. Banse | Mgmt | Voted | FO |
| Adobe Systems Incorporated | ADBE | 00724F101 | 4/12/2018 | 2 | Elect Edward W. Barnholt | Mgmt | Voted | FO |
| Adobe Systems Incorporated | ADBE | 00724F101 | 4/12/2018 | 3 | Elect Robert K. Burgess | Mgmt | Voted | FO |
| Adobe Systems Incorporated | ADBE | 00724F101 | 4/12/2018 | 4 | Elect Frank A. Calderoni | Mgmt | Voted | FO |
| Adobe Systems Incorporated | ADBE | 00724F101 | 4/12/2018 | 5 | Elect James E. Daley | Mgmt | Voted | FO |
| Adobe Systems Incorporated | ADBE | 00724F101 | 4/12/2018 | 6 | Elect Laura B. Desmond | Mgmt | Voted | FO |
| Adobe Systems Incorporated | ADBE | 00724F101 | 4/12/2018 | 7 | Elect Charles M. Geschke | Mgmt | Voted | FO |
| Adobe Systems Incorporated | ADBE | 00724F101 | 4/12/2018 | 8 | Elect Shantanu Narayen | Mgmt | Voted | FO |
| Adobe Systems Incorporated | ADBE | 00724F101 | 4/12/2018 | 9 | Elect Daniel Rosensweig | Mgmt | Voted | FO |
| Adobe Systems Incorporated | ADBE | 00724F101 | 4/12/2018 | 10 | Elect John E. Warnock | Mgmt | Voted | FO |
| Adobe Systems Incorporated | ADBE | 00724F101 | 4/12/2018 | 11 | Amendment to the 2003 Equity Incentive Plan | Mgmt | Voted | FO |
| Adobe Systems Incorporated | ADBE | 00724F101 | 4/12/2018 | 12 | Ratification of Auditor | Mgmt | Voted | FO |
| Adobe Systems Incorporated | ADBE | 00724F101 | 4/12/2018 | 13 | Advisory Vote on Executive Compensation | Mgmt | Voted | FO |
| Aetna Inc. | AET | 00817Y108 | 5/18/2018 | 1 | Elect Fernando Aguirre | Mgmt | Voted | FO |

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|----------------------------------|-----|-----------|-----------|----|---|----------|-------|----|
| Aetna Inc. | AET | 00817Y108 | 5/18/2018 | 2 | Elect Mark T. Bertolini | Mgmt | Voted | Fo |
| Aetna Inc. | AET | 00817Y108 | 5/18/2018 | 3 | Elect Frank M. Clark, Jr. | Mgmt | Voted | Fo |
| Aetna Inc. | AET | 00817Y108 | 5/18/2018 | 4 | Elect Molly J. Coye | Mgmt | Voted | Fo |
| Aetna Inc. | AET | 00817Y108 | 5/18/2018 | 5 | Elect Roger N. Farah | Mgmt | Voted | Fo |
| Aetna Inc. | AET | 00817Y108 | 5/18/2018 | 6 | Elect Jeffrey E. Garten | Mgmt | Voted | Fo |
| Aetna Inc. | AET | 00817Y108 | 5/18/2018 | 7 | Elect Ellen M. Hancock | Mgmt | Voted | Fo |
| Aetna Inc. | AET | 00817Y108 | 5/18/2018 | 8 | Elect Richard J. Harrington | Mgmt | Voted | Fo |
| Aetna Inc. | AET | 00817Y108 | 5/18/2018 | 9 | Elect Edward J. Ludwig | Mgmt | Voted | Fo |
| Aetna Inc. | AET | 00817Y108 | 5/18/2018 | 10 | Elect Olympia J. Snowe | Mgmt | Voted | Fo |
| Aetna Inc. | AET | 00817Y108 | 5/18/2018 | 11 | Ratification of Auditor | Mgmt | Voted | Fo |
| Aetna Inc. | AET | 00817Y108 | 5/18/2018 | 12 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Aetna Inc. | AET | 00817Y108 | 5/18/2018 | 13 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Voted | Ap |
| Aetna Inc. | AET | 00817Y108 | 5/18/2018 | 14 | Shareholder Proposal Regarding Right to Call Special Meetings | ShrHoldr | Voted | Ap |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/25/2018 | 1 | Elect Susan K. Carter | Mgmt | Voted | Fo |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/25/2018 | 2 | Elect Charles I. Cogut | Mgmt | Voted | Fo |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/25/2018 | 3 | Elect Seifi Ghasemi | Mgmt | Voted | Fo |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/25/2018 | 4 | Elect Chadwick C. Deaton | Mgmt | Voted | Fo |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/25/2018 | 5 | Elect David H. Y. Ho | Mgmt | Voted | Fo |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/25/2018 | 6 | Elect Margaret G. McGlynn | Mgmt | Voted | Fo |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/25/2018 | 7 | Elect Edward L. Monser | Mgmt | Voted | Fo |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/25/2018 | 8 | Elect Matthew H. Paull | Mgmt | Voted | Fo |
| | APD | 009158106 | 1/25/2018 | 9 | | Mgmt | Voted | Fo |

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|----------------------------------|-----|-----------|-----------|----|---|------|-------|----|
| Air Products and Chemicals, Inc. | | | | | Advisory Vote on Executive Compensation | | | |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/25/2018 | 10 | Ratification of Auditor | Mgmt | Voted | Fo |
| Air Products and Chemicals, Inc. | APD | 009158106 | 1/25/2018 | 11 | Approval of Performance Terms under LTIP (Proposal Withdrawn) | Mgmt | Voted | Fo |
| Allergan plc | AGN | G0177J108 | 5/2/2018 | 1 | Elect Nesli Basgoz | Mgmt | Voted | Fo |
| Allergan plc | AGN | G0177J108 | 5/2/2018 | 2 | Elect Paul M. Bisaro | Mgmt | Voted | Fo |
| Allergan plc | AGN | G0177J108 | 5/2/2018 | 3 | Elect Joseph H. Boccuzzi | Mgmt | Voted | Fo |
| Allergan plc | AGN | G0177J108 | 5/2/2018 | 4 | Elect Christopher W. Bodine | Mgmt | Voted | Fo |
| Allergan plc | AGN | G0177J108 | 5/2/2018 | 5 | Elect Adriane M. Brown | Mgmt | Voted | Fo |
| Allergan plc | AGN | G0177J108 | 5/2/2018 | 6 | Elect Christopher J. Coughlin | Mgmt | Voted | Fo |
| Allergan plc | AGN | G0177J108 | 5/2/2018 | 7 | Elect Carol Anthony Davidson | Mgmt | Voted | Fo |
| Allergan plc | AGN | G0177J108 | 5/2/2018 | 8 | Elect Catherine M. Klema | Mgmt | Voted | Fo |
| Allergan plc | AGN | G0177J108 | 5/2/2018 | 9 | Elect Peter J. McDonnell | Mgmt | Voted | Fo |
| Allergan plc | AGN | G0177J108 | 5/2/2018 | 10 | Elect Patrick J. O'Sullivan | Mgmt | Voted | Fo |
| Allergan plc | AGN | G0177J108 | 5/2/2018 | 11 | Elect Brenton L. Saunders | Mgmt | Voted | Fo |
| Allergan plc | AGN | G0177J108 | 5/2/2018 | 12 | Elect Fred G. Weiss | Mgmt | Voted | Fo |
| Allergan plc | AGN | G0177J108 | 5/2/2018 | 13 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Allergan plc | AGN | G0177J108 | 5/2/2018 | 14 | Appointment of Auditor and Authority to Set Fees | Mgmt | Voted | Fo |
| Allergan plc | AGN | G0177J108 | 5/2/2018 | 15 | Authority to Issue Shares w/ Preemptive Rights | Mgmt | Voted | Fo |
| Allergan plc | AGN | G0177J108 | 5/2/2018 | 16 | Authority to Issue Shares w/o Preemptive | Mgmt | Voted | Fo |

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| | | | | | Rights | | | |
|---------------------------------------|-----|-----------|-----------|-----|--|----------|-------|----|
| Allergan plc | AGN | G0177J108 | 5/2/2018 | 17 | Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment) | Mgmt | Voted | Fe |
| Allergan plc | AGN | G0177J108 | 5/2/2018 | 18 | Shareholder Proposal Regarding Independent Board Chair | ShrHoldr | Voted | Ap |
| Alpine Global Premier Properties Fund | AWP | 02083A103 | 3/14/2018 | 1 | Amendment to the Investment Advisory Agreement | Mgmt | Voted | Fe |
| Alpine Global Premier Properties Fund | AWP | 02083A103 | 3/14/2018 | 2 | Approval of a Sub-Advisory Agreement with Aberdeen Asset Management Inc. | Mgmt | Voted | Fe |
| Alpine Global Premier Properties Fund | AWP | 02083A103 | 3/14/2018 | 3.1 | Elect John Sievwright | Mgmt | Voted | Fe |
| Alpine Global Premier Properties Fund | AWP | 02083A103 | 3/14/2018 | 3.2 | Elect P. Gerald Malone | Mgmt | Voted | Fe |
| Alpine Global Premier Properties Fund | AWP | 02083A103 | 3/14/2018 | 3.3 | Elect Nancy Yao Maasbach | Mgmt | Voted | Fe |
| Alpine Global Premier Properties Fund | AWP | 02083A103 | 3/14/2018 | 3.4 | Elect Martin Gilbert | Mgmt | Voted | Fe |
| Alpine Total Dynamic Dividend Fund | AOD | 021060207 | 3/14/2018 | 1 | Amendment to the Investment Advisory Agreement | Mgmt | Voted | Na |
| Alpine Total Dynamic Dividend Fund | AOD | 021060207 | 3/14/2018 | 2 | Elect John Sievwright | Mgmt | Voted | Na |
| Alpine Total Dynamic Dividend Fund | AOD | 021060207 | 3/14/2018 | 3 | Elect P. Gerald Malone | Mgmt | Voted | Na |
| Alpine Total Dynamic Dividend Fund | AOD | 021060207 | 3/14/2018 | 4 | Elect Nancy Yao Maasbach | Mgmt | Voted | Na |
| Alpine Total Dynamic Dividend Fund | AOD | 021060207 | 3/14/2018 | 5 | Elect Martin Gilbert | Mgmt | Voted | Na |

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| Altria Group, Inc. | MO | 02209S103 | 5/17/2018 | 1 | Elect John T. Casteen III | Mgmt | Voted | Fo |
| Altria Group, Inc. | MO | 02209S103 | 5/17/2018 | 2 | Elect Dinyar S. Devitre | Mgmt | Voted | Fo |
| Altria Group, Inc. | MO | 02209S103 | 5/17/2018 | 3 | Elect Thomas F. Farrell II | Mgmt | Voted | Fo |
| Altria Group, Inc. | MO | 02209S103 | 5/17/2018 | 4 | Elect Debra J. Kelly-Ennis | Mgmt | Voted | Fo |
| Altria Group, Inc. | MO | 02209S103 | 5/17/2018 | 5 | Elect W. Leo Kiely III | Mgmt | Voted | Fo |
| Altria Group, Inc. | MO | 02209S103 | 5/17/2018 | 6 | Elect Kathryn B. McQuade | Mgmt | Voted | Fo |
| Altria Group, Inc. | MO | 02209S103 | 5/17/2018 | 7 | Elect George Munoz | Mgmt | Voted | Fo |
| Altria Group, Inc. | MO | 02209S103 | 5/17/2018 | 8 | Elect Mark E. Newman | Mgmt | Voted | Fo |
| Altria Group, Inc. | MO | 02209S103 | 5/17/2018 | 9 | Elect Nabil Y. Sakkab | Mgmt | Voted | Fo |
| Altria Group, Inc. | MO | 02209S103 | 5/17/2018 | 10 | Elect Virginia E. Shanks | Mgmt | Voted | Fo |
| Altria Group, Inc. | MO | 02209S103 | 5/17/2018 | 11 | Elect Howard Willard III | Mgmt | Voted | Fo |
| Altria Group, Inc. | MO | 02209S103 | 5/17/2018 | 12 | Ratification of Auditor | Mgmt | Voted | Fo |
| Altria Group, Inc. | MO | 02209S103 | 5/17/2018 | 13 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Altria Group, Inc. | MO | 02209S103 | 5/17/2018 | 14 | Shareholder Proposal Regarding Nicotine Levels in Tobacco Products | ShrHoldr | Voted | Ap |
| Amazon.com, Inc. | AMZN | 023135106 | 5/30/2018 | 1 | Elect Jeffrey P. Bezos | Mgmt | Voted | Fo |
| Amazon.com, Inc. | AMZN | 023135106 | 5/30/2018 | 2 | Elect Tom A. Alberg | Mgmt | Voted | Fo |
| Amazon.com, Inc. | AMZN | 023135106 | 5/30/2018 | 3 | Elect Jamie S. Gorelick | Mgmt | Voted | Fo |
| Amazon.com, Inc. | AMZN | 023135106 | 5/30/2018 | 4 | Elect Daniel P. Huttenlocher | Mgmt | Voted | Fo |
| Amazon.com, Inc. | AMZN | 023135106 | 5/30/2018 | 5 | Elect Judith A. McGrath | Mgmt | Voted | Fo |
| Amazon.com, Inc. | AMZN | 023135106 | 5/30/2018 | 6 | Elect Jonathan J. Rubinstein | Mgmt | Voted | Fo |
| Amazon.com, Inc. | AMZN | 023135106 | 5/30/2018 | 7 | Elect Thomas O. Ryder | Mgmt | Voted | Fo |
| Amazon.com, Inc. | AMZN | 023135106 | 5/30/2018 | 8 | Elect Patricia Q. Stonesifer | Mgmt | Voted | Fo |
| Amazon.com, Inc. | AMZN | 023135106 | 5/30/2018 | 9 | Elect Wendell P. Weeks | Mgmt | Voted | Fo |

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|---------------------------------------|------|-----------|-----------|----|--|----------|-------|----|
| Amazon.com, Inc. | AMZN | 023135106 | 5/30/2018 | 10 | Ratification of Auditor | Mgmt | Voted | Fo |
| Amazon.com, Inc. | AMZN | 023135106 | 5/30/2018 | 11 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Amazon.com, Inc. | AMZN | 023135106 | 5/30/2018 | 12 | Shareholder Proposal Regarding Board Diversity | ShrHoldr | Voted | Ap |
| Amazon.com, Inc. | AMZN | 023135106 | 5/30/2018 | 13 | Shareholder Proposal Regarding Independent Board Chair | ShrHoldr | Voted | Ap |
| Amazon.com, Inc. | AMZN | 023135106 | 5/30/2018 | 14 | Shareholder Proposal Regarding Counting Abstentions | ShrHoldr | Voted | Ap |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/24/2018 | 1 | Elect Nicholas K. Akins | Mgmt | Voted | Fo |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/24/2018 | 2 | Elect David J. Anderson | Mgmt | Voted | Fo |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/24/2018 | 3 | Elect J. Barnie Beasley, Jr. | Mgmt | Voted | Fo |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/24/2018 | 4 | Elect Ralph D. Crosby, Jr. | Mgmt | Voted | Fo |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/24/2018 | 5 | Elect Linda A. Goodspeed | Mgmt | Voted | Fo |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/24/2018 | 6 | Elect Thomas E. Hoaglin | Mgmt | Voted | Fo |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/24/2018 | 7 | Elect Sandra Beach Lin | Mgmt | Voted | Fo |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/24/2018 | 8 | Elect Richard C. Notebaert | Mgmt | Voted | Fo |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/24/2018 | 9 | Elect Lionel L. Nowell, III | Mgmt | Voted | Fo |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/24/2018 | 10 | Elect Stephen S. Rasmussen | Mgmt | Voted | Fo |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/24/2018 | 11 | Elect Oliver G. Richard, III | Mgmt | Voted | Fo |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/24/2018 | 12 | Elect Sara Martinez Tucker | Mgmt | Voted | Fo |
| American Electric Power Company, Inc. | AEP | 025537101 | 4/24/2018 | 13 | Ratification of Auditor | Mgmt | Voted | Fo |
| | AEP | 025537101 | 4/24/2018 | 14 | | Mgmt | Voted | Fo |

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|---------------------------------------|-----|-----------|----------|----|--|--|----------|-------|----|
| American Electric Power Company, Inc. | | | | | | Advisory Vote on Executive Compensation | | | |
| American Express Company | AXP | 025816109 | 5/7/2018 | 1 | | Elect Charlene Barshefsky | Mgmt | Voted | Fo |
| American Express Company | AXP | 025816109 | 5/7/2018 | 2 | | Elect John J. Brennan | Mgmt | Voted | Fo |
| American Express Company | AXP | 025816109 | 5/7/2018 | 3 | | Elect Peter Chernin | Mgmt | Voted | Fo |
| American Express Company | AXP | 025816109 | 5/7/2018 | 4 | | Elect Ralph de la Vega | Mgmt | Voted | Fo |
| American Express Company | AXP | 025816109 | 5/7/2018 | 5 | | Elect Anne M. A. Lauvergeon | Mgmt | Voted | Fo |
| American Express Company | AXP | 025816109 | 5/7/2018 | 6 | | Elect Micheal O. Leavitt | Mgmt | Voted | Fo |
| American Express Company | AXP | 025816109 | 5/7/2018 | 7 | | Elect Theodore Leonsis | Mgmt | Voted | Fo |
| American Express Company | AXP | 025816109 | 5/7/2018 | 8 | | Elect Richard C. Levin | Mgmt | Voted | Fo |
| American Express Company | AXP | 025816109 | 5/7/2018 | 9 | | Elect Samuel J. Palmisano | Mgmt | Voted | Fo |
| American Express Company | AXP | 025816109 | 5/7/2018 | 10 | | Elect Stephen J. Squeri | Mgmt | Voted | Fo |
| American Express Company | AXP | 025816109 | 5/7/2018 | 11 | | Elect Daniel L. Vasella | Mgmt | Voted | Fo |
| American Express Company | AXP | 025816109 | 5/7/2018 | 12 | | Elect Ronald A. Williams | Mgmt | Voted | Fo |
| American Express Company | AXP | 025816109 | 5/7/2018 | 13 | | Elect Christopher Young | Mgmt | Voted | Fo |
| American Express Company | AXP | 025816109 | 5/7/2018 | 14 | | Ratification of Auditor | Mgmt | Voted | Fo |
| American Express Company | AXP | 025816109 | 5/7/2018 | 15 | | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| American Express Company | AXP | 025816109 | 5/7/2018 | 16 | | Shareholder Proposal Regarding Right to Act By Written Consent | ShrHoldr | Voted | Ap |
| American Express Company | AXP | 025816109 | 5/7/2018 | 17 | | Shareholder Proposal Regarding Independent Chair | ShrHoldr | Voted | Ap |

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|----------------------------|------|-----------|-----------|----|--|----------|-------|----|
| Ameriprise Financial, Inc. | AMP | 03076C106 | 4/25/2018 | 1 | Elect James M. Cracchiolo | Mgmt | Voted | FO |
| Ameriprise Financial, Inc. | AMP | 03076C106 | 4/25/2018 | 2 | Elect Dianne Neal Blixt | Mgmt | Voted | FO |
| Ameriprise Financial, Inc. | AMP | 03076C106 | 4/25/2018 | 3 | Elect Amy DiGesio | Mgmt | Voted | FO |
| Ameriprise Financial, Inc. | AMP | 03076C106 | 4/25/2018 | 4 | Elect Lon R. Greenberg | Mgmt | Voted | FO |
| Ameriprise Financial, Inc. | AMP | 03076C106 | 4/25/2018 | 5 | Elect Jeffrey Noddle | Mgmt | Voted | FO |
| Ameriprise Financial, Inc. | AMP | 03076C106 | 4/25/2018 | 6 | Elect Robert F. Sharpe, Jr. | Mgmt | Voted | FO |
| Ameriprise Financial, Inc. | AMP | 03076C106 | 4/25/2018 | 7 | Elect Christopher J. Williams | Mgmt | Voted | FO |
| Ameriprise Financial, Inc. | AMP | 03076C106 | 4/25/2018 | 8 | Elect W. Edward Walter | Mgmt | Voted | FO |
| Ameriprise Financial, Inc. | AMP | 03076C106 | 4/25/2018 | 9 | Advisory Vote on Executive Compensation | Mgmt | Voted | FO |
| Ameriprise Financial, Inc. | AMP | 03076C106 | 4/25/2018 | 10 | Ratification of Auditor | Mgmt | Voted | FO |
| Ameriprise Financial, Inc. | AMP | 03076C106 | 4/25/2018 | 11 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHoldr | Voted | AP |
| AMGEN Inc. | AMGN | 031162100 | 5/22/2018 | 1 | Elect Wanda M. Austin | Mgmt | Voted | FO |
| AMGEN Inc. | AMGN | 031162100 | 5/22/2018 | 2 | Elect Robert A. Bradway | Mgmt | Voted | FO |
| AMGEN Inc. | AMGN | 031162100 | 5/22/2018 | 3 | Elect Brian J. Druker | Mgmt | Voted | FO |
| AMGEN Inc. | AMGN | 031162100 | 5/22/2018 | 4 | Elect Robert A. Eckert | Mgmt | Voted | FO |
| AMGEN Inc. | AMGN | 031162100 | 5/22/2018 | 5 | Elect Greg C. Garland | Mgmt | Voted | FO |
| AMGEN Inc. | AMGN | 031162100 | 5/22/2018 | 6 | Elect Fred Hassan | Mgmt | Voted | FO |
| AMGEN Inc. | AMGN | 031162100 | 5/22/2018 | 7 | Elect Rebecca M. Henderson | Mgmt | Voted | FO |
| AMGEN Inc. | AMGN | 031162100 | 5/22/2018 | 8 | Elect Frank C. Herringer | Mgmt | Voted | FO |
| AMGEN Inc. | AMGN | 031162100 | 5/22/2018 | 9 | Elect Charles M. Holley, Jr. | Mgmt | Voted | FO |

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|----------------------|------|-----------|-----------|----|---|----------|-------|----|
| AMGEN Inc. | AMGN | 031162100 | 5/22/2018 | 10 | Elect Tyler Jacks | Mgmt | Voted | Fo |
| AMGEN Inc. | AMGN | 031162100 | 5/22/2018 | 11 | Elect Ellen J. Kullman | Mgmt | Voted | Fo |
| AMGEN Inc. | AMGN | 031162100 | 5/22/2018 | 12 | Elect Ronald D. Sugar | Mgmt | Voted | Fo |
| AMGEN Inc. | AMGN | 031162100 | 5/22/2018 | 13 | Elect R. Sanders Williams | Mgmt | Voted | Fo |
| AMGEN Inc. | AMGN | 031162100 | 5/22/2018 | 14 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| AMGEN Inc. | AMGN | 031162100 | 5/22/2018 | 15 | Ratification of Auditor | Mgmt | Voted | Fo |
| AMGEN Inc. | AMGN | 031162100 | 5/22/2018 | 16 | Shareholder Proposal Regarding Report on Linking Executive Compensation to Drug Pricing | ShrHoldr | Voted | Ap |
| Amphenol Corporation | APH | 032095101 | 5/17/2018 | 1 | Elect Ronald P. Badie | Mgmt | Voted | Fo |
| Amphenol Corporation | APH | 032095101 | 5/17/2018 | 2 | Elect Stanley L. Clark | Mgmt | Voted | Fo |
| Amphenol Corporation | APH | 032095101 | 5/17/2018 | 3 | Elect John D. Craig | Mgmt | Voted | Fo |
| Amphenol Corporation | APH | 032095101 | 5/17/2018 | 4 | Elect David P. Falck | Mgmt | Voted | Fo |
| Amphenol Corporation | APH | 032095101 | 5/17/2018 | 5 | Elect Edward G. Jepsen | Mgmt | Voted | Fo |
| Amphenol Corporation | APH | 032095101 | 5/17/2018 | 6 | Elect Martin H. Loeffler | Mgmt | Voted | Fo |
| Amphenol Corporation | APH | 032095101 | 5/17/2018 | 7 | Elect John R. Lord | Mgmt | Voted | Fo |
| Amphenol Corporation | APH | 032095101 | 5/17/2018 | 8 | Elect R. Adam Norwitt | Mgmt | Voted | Fo |
| Amphenol Corporation | APH | 032095101 | 5/17/2018 | 9 | Elect Diana G. Reardon | Mgmt | Voted | Fo |
| Amphenol Corporation | APH | 032095101 | 5/17/2018 | 10 | Elect Anne C. Wolff | Mgmt | Voted | Fo |
| Amphenol Corporation | APH | 032095101 | 5/17/2018 | 11 | Ratification of Auditor | Mgmt | Voted | Fo |
| Amphenol Corporation | APH | 032095101 | 5/17/2018 | 12 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |

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|----------------------|-----|-----------|-----------|----|---|----------|-------|----|
| Amphenol Corporation | APH | 032095101 | 5/17/2018 | 13 | Shareholder Proposal Regarding Right to Call Special Meetings | ShrHoldr | Voted | AP |
| Analog Devices, Inc. | ADI | 032654105 | 3/14/2018 | 1 | Elect Ray Stata | Mgmt | Voted | Fo |
| Analog Devices, Inc. | ADI | 032654105 | 3/14/2018 | 2 | Elect Vincent Roche | Mgmt | Voted | Fo |
| Analog Devices, Inc. | ADI | 032654105 | 3/14/2018 | 3 | Elect James A. Champy | Mgmt | Voted | Fo |
| Analog Devices, Inc. | ADI | 032654105 | 3/14/2018 | 4 | Elect Bruce R. Evans | Mgmt | Voted | Fo |
| Analog Devices, Inc. | ADI | 032654105 | 3/14/2018 | 5 | Elect Edward H. Frank | Mgmt | Voted | Fo |
| Analog Devices, Inc. | ADI | 032654105 | 3/14/2018 | 6 | Elect Mark M. Little | Mgmt | Voted | Fo |
| Analog Devices, Inc. | ADI | 032654105 | 3/14/2018 | 7 | Elect Neil Novich | Mgmt | Voted | Fo |
| Analog Devices, Inc. | ADI | 032654105 | 3/14/2018 | 8 | Elect Kenton J. Sicchitano | Mgmt | Voted | Fo |
| Analog Devices, Inc. | ADI | 032654105 | 3/14/2018 | 9 | Elect Lisa T. Su | Mgmt | Voted | Fo |
| Analog Devices, Inc. | ADI | 032654105 | 3/14/2018 | 10 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Analog Devices, Inc. | ADI | 032654105 | 3/14/2018 | 11 | Ratification of Auditor | Mgmt | Voted | Fo |
| Aon plc | AON | G0408V102 | 6/22/2018 | 1 | Elect Lester B. Knight | Mgmt | Voted | Fo |
| Aon plc | AON | G0408V102 | 6/22/2018 | 2 | Elect Gregory C. Case | Mgmt | Voted | Fo |
| Aon plc | AON | G0408V102 | 6/22/2018 | 3 | Elect Jin-Yong Cai | Mgmt | Voted | Fo |
| Aon plc | AON | G0408V102 | 6/22/2018 | 4 | Elect Jeffrey C. Campbell | Mgmt | Voted | Fo |
| Aon plc | AON | G0408V102 | 6/22/2018 | 5 | Elect Fulvio Conti | Mgmt | Voted | Fo |
| Aon plc | AON | G0408V102 | 6/22/2018 | 6 | Elect Cheryl Francis | Mgmt | Voted | Fo |
| Aon plc | AON | G0408V102 | 6/22/2018 | 7 | Elect J. Michael Losh | Mgmt | Voted | Fo |
| Aon plc | AON | G0408V102 | 6/22/2018 | 8 | Elect Richard B. Myers | Mgmt | Voted | Fo |
| Aon plc | AON | G0408V102 | 6/22/2018 | 9 | Elect Richard C. Notebaert | Mgmt | Voted | Fo |
| Aon plc | AON | G0408V102 | 6/22/2018 | 10 | Elect Gloria Santona | Mgmt | Voted | Fo |
| Aon plc | AON | G0408V102 | 6/22/2018 | 11 | Elect Carolyn Y. Woo | Mgmt | Voted | Fo |
| Aon plc | AON | G0408V102 | 6/22/2018 | 12 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Aon plc | AON | G0408V102 | 6/22/2018 | 13 | Remuneration Report (Advisory) | Mgmt | Voted | Fo |
| Aon plc | AON | G0408V102 | 6/22/2018 | 14 | Accounts and Reports | Mgmt | Voted | Fo |

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|------------|------|-----------|-----------|----|--|----------|-------|----|
| Aon plc | AON | G0408V102 | 6/22/2018 | 15 | Ratification of Auditor | Mgmt | Voted | FO |
| Aon plc | AON | G0408V102 | 6/22/2018 | 16 | Ratification of Statutory Auditor | Mgmt | Voted | FO |
| Aon plc | AON | G0408V102 | 6/22/2018 | 17 | Authority to Set Auditor's Fees | Mgmt | Voted | FO |
| Aon plc | AON | G0408V102 | 6/22/2018 | 18 | Approval of Share Repurchase Contracts and Repurchase Counterparties | Mgmt | Voted | FO |
| Aon plc | AON | G0408V102 | 6/22/2018 | 19 | Authority to Issue Shares w/ Preemptive Rights | Mgmt | Voted | FO |
| Aon plc | AON | G0408V102 | 6/22/2018 | 20 | Authority to Issue Shares w/o Preemptive Rights | Mgmt | Voted | FO |
| Aon plc | AON | G0408V102 | 6/22/2018 | 21 | Authorisation of Political Donations | Mgmt | Voted | FO |
| Apple Inc. | AAPL | 037833100 | 2/13/2018 | 1 | Elect James A. Bell | Mgmt | Voted | FO |
| Apple Inc. | AAPL | 037833100 | 2/13/2018 | 2 | Elect Timothy D. Cook | Mgmt | Voted | FO |
| Apple Inc. | AAPL | 037833100 | 2/13/2018 | 3 | Elect Albert A. Gore | Mgmt | Voted | FO |
| Apple Inc. | AAPL | 037833100 | 2/13/2018 | 4 | Elect Robert A. Iger | Mgmt | Voted | FO |
| Apple Inc. | AAPL | 037833100 | 2/13/2018 | 5 | Elect Andrea Jung | Mgmt | Voted | FO |
| Apple Inc. | AAPL | 037833100 | 2/13/2018 | 6 | Elect Arthur D. Levinson | Mgmt | Voted | FO |
| Apple Inc. | AAPL | 037833100 | 2/13/2018 | 7 | Elect Ronald D. Sugar | Mgmt | Voted | FO |
| Apple Inc. | AAPL | 037833100 | 2/13/2018 | 8 | Elect Susan L. Wagner | Mgmt | Voted | FO |
| Apple Inc. | AAPL | 037833100 | 2/13/2018 | 9 | Ratification of Auditor | Mgmt | Voted | FO |
| Apple Inc. | AAPL | 037833100 | 2/13/2018 | 10 | Advisory Vote on Executive Compensation | Mgmt | Voted | FO |
| Apple Inc. | AAPL | 037833100 | 2/13/2018 | 11 | Approve the Amended and Restated Apple Inc. Non-Employee Director Stock Plan | Mgmt | Voted | FO |
| Apple Inc. | AAPL | 037833100 | 2/13/2018 | 12 | Shareholder Proposal Regarding Amendments to Proxy Access Bylaw | ShrHoldr | Voted | AP |
| Apple Inc. | AAPL | 037833100 | 2/13/2018 | 13 | | ShrHoldr | Voted | AP |

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| | | | | | | Shareholder Proposal Regarding Formation of a Human Rights Committee | | | |
|-------------------------|------|-----------|-----------|----|--|---|----------|-------|----|
| Applied Materials, Inc. | AMAT | 038222105 | 3/8/2018 | 1 | | Elect Judy Bruner | Mgmt | Voted | Fo |
| Applied Materials, Inc. | AMAT | 038222105 | 3/8/2018 | 2 | | Elect Eric Chen | Mgmt | Voted | Fo |
| Applied Materials, Inc. | AMAT | 038222105 | 3/8/2018 | 3 | | Elect Aart J. de Geus | Mgmt | Voted | Fo |
| Applied Materials, Inc. | AMAT | 038222105 | 3/8/2018 | 4 | | Elect Gary E. Dickerson | Mgmt | Voted | Fo |
| Applied Materials, Inc. | AMAT | 038222105 | 3/8/2018 | 5 | | Elect Stephen R. Forrest | Mgmt | Voted | Fo |
| Applied Materials, Inc. | AMAT | 038222105 | 3/8/2018 | 6 | | Elect Thomas J. Iannotti | Mgmt | Voted | Fo |
| Applied Materials, Inc. | AMAT | 038222105 | 3/8/2018 | 7 | | Elect Alexander A. Karsner | Mgmt | Voted | Fo |
| Applied Materials, Inc. | AMAT | 038222105 | 3/8/2018 | 8 | | Elect Adrianna C. Ma | Mgmt | Voted | Fo |
| Applied Materials, Inc. | AMAT | 038222105 | 3/8/2018 | 9 | | Elect Scott A. McGregor | Mgmt | Voted | Fo |
| Applied Materials, Inc. | AMAT | 038222105 | 3/8/2018 | 10 | | Elect Dennis D. Powell | Mgmt | Voted | Fo |
| Applied Materials, Inc. | AMAT | 038222105 | 3/8/2018 | 11 | | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Applied Materials, Inc. | AMAT | 038222105 | 3/8/2018 | 12 | | Ratification of Auditor | Mgmt | Voted | Fo |
| Applied Materials, Inc. | AMAT | 038222105 | 3/8/2018 | 13 | | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Voted | Ap |
| Applied Materials, Inc. | AMAT | 038222105 | 3/8/2018 | 14 | | Shareholder Proposal Regarding Diversity Report | ShrHoldr | Voted | Ap |
| Aptiv PLC | APTV | G27823106 | 4/26/2018 | 1 | | Elect Kevin P. Clark | Mgmt | Voted | Fo |
| Aptiv PLC | APTV | G27823106 | 4/26/2018 | 2 | | Elect Nancy E. Cooper | Mgmt | Voted | Fo |
| Aptiv PLC | APTV | G27823106 | 4/26/2018 | 3 | | Elect Frank J. Dellaquila | Mgmt | Voted | Fo |
| Aptiv PLC | APTV | G27823106 | 4/26/2018 | 4 | | | Mgmt | Voted | Fo |

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Elect Nicholas M.
Donofrio

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|-----------|------|-----------|-----------|----|--|------|-------|----|
| Aptiv PLC | APTV | G27823106 | 4/26/2018 | 5 | Elect Mark Frissora | Mgmt | Voted | Fo |
| Aptiv PLC | APTV | G27823106 | 4/26/2018 | 6 | Elect Rajiv L. Gupta | Mgmt | Voted | Fo |
| Aptiv PLC | APTV | G27823106 | 4/26/2018 | 7 | Elect Sean O. Mahoney | Mgmt | Voted | Fo |
| Aptiv PLC | APTV | G27823106 | 4/26/2018 | 8 | Elect Colin J. Parris | Mgmt | Voted | Fo |
| Aptiv PLC | APTV | G27823106 | 4/26/2018 | 9 | Elect Ana G. Pinczuk | Mgmt | Voted | Fo |
| Aptiv PLC | APTV | G27823106 | 4/26/2018 | 10 | Elect Thomas W. Sidlik | Mgmt | Voted | Fo |
| Aptiv PLC | APTV | G27823106 | 4/26/2018 | 11 | Elect Lawrence A. Zimmerman | Mgmt | Voted | Fo |
| Aptiv PLC | APTV | G27823106 | 4/26/2018 | 12 | Ratification of Auditor | Mgmt | Voted | Fo |
| Aptiv PLC | APTV | G27823106 | 4/26/2018 | 13 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Aptiv PLC | APTV | G27823106 | 4/26/2018 | 14 | Frequency of Advisory Vote on Executive Compensation | Mgmt | Voted | 1 |
| AT&T Inc. | T | 00206R102 | 4/27/2018 | 1 | Elect Randall L. Stephenson | Mgmt | Voted | Fo |
| AT&T Inc. | T | 00206R102 | 4/27/2018 | 2 | Elect Samuel A. Di Piazza, Jr. | Mgmt | Voted | Fo |
| AT&T Inc. | T | 00206R102 | 4/27/2018 | 3 | Elect Richard W. Fisher | Mgmt | Voted | Fo |
| AT&T Inc. | T | 00206R102 | 4/27/2018 | 4 | Elect Scott T. Ford | Mgmt | Voted | Fo |
| AT&T Inc. | T | 00206R102 | 4/27/2018 | 5 | Elect Glenn H. Hutchins | Mgmt | Voted | Fo |
| AT&T Inc. | T | 00206R102 | 4/27/2018 | 6 | Elect William E. Kennard | Mgmt | Voted | Fo |
| AT&T Inc. | T | 00206R102 | 4/27/2018 | 7 | Elect Michael B. McCallister | Mgmt | Voted | Fo |
| AT&T Inc. | T | 00206R102 | 4/27/2018 | 8 | Elect Beth E. Mooney | Mgmt | Voted | Fo |
| AT&T Inc. | T | 00206R102 | 4/27/2018 | 9 | Elect Joyce M. Roche | Mgmt | Voted | Fo |
| AT&T Inc. | T | 00206R102 | 4/27/2018 | 10 | Elect Matthew K. Rose | Mgmt | Voted | Fo |
| AT&T Inc. | T | 00206R102 | 4/27/2018 | 11 | Elect Cindy B. Taylor | Mgmt | Voted | Fo |
| AT&T Inc. | T | 00206R102 | 4/27/2018 | 12 | Elect Laura D'Andrea Tyson | Mgmt | Voted | Fo |
| AT&T Inc. | T | 00206R102 | 4/27/2018 | 13 | Elect Geoffrey Y. Yang | Mgmt | Voted | Fo |
| AT&T Inc. | T | 00206R102 | 4/27/2018 | 14 | Ratification of Auditor | Mgmt | Voted | Fo |

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|-----------------------------|-----|-----------|-----------|----|---|----------|-------|----|
| AT&T Inc. | T | 00206R102 | 4/27/2018 | 15 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| AT&T Inc. | T | 00206R102 | 4/27/2018 | 16 | Stock Purchase and Deferral Plan | Mgmt | Voted | Fo |
| AT&T Inc. | T | 00206R102 | 4/27/2018 | 17 | Approval of the 2018 Incentive Plan | Mgmt | Voted | Fo |
| AT&T Inc. | T | 00206R102 | 4/27/2018 | 18 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Voted | Ap |
| AT&T Inc. | T | 00206R102 | 4/27/2018 | 19 | Shareholder Proposal Regarding Amendments to Proxy Access Bylaw | ShrHoldr | Voted | Ap |
| AT&T Inc. | T | 00206R102 | 4/27/2018 | 20 | Shareholder Proposal Regarding Independent Board Chair | ShrHoldr | Voted | Ap |
| AT&T Inc. | T | 00206R102 | 4/27/2018 | 21 | Shareholder Proposal Regarding Lowering Threshold for Right to Act By Written Consent | ShrHoldr | Voted | Ap |
| Bank of America Corporation | BAC | 060505104 | 4/25/2018 | 1 | Elect Sharon L. Allen | Mgmt | Voted | Fo |
| Bank of America Corporation | BAC | 060505104 | 4/25/2018 | 2 | Elect Susan S. Bies | Mgmt | Voted | Fo |
| Bank of America Corporation | BAC | 060505104 | 4/25/2018 | 3 | Elect Jack O. Bovender, Jr. | Mgmt | Voted | Fo |
| Bank of America Corporation | BAC | 060505104 | 4/25/2018 | 4 | Elect Frank P. Bramble, Sr. | Mgmt | Voted | Fo |
| Bank of America Corporation | BAC | 060505104 | 4/25/2018 | 5 | Elect Pierre J. P. de Weck | Mgmt | Voted | Fo |
| Bank of America Corporation | BAC | 060505104 | 4/25/2018 | 6 | Elect Arnold W. Donald | Mgmt | Voted | Fo |
| Bank of America Corporation | BAC | 060505104 | 4/25/2018 | 7 | Elect Linda P. Hudson | Mgmt | Voted | Fo |
| Bank of America Corporation | BAC | 060505104 | 4/25/2018 | 8 | Elect Monica C. Lozano | Mgmt | Voted | Fo |
| Bank of America Corporation | BAC | 060505104 | 4/25/2018 | 9 | Elect Thomas J. May | Mgmt | Voted | Fo |
| Bank of America Corporation | BAC | 060505104 | 4/25/2018 | 10 | Elect Brian T. Moynihan | Mgmt | Voted | Fo |

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|-----------------------------|-----|-----------|-----------|----|--|----------|-------|----|
| Bank of America Corporation | BAC | 060505104 | 4/25/2018 | 11 | Elect Lionel L. Nowell, III | Mgmt | Voted | Fo |
| Bank of America Corporation | BAC | 060505104 | 4/25/2018 | 12 | Elect Michael D. White | Mgmt | Voted | Fo |
| Bank of America Corporation | BAC | 060505104 | 4/25/2018 | 13 | Elect Thomas D. Woods | Mgmt | Voted | Fo |
| Bank of America Corporation | BAC | 060505104 | 4/25/2018 | 14 | Elect R. David Yost | Mgmt | Voted | Fo |
| Bank of America Corporation | BAC | 060505104 | 4/25/2018 | 15 | Elect Maria T. Zuber | Mgmt | Voted | Fo |
| Bank of America Corporation | BAC | 060505104 | 4/25/2018 | 16 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Bank of America Corporation | BAC | 060505104 | 4/25/2018 | 17 | Ratification of Auditor | Mgmt | Voted | Fo |
| Bank of America Corporation | BAC | 060505104 | 4/25/2018 | 18 | Shareholder Proposal Regarding Independent Chair | ShrHoldr | Voted | Ap |
| Baxter International Inc. | BAX | 071813109 | 5/8/2018 | 1 | Elect Jose E. Almeida | Mgmt | Voted | Fo |
| Baxter International Inc. | BAX | 071813109 | 5/8/2018 | 2 | Elect Thomas F. Chen | Mgmt | Voted | Fo |
| Baxter International Inc. | BAX | 071813109 | 5/8/2018 | 3 | Elect John D. Forsyth | Mgmt | Voted | Fo |
| Baxter International Inc. | BAX | 071813109 | 5/8/2018 | 4 | Elect James R. Gavin III | Mgmt | Voted | Fo |
| Baxter International Inc. | BAX | 071813109 | 5/8/2018 | 5 | Elect Peter S. Hellman | Mgmt | Voted | Fo |
| Baxter International Inc. | BAX | 071813109 | 5/8/2018 | 6 | Elect Munib Islam | Mgmt | Voted | Fo |
| Baxter International Inc. | BAX | 071813109 | 5/8/2018 | 7 | Elect Michael F. Mahoney | Mgmt | Voted | Fo |
| Baxter International Inc. | BAX | 071813109 | 5/8/2018 | 8 | Elect Stephen N. Oesterle | Mgmt | Voted | Fo |
| Baxter International Inc. | BAX | 071813109 | 5/8/2018 | 9 | Elect Carole J. Shapazian | Mgmt | Voted | Fo |
| Baxter International Inc. | BAX | 071813109 | 5/8/2018 | 10 | Elect Cathy R. Smith | Mgmt | Voted | Fo |
| Baxter International Inc. | BAX | 071813109 | 5/8/2018 | 11 | Elect Thomas T. Stallkamp | Mgmt | Voted | Fo |

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|-------------------------------------|-----|-----------|-----------|-----|--|----------|-------|----|
| Baxter International Inc. | BAX | 071813109 | 5/8/2018 | 12 | Elect Albert P.L. Stroucken | Mgmt | Voted | Fo |
| Baxter International Inc. | BAX | 071813109 | 5/8/2018 | 13 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Baxter International Inc. | BAX | 071813109 | 5/8/2018 | 14 | Ratification of Auditor | Mgmt | Voted | Fo |
| Baxter International Inc. | BAX | 071813109 | 5/8/2018 | 15 | Shareholder Proposal Regarding Independent Board Chair | ShrHoldr | Voted | Ap |
| Baxter International Inc. | BAX | 071813109 | 5/8/2018 | 16 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Voted | Ap |
| Blackrock Energy & Resources Trust | BGR | 09250U101 | 7/25/2017 | 1.1 | Elect Michael J. Castellano | Mgmt | Voted | Fo |
| Blackrock Energy & Resources Trust | BGR | 09250U101 | 7/25/2017 | 1.2 | Elect R. Glenn Hubbard | Mgmt | Voted | Fo |
| Blackrock Energy & Resources Trust | BGR | 09250U101 | 7/25/2017 | 1.3 | Elect W. Carl Kester | Mgmt | Voted | Fo |
| Blackrock Energy & Resources Trust | BGR | 09250U101 | 7/25/2017 | 1.4 | Elect John M. Perlowski | Mgmt | Voted | Fo |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/25/2017 | 1.1 | Elect Michael J. Castellano | Mgmt | Voted | Fo |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/25/2017 | 1.2 | Elect R. Glenn Hubbard | Mgmt | Voted | Fo |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/25/2017 | 1.3 | Elect W. Carl Kester | Mgmt | Voted | Fo |
| BlackRock Municipal 2020 Term Trust | BCX | 09257A108 | 7/25/2017 | 1.4 | Elect John M. Perlowski | Mgmt | Voted | Fo |
| BlackRock, Inc. | BLK | 09247X101 | 5/23/2018 | 1 | Elect Mathis Cabiallavetta | Mgmt | Voted | Fo |
| BlackRock, Inc. | BLK | 09247X101 | 5/23/2018 | 2 | Elect Pamela Daley | Mgmt | Voted | Fo |
| BlackRock, Inc. | BLK | 09247X101 | 5/23/2018 | 3 | Elect William S. | Mgmt | Voted | Fo |

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|-------------------------------|-----|-----------|-----------|----|--|----------|-------|----|
| BlackRock, Inc. | BLK | 09247X101 | 5/23/2018 | 4 | Elect Jessica P. Einhorn | Mgmt | Voted | Fo |
| BlackRock, Inc. | BLK | 09247X101 | 5/23/2018 | 5 | Elect Laurence D. Fink | Mgmt | Voted | Fo |
| BlackRock, Inc. | BLK | 09247X101 | 5/23/2018 | 6 | Elect William E. Ford | Mgmt | Voted | Fo |
| BlackRock, Inc. | BLK | 09247X101 | 5/23/2018 | 7 | Elect Fabrizio Freda | Mgmt | Voted | Fo |
| BlackRock, Inc. | BLK | 09247X101 | 5/23/2018 | 8 | Elect Murry S. Gerber | Mgmt | Voted | Fo |
| BlackRock, Inc. | BLK | 09247X101 | 5/23/2018 | 9 | Elect Margaret L. Johnson | Mgmt | Voted | Fo |
| BlackRock, Inc. | BLK | 09247X101 | 5/23/2018 | 10 | Elect Robert S. Kapito | Mgmt | Voted | Fo |
| BlackRock, Inc. | BLK | 09247X101 | 5/23/2018 | 11 | Elect Sir Deryck C. Maughan | Mgmt | Voted | Fo |
| BlackRock, Inc. | BLK | 09247X101 | 5/23/2018 | 12 | Elect Cheryl D. Mills | Mgmt | Voted | Fo |
| BlackRock, Inc. | BLK | 09247X101 | 5/23/2018 | 13 | Elect Gordon M. Nixon | Mgmt | Voted | Fo |
| BlackRock, Inc. | BLK | 09247X101 | 5/23/2018 | 14 | Elect Charles H. Robbins | Mgmt | Voted | Fo |
| BlackRock, Inc. | BLK | 09247X101 | 5/23/2018 | 15 | Elect Ivan G. Seidenberg | Mgmt | Voted | Fo |
| BlackRock, Inc. | BLK | 09247X101 | 5/23/2018 | 16 | Elect Marco Antonio Slim Domit | Mgmt | Voted | Fo |
| BlackRock, Inc. | BLK | 09247X101 | 5/23/2018 | 17 | Elect Susan L. Wagner | Mgmt | Voted | Fo |
| BlackRock, Inc. | BLK | 09247X101 | 5/23/2018 | 18 | Elect Mark Wilson | Mgmt | Voted | Fo |
| BlackRock, Inc. | BLK | 09247X101 | 5/23/2018 | 19 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| BlackRock, Inc. | BLK | 09247X101 | 5/23/2018 | 20 | Amendment to the 1999 Stock Award and Incentive Plan | Mgmt | Voted | Fo |
| BlackRock, Inc. | BLK | 09247X101 | 5/23/2018 | 21 | Ratification of Auditor | Mgmt | Voted | Fo |
| BlackRock, Inc. | BLK | 09247X101 | 5/23/2018 | 22 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Voted | Ap |
| Boston Scientific Corporation | BSX | 101137107 | 5/10/2018 | 1 | Elect Nelda J. Connors | Mgmt | Voted | Fo |
| Boston Scientific Corporation | BSX | 101137107 | 5/10/2018 | 2 | Elect Charles J. Dockendorff | Mgmt | Voted | Fo |
| Boston Scientific Corporation | BSX | 101137107 | 5/10/2018 | 3 | Elect Yoshiaki Fujimori | Mgmt | Voted | Fo |

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|---|-----|-----------|-----------|-----|---|------|-------|----|
| Boston Scientific Corporation | BSX | 101137107 | 5/10/2018 | 4 | Elect Donna A. James | Mgmt | Voted | FO |
| Boston Scientific Corporation | BSX | 101137107 | 5/10/2018 | 5 | Elect Edward J. Ludwig | Mgmt | Voted | FO |
| Boston Scientific Corporation | BSX | 101137107 | 5/10/2018 | 6 | Elect Stephen P. MacMillan | Mgmt | Voted | FO |
| Boston Scientific Corporation | BSX | 101137107 | 5/10/2018 | 7 | Elect Michael F. Mahoney | Mgmt | Voted | FO |
| Boston Scientific Corporation | BSX | 101137107 | 5/10/2018 | 8 | Elect David J. Roux | Mgmt | Voted | FO |
| Boston Scientific Corporation | BSX | 101137107 | 5/10/2018 | 9 | Elect John E. Sununu | Mgmt | Voted | FO |
| Boston Scientific Corporation | BSX | 101137107 | 5/10/2018 | 10 | Elect Ellen M. Zane | Mgmt | Voted | FO |
| Boston Scientific Corporation | BSX | 101137107 | 5/10/2018 | 11 | Advisory Vote on Executive Compensation | Mgmt | Voted | FO |
| Boston Scientific Corporation | BSX | 101137107 | 5/10/2018 | 12 | Ratification of Auditor | Mgmt | Voted | FO |
| BrandywineGLOBAL - Global Income Opportunities Fund Inc | BWG | 10537L104 | 2/23/2018 | 1.1 | Elect Robert D. Agdern | Mgmt | Voted | FO |
| BrandywineGLOBAL - Global Income Opportunities Fund Inc | BWG | 10537L104 | 2/23/2018 | 1.2 | Elect Eileen A. Kamerick | Mgmt | Voted | FO |
| BrandywineGLOBAL - Global Income Opportunities Fund Inc | BWG | 10537L104 | 2/23/2018 | 1.3 | Elect Riordan Roett | Mgmt | Voted | FO |
| Brighthouse Financial, Inc. | BHF | 10922N103 | 5/23/2018 | 1 | Elect John D. McCallion | Mgmt | Voted | FO |
| Brighthouse Financial, Inc. | BHF | 10922N103 | 5/23/2018 | 2 | Elect Diane E. Offereins | Mgmt | Voted | FO |
| Brighthouse Financial, Inc. | BHF | 10922N103 | 5/23/2018 | 3 | Elect Patrick J. Shoumlin | Mgmt | Voted | FO |
| Brighthouse Financial, Inc. | BHF | 10922N103 | 5/23/2018 | 4 | Ratification of Auditor | Mgmt | Voted | FO |
| Brighthouse Financial, Inc. | BHF | 10922N103 | 5/23/2018 | 5 | Advisory Vote on Executive | Mgmt | Voted | FO |

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| | | | | | Compensation | | | |
|------------------------------|------|-----------|-----------|----|--|------|-------|----|
| Brighthouse Financial, Inc. | BHF | 10922N103 | 5/23/2018 | 6 | Frequency of Advisory Vote on Executive Compensation | Mgmt | Voted | 1 |
| Brighthouse Financial, Inc. | BHF | 10922N103 | 5/23/2018 | 7 | Approval of the 2017 Stock and Incentive Compensation Plan | Mgmt | Voted | Fo |
| Brighthouse Financial, Inc. | BHF | 10922N103 | 5/23/2018 | 8 | Approval of the 2017 Non-Management Director Stock Compensation Plan | Mgmt | Voted | Fo |
| Brighthouse Financial, Inc. | BHF | 10922N103 | 5/23/2018 | 9 | Approval of Performance Goals Under Temporary Incentive Deferred Compensation Plan | Mgmt | Voted | Fo |
| British American Tobacco plc | BATS | 110448107 | 4/25/2018 | 1 | Accounts and Reports | Mgmt | Voted | Fo |
| British American Tobacco plc | BATS | 110448107 | 4/25/2018 | 2 | Remuneration Report (Advisory) | Mgmt | Voted | Fo |
| British American Tobacco plc | BATS | 110448107 | 4/25/2018 | 3 | Appointment of Auditor | Mgmt | Voted | Fo |
| British American Tobacco plc | BATS | 110448107 | 4/25/2018 | 4 | Authority to Set Auditor's Fees | Mgmt | Voted | Fo |
| British American Tobacco plc | BATS | 110448107 | 4/25/2018 | 5 | Elect Richard Burrows | Mgmt | Voted | Fo |
| British American Tobacco plc | BATS | 110448107 | 4/25/2018 | 6 | Elect Nicandro Durante | Mgmt | Voted | Fo |
| British American Tobacco plc | BATS | 110448107 | 4/25/2018 | 7 | Elect Susan J. Farr | Mgmt | Voted | Fo |
| British American Tobacco plc | BATS | 110448107 | 4/25/2018 | 8 | Elect Marion Helmes | Mgmt | Voted | Fo |
| British American Tobacco plc | BATS | 110448107 | 4/25/2018 | 9 | Elect Savio Kwan | Mgmt | Voted | Fo |
| British American Tobacco plc | BATS | 110448107 | 4/25/2018 | 10 | Elect Dimitri Panayotopoulos | Mgmt | Voted | Fo |
| British American Tobacco plc | BATS | 110448107 | 4/25/2018 | 11 | Elect Kieran Poynter | Mgmt | Voted | Fo |
| British American Tobacco plc | BATS | 110448107 | 4/25/2018 | 12 | Elect Ben Stevens | Mgmt | Voted | Fo |

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|------------------------------|------|-----------|-----------|----|---|------|-------|----|
| British American Tobacco plc | BATS | 110448107 | 4/25/2018 | 13 | Elect Luc Jobin | Mgmt | Voted | Fo |
| British American Tobacco plc | BATS | 110448107 | 4/25/2018 | 14 | Elect Holly K. Koepfel | Mgmt | Voted | Fo |
| British American Tobacco plc | BATS | 110448107 | 4/25/2018 | 15 | Elect Lionel L. Nowell, III | Mgmt | Voted | Fo |
| British American Tobacco plc | BATS | 110448107 | 4/25/2018 | 16 | Authority to Issue Shares w/ Preemptive Rights | Mgmt | Voted | Fo |
| British American Tobacco plc | BATS | 110448107 | 4/25/2018 | 17 | Authority to Issue Shares w/o Preemptive Rights | Mgmt | Voted | Fo |
| British American Tobacco plc | BATS | 110448107 | 4/25/2018 | 18 | Authority to Repurchase Shares | Mgmt | Voted | Fo |
| British American Tobacco plc | BATS | 110448107 | 4/25/2018 | 19 | Authorisation of Political Donations | Mgmt | Voted | Fo |
| British American Tobacco plc | BATS | 110448107 | 4/25/2018 | 20 | Authority to Set General Meeting Notice Period at 14 Days | Mgmt | Voted | Fo |
| Broadcom Limited | AVGO | Y09827109 | 3/23/2018 | 1 | Reincorporation/Change of Continuance | Mgmt | Voted | Fo |
| Broadcom Limited | AVGO | Y09827109 | 4/4/2018 | 1 | Elect Hock E. Tan | Mgmt | Voted | Fo |
| Broadcom Limited | AVGO | Y09827109 | 4/4/2018 | 2 | Elect James V. Diller, Sr. | Mgmt | Voted | Fo |
| Broadcom Limited | AVGO | Y09827109 | 4/4/2018 | 3 | Elect Gayla J. Delly | Mgmt | Voted | Fo |
| Broadcom Limited | AVGO | Y09827109 | 4/4/2018 | 4 | Elect Lewis C. Eggebrecht | Mgmt | Voted | Fo |
| Broadcom Limited | AVGO | Y09827109 | 4/4/2018 | 5 | Elect Kenneth Y. Hao | Mgmt | Voted | Fo |
| Broadcom Limited | AVGO | Y09827109 | 4/4/2018 | 6 | Elect Eddy W. Hartenstein | Mgmt | Voted | Fo |
| Broadcom Limited | AVGO | Y09827109 | 4/4/2018 | 7 | Elect Check Kian Low | Mgmt | Voted | Fo |
| Broadcom Limited | AVGO | Y09827109 | 4/4/2018 | 8 | Elect Donald Macleod | Mgmt | Voted | Fo |
| Broadcom Limited | AVGO | Y09827109 | 4/4/2018 | 9 | Elect Peter J. Marks | Mgmt | Voted | Fo |
| Broadcom Limited | AVGO | Y09827109 | 4/4/2018 | 10 | Elect Dr. Henry S. Samuelli | Mgmt | Voted | Fo |
| Broadcom Limited | AVGO | Y09827109 | 4/4/2018 | 11 | Appointment of Auditor and Authority to Set Fees | Mgmt | Voted | Fo |

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|------------------|------|-----------|------------|-----|---|----------|-------|----|
| Broadcom Limited | AVGO | Y09827109 | 4/4/2018 | 12 | Authority to Issue Ordinary and Special Preference Shares | Mgmt | Voted | Fo |
| Broadcom Limited | AVGO | Y09827109 | 4/4/2018 | 13 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Caterpillar Inc. | CAT | 149123101 | 6/13/2018 | 1 | Elect Kelly A. Ayotte | Mgmt | Voted | Fo |
| Caterpillar Inc. | CAT | 149123101 | 6/13/2018 | 2 | Elect David L. Calhoun | Mgmt | Voted | Fo |
| Caterpillar Inc. | CAT | 149123101 | 6/13/2018 | 3 | Elect Daniel M. Dickinson | Mgmt | Voted | Fo |
| Caterpillar Inc. | CAT | 149123101 | 6/13/2018 | 4 | Elect Juan Gallardo | Mgmt | Voted | Fo |
| Caterpillar Inc. | CAT | 149123101 | 6/13/2018 | 5 | Elect Dennis A. Muilenburg | Mgmt | Voted | Fo |
| Caterpillar Inc. | CAT | 149123101 | 6/13/2018 | 6 | Elect William A. Osborn | Mgmt | Voted | Fo |
| Caterpillar Inc. | CAT | 149123101 | 6/13/2018 | 7 | Elect Debra L. Reed | Mgmt | Voted | Fo |
| Caterpillar Inc. | CAT | 149123101 | 6/13/2018 | 8 | Elect Edward B. Rust, Jr. | Mgmt | Voted | Fo |
| Caterpillar Inc. | CAT | 149123101 | 6/13/2018 | 9 | Elect Susan C. Schwab | Mgmt | Voted | Fo |
| Caterpillar Inc. | CAT | 149123101 | 6/13/2018 | 10 | Elect Jim Umpleby III | Mgmt | Voted | Fo |
| Caterpillar Inc. | CAT | 149123101 | 6/13/2018 | 11 | Elect Miles D. White | Mgmt | Voted | Fo |
| Caterpillar Inc. | CAT | 149123101 | 6/13/2018 | 12 | Elect Rayford Wilkins Jr. | Mgmt | Voted | Fo |
| Caterpillar Inc. | CAT | 149123101 | 6/13/2018 | 13 | Ratification of Auditor | Mgmt | Voted | Fo |
| Caterpillar Inc. | CAT | 149123101 | 6/13/2018 | 14 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Caterpillar Inc. | CAT | 149123101 | 6/13/2018 | 15 | Shareholder Proposal Regarding Right to Call Special Meetings | ShrHoldr | Voted | Ap |
| Caterpillar Inc. | CAT | 149123101 | 6/13/2018 | 16 | Shareholder Proposal Regarding Amendment to Clawback Policy | ShrHoldr | Voted | Ap |
| Caterpillar Inc. | CAT | 149123101 | 6/13/2018 | 17 | Shareholder Proposal Regarding Human Rights Expertise | ShrHoldr | Voted | Ap |
| | IGR | 12504G100 | 10/16/2017 | 1.1 | on Board | Mgmt | Voted | Fo |

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|---|------|-----------|------------|------|--|----------|-------|----|
| CBRE Clarion Global Real Estate Income Fund | | | | | Elect T. Ritson Ferguson | | | |
| CBRE Clarion Global Real Estate Income Fund | IGR | 12504G100 | 10/16/2017 | 1.2 | Elect Frederick S. Hammer | Mgmt | Voted | Fo |
| Celgene Corporation | CELG | 151020104 | 6/13/2018 | 1.1 | Elect Mark J. Alles | Mgmt | Voted | Fo |
| Celgene Corporation | CELG | 151020104 | 6/13/2018 | 1.2 | Elect Richard W. Barker | Mgmt | Voted | Fo |
| Celgene Corporation | CELG | 151020104 | 6/13/2018 | 1.3 | Elect Hans E. Bishop | Mgmt | Voted | Fo |
| Celgene Corporation | CELG | 151020104 | 6/13/2018 | 1.4 | Elect Michael W. Bonney | Mgmt | Voted | Fo |
| Celgene Corporation | CELG | 151020104 | 6/13/2018 | 1.5 | Elect Michael D. Casey | Mgmt | Voted | Fo |
| Celgene Corporation | CELG | 151020104 | 6/13/2018 | 1.6 | Elect Carrie S. Cox | Mgmt | Voted | Fo |
| Celgene Corporation | CELG | 151020104 | 6/13/2018 | 1.7 | Elect Michael A. Friedman | Mgmt | Voted | Fo |
| Celgene Corporation | CELG | 151020104 | 6/13/2018 | 1.8 | Elect Julia A. Haller | Mgmt | Voted | Fo |
| Celgene Corporation | CELG | 151020104 | 6/13/2018 | 1.9 | Elect Patricia Hemingway Hall | Mgmt | Voted | Fo |
| Celgene Corporation | CELG | 151020104 | 6/13/2018 | 1.10 | Elect James J. Loughlin | Mgmt | Voted | Fo |
| Celgene Corporation | CELG | 151020104 | 6/13/2018 | 1.11 | Elect Ernest Mario | Mgmt | Voted | Fo |
| Celgene Corporation | CELG | 151020104 | 6/13/2018 | 1.12 | Elect John H. Weiland | Mgmt | Voted | Fo |
| Celgene Corporation | CELG | 151020104 | 6/13/2018 | 2 | Ratification of Auditor | Mgmt | Voted | Fo |
| Celgene Corporation | CELG | 151020104 | 6/13/2018 | 3 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Celgene Corporation | CELG | 151020104 | 6/13/2018 | 4 | Shareholder Proposal Regarding Amendment to Proxy Access Bylaw | ShrHoldr | Voted | Ap |
| Celgene Corporation | CELG | 151020104 | 6/13/2018 | 5 | Shareholder Proposal Regarding Independent Board Chair | ShrHoldr | Voted | Ap |
| Charter Communications, Inc. | CHTR | 16119P108 | 4/25/2018 | 1 | Elect W. Lance Conn | Mgmt | Voted | Fo |
| | CHTR | 16119P108 | 4/25/2018 | 2 | Elect Kim C. Goodman | Mgmt | Voted | Fo |

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Charter
Communications, Inc.

| | | | | | | | | |
|------------------------------|------|-----------|-----------|----|---|----------|-------|----|
| Charter Communications, Inc. | CHTR | 16119P108 | 4/25/2018 | 3 | Elect Craig A. Jacobson | Mgmt | Voted | FO |
| Charter Communications, Inc. | CHTR | 16119P108 | 4/25/2018 | 4 | Elect Gregory B. Maffei | Mgmt | Voted | FO |
| Charter Communications, Inc. | CHTR | 16119P108 | 4/25/2018 | 5 | Elect John C. Malone | Mgmt | Voted | FO |
| Charter Communications, Inc. | CHTR | 16119P108 | 4/25/2018 | 6 | Elect John D. Markley, Jr. | Mgmt | Voted | FO |
| Charter Communications, Inc. | CHTR | 16119P108 | 4/25/2018 | 7 | Elect David C. Merritt | Mgmt | Voted | FO |
| Charter Communications, Inc. | CHTR | 16119P108 | 4/25/2018 | 8 | Elect Steven A. Miron | Mgmt | Voted | FO |
| Charter Communications, Inc. | CHTR | 16119P108 | 4/25/2018 | 9 | Elect Balan Nair | Mgmt | Voted | FO |
| Charter Communications, Inc. | CHTR | 16119P108 | 4/25/2018 | 10 | Elect Michael A. Newhouse | Mgmt | Voted | FO |
| Charter Communications, Inc. | CHTR | 16119P108 | 4/25/2018 | 11 | Elect Mauricio Ramos | Mgmt | Voted | FO |
| Charter Communications, Inc. | CHTR | 16119P108 | 4/25/2018 | 12 | Elect Thomas M. Rutledge | Mgmt | Voted | FO |
| Charter Communications, Inc. | CHTR | 16119P108 | 4/25/2018 | 13 | Elect Eric L. Zinterhofer | Mgmt | Voted | FO |
| Charter Communications, Inc. | CHTR | 16119P108 | 4/25/2018 | 14 | Ratification of Auditor | Mgmt | Voted | FO |
| Charter Communications, Inc. | CHTR | 16119P108 | 4/25/2018 | 15 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Voted | AP |
| Charter Communications, Inc. | CHTR | 16119P108 | 4/25/2018 | 16 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Voted | AP |
| Charter Communications, Inc. | CHTR | 16119P108 | 4/25/2018 | 17 | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHoldr | Voted | AP |
| Charter Communications, Inc. | CHTR | 16119P108 | 4/25/2018 | 18 | Shareholder Proposal Regarding Independent Board Chair | ShrHoldr | Voted | AP |

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|---------------------|-----|-----------|-----------|----|--|----------|-------|----|
| Chevron Corporation | CVX | 166764100 | 5/30/2018 | 1 | Elect Wanda M. Austin | Mgmt | Voted | Fo |
| Chevron Corporation | CVX | 166764100 | 5/30/2018 | 2 | Elect John B. Frank | Mgmt | Voted | Fo |
| Chevron Corporation | CVX | 166764100 | 5/30/2018 | 3 | Elect Alice P. Gast | Mgmt | Voted | Fo |
| Chevron Corporation | CVX | 166764100 | 5/30/2018 | 4 | Elect Enrique Hernandez, Jr. | Mgmt | Voted | Fo |
| Chevron Corporation | CVX | 166764100 | 5/30/2018 | 5 | Elect Charles W. Moorman, IV | Mgmt | Voted | Fo |
| Chevron Corporation | CVX | 166764100 | 5/30/2018 | 6 | Elect Dambisa F. Moyo | Mgmt | Voted | Fo |
| Chevron Corporation | CVX | 166764100 | 5/30/2018 | 7 | Elect Ronald D. Sugar | Mgmt | Voted | Fo |
| Chevron Corporation | CVX | 166764100 | 5/30/2018 | 8 | Elect Inge G. Thulin | Mgmt | Voted | Fo |
| Chevron Corporation | CVX | 166764100 | 5/30/2018 | 9 | Elect D. James Umpleby | Mgmt | Voted | Fo |
| Chevron Corporation | CVX | 166764100 | 5/30/2018 | 10 | Elect Michael K. Wirth | Mgmt | Voted | Fo |
| Chevron Corporation | CVX | 166764100 | 5/30/2018 | 11 | Ratification of Auditor | Mgmt | Voted | Fo |
| Chevron Corporation | CVX | 166764100 | 5/30/2018 | 12 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Chevron Corporation | CVX | 166764100 | 5/30/2018 | 13 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Voted | Ap |
| Chevron Corporation | CVX | 166764100 | 5/30/2018 | 14 | Shareholder Proposal Regarding Report On Doing Business With Governments Complicit in Genocide | ShrHoldr | Voted | Ap |
| Chevron Corporation | CVX | 166764100 | 5/30/2018 | 15 | Shareholder Proposal Regarding Report on Transition to Low Carbon Economy | ShrHoldr | Voted | Ap |
| Chevron Corporation | CVX | 166764100 | 5/30/2018 | 16 | Shareholder Proposal Regarding Methane Emissions Report | ShrHoldr | Voted | Ap |
| Chevron Corporation | CVX | 166764100 | 5/30/2018 | 17 | Shareholder Proposal Regarding Independent Board Chair | ShrHoldr | Voted | Ap |
| Chevron Corporation | CVX | 166764100 | 5/30/2018 | 18 | Shareholder Proposal Regarding Environmental Expertise on Board | ShrHoldr | Voted | Ap |
| Chevron Corporation | CVX | 166764100 | 5/30/2018 | 19 | | ShrHoldr | Voted | Ap |

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| | | | | | Shareholder Proposal Regarding Right to Call Special Meetings | | | |
|-------------------|-----|-----------|-----------|----|--|------|-------|----|
| China Fund Inc | CHN | 169373107 | 8/30/2017 | 1 | Approval of a New Investment Advisory Agreement and Management Agreement | Mgmt | Voted | Fo |
| Cigna Corporation | CI | 125509109 | 4/25/2018 | 1 | Elect David M. Cordani | Mgmt | Voted | Fo |
| Cigna Corporation | CI | 125509109 | 4/25/2018 | 2 | Elect Eric J. Foss | Mgmt | Voted | Fo |
| Cigna Corporation | CI | 125509109 | 4/25/2018 | 3 | Elect Isaiah Harris, Jr. | Mgmt | Voted | Fo |
| Cigna Corporation | CI | 125509109 | 4/25/2018 | 4 | Elect Roman Martinez IV | Mgmt | Voted | Fo |
| Cigna Corporation | CI | 125509109 | 4/25/2018 | 5 | Elect John M. Partridge | Mgmt | Voted | Fo |
| Cigna Corporation | CI | 125509109 | 4/25/2018 | 6 | Elect James E. Rogers | Mgmt | Voted | Fo |
| Cigna Corporation | CI | 125509109 | 4/25/2018 | 7 | Elect Eric C. Wiseman | Mgmt | Voted | Fo |
| Cigna Corporation | CI | 125509109 | 4/25/2018 | 8 | Elect Donna F. Zarcone | Mgmt | Voted | Fo |
| Cigna Corporation | CI | 125509109 | 4/25/2018 | 9 | Elect William D. Zollars | Mgmt | Voted | Fo |
| Cigna Corporation | CI | 125509109 | 4/25/2018 | 10 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Cigna Corporation | CI | 125509109 | 4/25/2018 | 11 | Ratification of Auditor | Mgmt | Voted | Fo |
| Cigna Corporation | CI | 125509109 | 4/25/2018 | 12 | Elimination of Supermajority Requirement | Mgmt | Voted | Fo |
| Citigroup Inc. | C | 172967424 | 4/24/2018 | 1 | Elect Michael L. Corbat | Mgmt | Voted | Fo |
| Citigroup Inc. | C | 172967424 | 4/24/2018 | 2 | Elect Ellen M. Costello | Mgmt | Voted | Fo |
| Citigroup Inc. | C | 172967424 | 4/24/2018 | 3 | Elect John C. Dugan | Mgmt | Voted | Fo |
| Citigroup Inc. | C | 172967424 | 4/24/2018 | 4 | Elect Duncan P. Hennes | Mgmt | Voted | Fo |
| Citigroup Inc. | C | 172967424 | 4/24/2018 | 5 | Elect Peter B. Henry | Mgmt | Voted | Fo |
| Citigroup Inc. | C | 172967424 | 4/24/2018 | 6 | Elect Franz B. Humer | Mgmt | Voted | Fo |
| Citigroup Inc. | C | 172967424 | 4/24/2018 | 7 | Elect S. Leslie Ireland | Mgmt | Voted | Fo |
| Citigroup Inc. | C | 172967424 | 4/24/2018 | 8 | Elect Renee J. James | Mgmt | Voted | Fo |
| Citigroup Inc. | C | 172967424 | 4/24/2018 | 9 | Elect Eugene M. McQuade | Mgmt | Voted | Fo |
| Citigroup Inc. | C | 172967424 | 4/24/2018 | 10 | | Mgmt | Voted | Fo |

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|----------------|-----|-----------|-----------|----|--|----------|-------|----|--|
| | | | | | Elect Michael E. O'Neill | | | | |
| Citigroup Inc. | C | 172967424 | 4/24/2018 | 11 | Elect Gary M. Reiner | Mgmt | Voted | Fe | |
| Citigroup Inc. | C | 172967424 | 4/24/2018 | 12 | Elect Anthony M. Santomero | Mgmt | Voted | Fe | |
| Citigroup Inc. | C | 172967424 | 4/24/2018 | 13 | Elect Diana L. Taylor | Mgmt | Voted | Fe | |
| Citigroup Inc. | C | 172967424 | 4/24/2018 | 14 | Elect James S. Turley | Mgmt | Voted | Fe | |
| Citigroup Inc. | C | 172967424 | 4/24/2018 | 15 | Elect Deborah C. Wright | Mgmt | Voted | Fe | |
| Citigroup Inc. | C | 172967424 | 4/24/2018 | 16 | Elect Ernesto Zedillo Ponce de Leon | Mgmt | Voted | Fe | |
| Citigroup Inc. | C | 172967424 | 4/24/2018 | 17 | Ratification of Auditor | Mgmt | Voted | Fe | |
| Citigroup Inc. | C | 172967424 | 4/24/2018 | 18 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fe | |
| Citigroup Inc. | C | 172967424 | 4/24/2018 | 19 | Amendment to the 2014 Stock Incentive Plan | Mgmt | Voted | Fe | |
| Citigroup Inc. | C | 172967424 | 4/24/2018 | 20 | Shareholder Proposal Regarding Indigenous Peoples' Rights Policy | ShrHoldr | Voted | Ap | |
| Citigroup Inc. | C | 172967424 | 4/24/2018 | 21 | Shareholder Proposal Regarding Cumulative Voting | ShrHoldr | Voted | Ap | |
| Citigroup Inc. | C | 172967424 | 4/24/2018 | 22 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Voted | Ap | |
| Citigroup Inc. | C | 172967424 | 4/24/2018 | 23 | Shareholder Proposal Regarding Amendment to Proxy Access Bylaw | ShrHoldr | Voted | Ap | |
| Citigroup Inc. | C | 172967424 | 4/24/2018 | 24 | Shareholder Proposal Regarding Government Service Vesting | ShrHoldr | Voted | Ap | |
| Citigroup Inc. | C | 172967424 | 4/24/2018 | 25 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHoldr | Voted | Ap | |
| CME Group Inc. | CME | 12572Q105 | 5/9/2018 | 1 | Elect Terrence A. Duffy | Mgmt | Voted | Fe | |
| CME Group Inc. | CME | 12572Q105 | 5/9/2018 | 2 | Elect Timothy S. Bitsberger | Mgmt | Voted | Fe | |
| CME Group Inc. | CME | 12572Q105 | 5/9/2018 | 3 | Elect Charles P. Carey | Mgmt | Voted | Fe | |

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|--|------|-----------|----------|----|---|------|-------|----|
| CME Group Inc. | CME | 12572Q105 | 5/9/2018 | 4 | Elect Dennis H. Chookaszian | Mgmt | Voted | FO |
| CME Group Inc. | CME | 12572Q105 | 5/9/2018 | 5 | Elect Ana Dutra | Mgmt | Voted | FO |
| CME Group Inc. | CME | 12572Q105 | 5/9/2018 | 6 | Elect Martin J. Gepsman | Mgmt | Voted | FO |
| CME Group Inc. | CME | 12572Q105 | 5/9/2018 | 7 | Elect Larry G. Gerdes | Mgmt | Voted | FO |
| CME Group Inc. | CME | 12572Q105 | 5/9/2018 | 8 | Elect Daniel R. Glickman | Mgmt | Voted | FO |
| CME Group Inc. | CME | 12572Q105 | 5/9/2018 | 9 | Elect Deborah J. Lucas | Mgmt | Voted | FO |
| CME Group Inc. | CME | 12572Q105 | 5/9/2018 | 10 | Elect Alex J. Pollock | Mgmt | Voted | FO |
| CME Group Inc. | CME | 12572Q105 | 5/9/2018 | 11 | Elect Terry L. Savage | Mgmt | Voted | FO |
| CME Group Inc. | CME | 12572Q105 | 5/9/2018 | 12 | Elect William R. Shepard | Mgmt | Voted | FO |
| CME Group Inc. | CME | 12572Q105 | 5/9/2018 | 13 | Elect Howard J. Siegel | Mgmt | Voted | FO |
| CME Group Inc. | CME | 12572Q105 | 5/9/2018 | 14 | Elect Dennis A. Suskind | Mgmt | Voted | FO |
| CME Group Inc. | CME | 12572Q105 | 5/9/2018 | 15 | Ratification of Auditor | Mgmt | Voted | FO |
| CME Group Inc. | CME | 12572Q105 | 5/9/2018 | 16 | Advisory Vote on Executive Compensation | Mgmt | Voted | FO |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/5/2018 | 1 | Elect Zein Abdalla | Mgmt | Voted | FO |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/5/2018 | 2 | Elect Betsy Atkins | Mgmt | Voted | FO |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/5/2018 | 3 | Elect Maureen Breakiron-Evans | Mgmt | Voted | FO |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/5/2018 | 4 | Elect Jonathan Chadwick | Mgmt | Voted | FO |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/5/2018 | 5 | Elect John M. Dineen | Mgmt | Voted | FO |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/5/2018 | 6 | Elect Francisco D'Souza | Mgmt | Voted | FO |
| | CTSH | 192446102 | 6/5/2018 | 7 | Elect John N. Fox, Jr. | Mgmt | Voted | FO |

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|--|------|-----------|----------|----|--|----------|-------|----|--|
| Cognizant Technology Solutions Corporation | | | | | | | | | |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/5/2018 | 8 | Elect John E. Klein | Mgmt | Voted | FO | |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/5/2018 | 9 | Elect Leo S. Mackay, Jr. | Mgmt | Voted | FO | |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/5/2018 | 10 | Elect Michael Patsalos-Fox | Mgmt | Voted | FO | |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/5/2018 | 11 | Elect Joseph M. Velli | Mgmt | Voted | FO | |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/5/2018 | 12 | Advisory Vote on Executive Compensation | Mgmt | Voted | FO | |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/5/2018 | 13 | Ratification of Auditor | Mgmt | Voted | FO | |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/5/2018 | 14 | Amendment to the 2004 Employee Stock Purchase Plan | Mgmt | Voted | FO | |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/5/2018 | 15 | Elimination of Supermajority Requirement for | Mgmt | Voted | FO | |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/5/2018 | 16 | Amendments to Bylaws Elimination of Supermajority Requirement for Removal | Mgmt | Voted | FO | |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/5/2018 | 17 | of Directors Elimination of Supermajority Requirement to Amend | Mgmt | Voted | FO | |
| Cognizant Technology Solutions | CTSH | 192446102 | 6/5/2018 | 18 | Certain Provisions of Certificate of Incorporation Shareholder Proposal Regarding Right to Act | ShrHoldr | Voted | AP | |

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|--|-------|-----------|-----------|-----|---|----------|-------|----|--|
| Corporation | | | | | by Written Consent | | | | |
| Cognizant Technology Solutions Corporation | CTSH | 192446102 | 6/5/2018 | 19 | Shareholder Proposal Regarding Right to Call Special Meetings | ShrHoldr | Voted | Ag | |
| Cohen & Steers Closed-End Opportunity Fund Inc. | FOF | 19248P106 | 4/26/2018 | 1.1 | Elect George Grossman | Mgmt | Voted | Fo | |
| Cohen & Steers Closed-End Opportunity Fund Inc. | FOF | 19248P106 | 4/26/2018 | 1.2 | Elect Jane F. Magpiong | Mgmt | Voted | Fo | |
| Cohen & Steers Closed-End Opportunity Fund Inc. | FOF | 19248P106 | 4/26/2018 | 1.3 | Elect Robert H. Steers | Mgmt | Voted | Fo | |
| Cohen & Steers Closed-End Opportunity Fund Inc. | FOF | 19248P106 | 4/26/2018 | 1.4 | Elect C. Edward Ward, Jr. | Mgmt | Voted | Fo | |
| Cohen & Steers REIT & Preferred Income Fund, Inc. | RNP | 19247X100 | 4/26/2018 | 1.1 | Elect George Grossman | Mgmt | Voted | Fo | |
| Cohen & Steers REIT & Preferred Income Fund, Inc. | RNP | 19247X100 | 4/26/2018 | 1.2 | Elect Jane F. Magpiong | Mgmt | Voted | Fo | |
| Cohen & Steers REIT & Preferred Income Fund, Inc. | RNP | 19247X100 | 4/26/2018 | 1.3 | Elect Robert H. Steers | Mgmt | Voted | Fo | |
| Cohen & Steers REIT & Preferred Income Fund, Inc. | RNP | 19247X100 | 4/26/2018 | 1.4 | Elect C. Edward Ward, Jr. | Mgmt | Voted | Fo | |
| Comcast Corporation | CMCSA | 20030N101 | 6/11/2018 | 1.1 | Elect Kenneth J. Bacon | Mgmt | Voted | Fo | |
| Comcast Corporation | CMCSA | 20030N101 | 6/11/2018 | 1.2 | Elect Madeline S. Bell | Mgmt | Voted | Fo | |
| Comcast Corporation | CMCSA | 20030N101 | 6/11/2018 | 1.3 | Elect Sheldon M. Bonovitz | Mgmt | Voted | Fo | |
| Comcast Corporation | CMCSA | 20030N101 | 6/11/2018 | 1.4 | Elect Edward D. Breen | Mgmt | Voted | Fo | |
| Comcast Corporation | CMCSA | 20030N101 | 6/11/2018 | 1.5 | Elect Gerald L. Hassell | Mgmt | Voted | Fo | |
| Comcast Corporation | CMCSA | 20030N101 | 6/11/2018 | 1.6 | Elect Jeffrey A. Honickman | Mgmt | Voted | Fo | |
| Comcast Corporation | CMCSA | 20030N101 | 6/11/2018 | 1.7 | | Mgmt | Voted | Fo | |

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|-----------------------|-------|-----------|-----------|------|--|--|----------|-------|----|
| | | | | | | Elect Maritza Gomez Montiel | | | |
| Comcast Corporation | CMCSA | 20030N101 | 6/11/2018 | 1.8 | | Elect Asuka Nakahara | Mgmt | Voted | Fo |
| Comcast Corporation | CMCSA | 20030N101 | 6/11/2018 | 1.9 | | Elect David C. Novak | Mgmt | Voted | Fo |
| Comcast Corporation | CMCSA | 20030N101 | 6/11/2018 | 1.10 | | Elect Brian L. Roberts | Mgmt | Voted | Fo |
| Comcast Corporation | CMCSA | 20030N101 | 6/11/2018 | 2 | | Ratification of Auditor | Mgmt | Voted | Fo |
| Comcast Corporation | CMCSA | 20030N101 | 6/11/2018 | 3 | | Advisory vote on executive compensation | Mgmt | Voted | Fo |
| Comcast Corporation | CMCSA | 20030N101 | 6/11/2018 | 4 | | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Voted | Ap |
| ConAgra Brands, Inc. | CAG | 205887102 | 9/22/2017 | 1.1 | | Elect Bradley A. Alford | Mgmt | Voted | Fo |
| ConAgra Brands, Inc. | CAG | 205887102 | 9/22/2017 | 1.2 | | Elect Thomas K. Brown | Mgmt | Voted | Fo |
| ConAgra Brands, Inc. | CAG | 205887102 | 9/22/2017 | 1.3 | | Elect Stephen G. Butler | Mgmt | Voted | Fo |
| ConAgra Brands, Inc. | CAG | 205887102 | 9/22/2017 | 1.4 | | Elect Sean M. Connolly | Mgmt | Voted | Fo |
| ConAgra Brands, Inc. | CAG | 205887102 | 9/22/2017 | 1.5 | | Elect Thomas W. Dickson | Mgmt | Voted | Fo |
| ConAgra Brands, Inc. | CAG | 205887102 | 9/22/2017 | 1.6 | | Elect Steven F. Goldstone | Mgmt | Voted | Fo |
| ConAgra Brands, Inc. | CAG | 205887102 | 9/22/2017 | 1.7 | | Elect Joie Gregor | Mgmt | Voted | Fo |
| ConAgra Brands, Inc. | CAG | 205887102 | 9/22/2017 | 1.8 | | Elect Rajive Johri | Mgmt | Voted | Fo |
| ConAgra Brands, Inc. | CAG | 205887102 | 9/22/2017 | 1.9 | | Elect Richard H. Lenny | Mgmt | Voted | Fo |
| ConAgra Brands, Inc. | CAG | 205887102 | 9/22/2017 | 1.10 | | Elect Ruth Ann Marshall | Mgmt | Voted | Fo |
| ConAgra Brands, Inc. | CAG | 205887102 | 9/22/2017 | 1.11 | | Elect Craig P. Omtvedt | Mgmt | Voted | Fo |
| ConAgra Brands, Inc. | CAG | 205887102 | 9/22/2017 | 2 | | Ratification of Auditor | Mgmt | Voted | Fo |
| ConAgra Brands, Inc. | CAG | 205887102 | 9/22/2017 | 3 | | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| ConAgra Brands, Inc. | CAG | 205887102 | 9/22/2017 | 4 | | Frequency of Advisory Vote on Executive Compensation | Mgmt | Voted | 1 |
| Concho Resources Inc. | CXO | 20605P101 | 5/17/2018 | 1 | | Elect Steven L. Beal | Mgmt | Voted | Fo |
| Concho Resources Inc. | CXO | 20605P101 | 5/17/2018 | 2 | | Elect Tucker S. Bridwell | Mgmt | Voted | Fo |
| | CXO | 20605P101 | 5/17/2018 | 3 | | Elect Mark Puckett | Mgmt | Voted | Fo |

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|------------------------------|------|-----------|-----------|-----|--|----------|-------|----|--|
| Concho Resources Inc. | | | | | | | | | |
| Concho Resources Inc. | CXO | 20605P101 | 5/17/2018 | 4 | Elect Joseph Wright | Mgmt | Voted | Fo | |
| Concho Resources Inc. | CXO | 20605P101 | 5/17/2018 | 5 | Ratification of Auditor | Mgmt | Voted | Fo | |
| Concho Resources Inc. | CXO | 20605P101 | 5/17/2018 | 6 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo | |
| ConocoPhillips | COP | 20825C104 | 5/15/2018 | 1 | Elect Charles E. Bunch | Mgmt | Voted | Fo | |
| ConocoPhillips | COP | 20825C104 | 5/15/2018 | 2 | Elect Caroline Maury Devine | Mgmt | Voted | Fo | |
| ConocoPhillips | COP | 20825C104 | 5/15/2018 | 3 | Elect John V. Faraci | Mgmt | Voted | Fo | |
| ConocoPhillips | COP | 20825C104 | 5/15/2018 | 4 | Elect Jody L Freeman | Mgmt | Voted | Fo | |
| ConocoPhillips | COP | 20825C104 | 5/15/2018 | 5 | Elect Gay Huey Evans | Mgmt | Voted | Fo | |
| ConocoPhillips | COP | 20825C104 | 5/15/2018 | 6 | Elect Ryan M. Lance | Mgmt | Voted | Fo | |
| ConocoPhillips | COP | 20825C104 | 5/15/2018 | 7 | Elect Sharmila Mulligan | Mgmt | Voted | Fo | |
| ConocoPhillips | COP | 20825C104 | 5/15/2018 | 8 | Elect Arjun N. Murti | Mgmt | Voted | Fo | |
| ConocoPhillips | COP | 20825C104 | 5/15/2018 | 9 | Elect Robert A. Niblock | Mgmt | Voted | Fo | |
| ConocoPhillips | COP | 20825C104 | 5/15/2018 | 10 | Elect Harald J. Norvik | Mgmt | Voted | Fo | |
| ConocoPhillips | COP | 20825C104 | 5/15/2018 | 11 | Ratification of Auditor | Mgmt | Voted | Fo | |
| ConocoPhillips | COP | 20825C104 | 5/15/2018 | 12 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo | |
| ConocoPhillips | COP | 20825C104 | 5/15/2018 | 13 | Shareholder Proposal Regarding Use of GAAP Metrics in Executive Compensation | ShrHoldr | Voted | AP | |
| Costco Wholesale Corporation | COST | 22160K105 | 1/30/2018 | 1.1 | Elect Kenneth D. Denman | Mgmt | Voted | Fo | |
| Costco Wholesale Corporation | COST | 22160K105 | 1/30/2018 | 1.2 | Elect W. Craig Jelinek | Mgmt | Voted | Fo | |
| Costco Wholesale Corporation | COST | 22160K105 | 1/30/2018 | 1.3 | Elect Jeffrey S. Raikes | Mgmt | Voted | Fo | |
| Costco Wholesale Corporation | COST | 22160K105 | 1/30/2018 | 2 | Ratification of Auditor | Mgmt | Voted | Fo | |

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|------------------------------|------|-----------|-----------|----|---|----------|-------|----|
| Costco Wholesale Corporation | COST | 22160K105 | 1/30/2018 | 3 | Advisory Vote on Executive Compensation | Mgmt | Voted | FO |
| Costco Wholesale Corporation | COST | 22160K105 | 1/30/2018 | 4 | Shareholder Proposal Regarding Simple Majority Vote | ShrHoldr | Voted | AP |
| Costco Wholesale Corporation | COST | 22160K105 | 1/30/2018 | 5 | Shareholder Proposal Regarding Prison Labor | ShrHoldr | Voted | AP |
| CSX Corporation | CSX | 126408103 | 5/18/2018 | 1 | Elect Donna M. Alvarado | Mgmt | Voted | FO |
| CSX Corporation | CSX | 126408103 | 5/18/2018 | 2 | Elect John B. Breaux | Mgmt | Voted | FO |
| CSX Corporation | CSX | 126408103 | 5/18/2018 | 3 | Elect Pamela L. Carter | Mgmt | Voted | FO |
| CSX Corporation | CSX | 126408103 | 5/18/2018 | 4 | Elect James M. Foote | Mgmt | Voted | FO |
| CSX Corporation | CSX | 126408103 | 5/18/2018 | 5 | Elect Steven T. Halverson | Mgmt | Voted | FO |
| CSX Corporation | CSX | 126408103 | 5/18/2018 | 6 | Elect Paul C. Hilal | Mgmt | Voted | FO |
| CSX Corporation | CSX | 126408103 | 5/18/2018 | 7 | Elect Edward J. Kelly, III | Mgmt | Voted | FO |
| CSX Corporation | CSX | 126408103 | 5/18/2018 | 8 | Elect John D. McPherson | Mgmt | Voted | FO |
| CSX Corporation | CSX | 126408103 | 5/18/2018 | 9 | Elect David M. Moffett | Mgmt | Voted | FO |
| CSX Corporation | CSX | 126408103 | 5/18/2018 | 10 | Elect Dennis H. Reilley | Mgmt | Voted | FO |
| CSX Corporation | CSX | 126408103 | 5/18/2018 | 11 | Elect Linda H. Riefler | Mgmt | Voted | FO |
| CSX Corporation | CSX | 126408103 | 5/18/2018 | 12 | Elect J. Steven Whisler | Mgmt | Voted | FO |
| CSX Corporation | CSX | 126408103 | 5/18/2018 | 13 | Elect John J. Zillmer | Mgmt | Voted | FO |
| CSX Corporation | CSX | 126408103 | 5/18/2018 | 14 | Ratification of Auditor | Mgmt | Voted | FO |
| CSX Corporation | CSX | 126408103 | 5/18/2018 | 15 | Advisory Vote on Executive Compensation | Mgmt | Voted | FO |
| CSX Corporation | CSX | 126408103 | 5/18/2018 | 16 | Approval of the 2018 Employee Stock Purchase Plan | Mgmt | Voted | FO |
| Cummins Inc. | CMI | 231021106 | 5/8/2018 | 1 | Elect N. Thomas Linebarger | Mgmt | Voted | FO |
| Cummins Inc. | CMI | 231021106 | 5/8/2018 | 2 | Elect Richard J. Freeland | Mgmt | Voted | FO |
| Cummins Inc. | CMI | 231021106 | 5/8/2018 | 3 | Elect Robert J. Bernhard | Mgmt | Voted | FO |

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| Cummins Inc. | CMI | 231021106 | 5/8/2018 | 4 | Elect Franklin R. Chang-Diaz | Mgmt | Voted | FO |
| Cummins Inc. | CMI | 231021106 | 5/8/2018 | 5 | Elect Bruno V. Di Leo Allen | Mgmt | Voted | FO |
| Cummins Inc. | CMI | 231021106 | 5/8/2018 | 6 | Elect Stephen B. Dobbs | Mgmt | Voted | FO |
| Cummins Inc. | CMI | 231021106 | 5/8/2018 | 7 | Elect Robert K. Herdman | Mgmt | Voted | FO |
| Cummins Inc. | CMI | 231021106 | 5/8/2018 | 8 | Elect Alexis M. Herman | Mgmt | Voted | FO |
| Cummins Inc. | CMI | 231021106 | 5/8/2018 | 9 | Elect Thomas J. Lynch | Mgmt | Voted | FO |
| Cummins Inc. | CMI | 231021106 | 5/8/2018 | 10 | Elect William I. Miller | Mgmt | Voted | FO |
| Cummins Inc. | CMI | 231021106 | 5/8/2018 | 11 | Elect Georgia R. Nelson | Mgmt | Voted | FO |
| Cummins Inc. | CMI | 231021106 | 5/8/2018 | 12 | Elect Karen H. Quintos | Mgmt | Voted | FO |
| Cummins Inc. | CMI | 231021106 | 5/8/2018 | 13 | Advisory Vote on Executive Compensation | Mgmt | Voted | FO |
| Cummins Inc. | CMI | 231021106 | 5/8/2018 | 14 | Ratification of Auditor | Mgmt | Voted | FO |
| Cummins Inc. | CMI | 231021106 | 5/8/2018 | 15 | Amendment Regarding Shareholders Ability to Amend Bylaws | Mgmt | Voted | FO |
| Cummins Inc. | CMI | 231021106 | 5/8/2018 | 16 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHoldr | Voted | AP |
| CVS Health Corporation | CVS | 126650100 | 3/13/2018 | 1 | Issuance of Stock for Merger | Mgmt | Voted | FO |
| CVS Health Corporation | CVS | 126650100 | 3/13/2018 | 2 | Right to Adjourn Meeting | Mgmt | Voted | FO |
| CVS Health Corporation | CVS | 126650100 | 6/4/2018 | 1 | Elect Richard M. Bracken | Mgmt | Voted | FO |
| CVS Health Corporation | CVS | 126650100 | 6/4/2018 | 2 | Elect C. David Brown II | Mgmt | Voted | FO |
| CVS Health Corporation | CVS | 126650100 | 6/4/2018 | 3 | Elect Alecia A. DeCoudreaux | Mgmt | Voted | FO |
| CVS Health Corporation | CVS | 126650100 | 6/4/2018 | 4 | Elect Nancy-Ann M. DeParle | Mgmt | Voted | FO |
| CVS Health Corporation | CVS | 126650100 | 6/4/2018 | 5 | Elect David W. Dorman | Mgmt | Voted | FO |
| CVS Health | CVS | 126650100 | 6/4/2018 | 6 | Elect Anne M. Finucane | Mgmt | Voted | FO |

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| Corporation | | | | | | | | | |
| CVS Health Corporation | CVS | 126650100 | 6/4/2018 | 7 | Elect Larry J. Merlo | Mgmt | Voted | For | |
| CVS Health Corporation | CVS | 126650100 | 6/4/2018 | 8 | Elect Jean-Pierre Millon | Mgmt | Voted | For | |
| CVS Health Corporation | CVS | 126650100 | 6/4/2018 | 9 | Elect Mary L. Schapiro | Mgmt | Voted | For | |
| CVS Health Corporation | CVS | 126650100 | 6/4/2018 | 10 | Elect Richard J. Swift | Mgmt | Voted | For | |
| CVS Health Corporation | CVS | 126650100 | 6/4/2018 | 11 | Elect William C. Weldon | Mgmt | Voted | For | |
| CVS Health Corporation | CVS | 126650100 | 6/4/2018 | 12 | Elect Tony L. White | Mgmt | Voted | For | |
| CVS Health Corporation | CVS | 126650100 | 6/4/2018 | 13 | Ratification of Auditor | Mgmt | Voted | For | |
| CVS Health Corporation | CVS | 126650100 | 6/4/2018 | 14 | Advisory Vote on Executive Compensation | Mgmt | Voted | For | |
| CVS Health Corporation | CVS | 126650100 | 6/4/2018 | 15 | Reduce Threshold for Shareholders' Right to Call a Special Meeting | Mgmt | Voted | For | |
| CVS Health Corporation | CVS | 126650100 | 6/4/2018 | 16 | Shareholder Proposal Regarding Voting Disclosure | ShrHoldr | Voted | Against | |
| Danaher Corporation | DHR | 235851102 | 5/8/2018 | 1 | Elect Donald J. Ehrlich | Mgmt | Voted | For | |
| Danaher Corporation | DHR | 235851102 | 5/8/2018 | 2 | Elect Linda P. Hefner Filler | Mgmt | Voted | For | |
| Danaher Corporation | DHR | 235851102 | 5/8/2018 | 3 | Elect Thomas P. Joyce, Jr. | Mgmt | Voted | For | |
| Danaher Corporation | DHR | 235851102 | 5/8/2018 | 4 | Elect Teri List-Stoll | Mgmt | Voted | For | |
| Danaher Corporation | DHR | 235851102 | 5/8/2018 | 5 | Elect Walter G. Lohr, Jr. | Mgmt | Voted | For | |
| Danaher Corporation | DHR | 235851102 | 5/8/2018 | 6 | Elect Mitchell P. Rales | Mgmt | Voted | For | |
| Danaher Corporation | DHR | 235851102 | 5/8/2018 | 7 | Elect Steven M. Rales | Mgmt | Voted | For | |
| Danaher Corporation | DHR | 235851102 | 5/8/2018 | 8 | Elect John T. Schwieters | Mgmt | Voted | For | |
| Danaher Corporation | DHR | 235851102 | 5/8/2018 | 9 | Elect Alan G. Spoon | Mgmt | Voted | For | |
| Danaher Corporation | DHR | 235851102 | 5/8/2018 | 10 | Elect Raymond C. | Mgmt | Voted | For | |

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Stevens

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|-----------------------|------|-----------|-----------|----|--|----------|-------|----|
| Danaher Corporation | DHR | 235851102 | 5/8/2018 | 11 | Elect Elias A. Zerhouni | Mgmt | Voted | FO |
| Danaher Corporation | DHR | 235851102 | 5/8/2018 | 12 | Ratification of Auditor | Mgmt | Voted | FO |
| Danaher Corporation | DHR | 235851102 | 5/8/2018 | 13 | Advisory Vote on Executive Compensation | Mgmt | Voted | FO |
| Danaher Corporation | DHR | 235851102 | 5/8/2018 | 14 | Shareholder Proposal Regarding Right to Call Special Meetings | ShrHoldr | Voted | AP |
| Deere & Company | DE | 244199105 | 2/28/2018 | 1 | Elect Samuel R. Allen | Mgmt | Voted | FO |
| Deere & Company | DE | 244199105 | 2/28/2018 | 2 | Elect Vance D. Coffman | Mgmt | Voted | FO |
| Deere & Company | DE | 244199105 | 2/28/2018 | 3 | Elect Alan C. Heuberger | Mgmt | Voted | FO |
| Deere & Company | DE | 244199105 | 2/28/2018 | 4 | Elect Charles O. Holliday, Jr. | Mgmt | Voted | FO |
| Deere & Company | DE | 244199105 | 2/28/2018 | 5 | Elect Dipak C. Jain | Mgmt | Voted | FO |
| Deere & Company | DE | 244199105 | 2/28/2018 | 6 | Elect Michael O. Johanns | Mgmt | Voted | FO |
| Deere & Company | DE | 244199105 | 2/28/2018 | 7 | Elect Clayton M. Jones | Mgmt | Voted | FO |
| Deere & Company | DE | 244199105 | 2/28/2018 | 8 | Elect Brian M. Krzanich | Mgmt | Voted | FO |
| Deere & Company | DE | 244199105 | 2/28/2018 | 9 | Elect Gregory R. Page | Mgmt | Voted | FO |
| Deere & Company | DE | 244199105 | 2/28/2018 | 10 | Elect Sherry M. Smith | Mgmt | Voted | FO |
| Deere & Company | DE | 244199105 | 2/28/2018 | 11 | Elect Dmitri L. Stockton | Mgmt | Voted | FO |
| Deere & Company | DE | 244199105 | 2/28/2018 | 12 | Elect Sheila G. Talton | Mgmt | Voted | FO |
| Deere & Company | DE | 244199105 | 2/28/2018 | 13 | Advisory vote on executive compensation | Mgmt | Voted | FO |
| Deere & Company | DE | 244199105 | 2/28/2018 | 14 | Amendment to the Long-Term Incentive Cash Plan | Mgmt | Voted | FO |
| Deere & Company | DE | 244199105 | 2/28/2018 | 15 | Ratification of Auditor | Mgmt | Voted | FO |
| Deere & Company | DE | 244199105 | 2/28/2018 | 16 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHoldr | Voted | AP |
| Delphi Automotive PLC | DLPH | G27823106 | 11/7/2017 | 1 | Company Name Change | Mgmt | Voted | FO |

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| | | | | | | | | |
|--------------------------|-----|-----------|-----------|-----|---|------|-------|----|
| Delta Air Lines, Inc. | DAL | 247361702 | 6/29/2018 | 1 | Elect Edward H. Bastian | Mgmt | Voted | Fo |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/29/2018 | 2 | Elect Francis S. Blake | Mgmt | Voted | Fo |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/29/2018 | 3 | Elect Daniel A. Carp | Mgmt | Voted | Fo |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/29/2018 | 4 | Elect Ashton B. Carter | Mgmt | Voted | Fo |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/29/2018 | 5 | Elect David G. DeWalt | Mgmt | Voted | Fo |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/29/2018 | 6 | Elect William H. Easter III | Mgmt | Voted | Fo |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/29/2018 | 7 | Elect Michael P. Huerta | Mgmt | Voted | Fo |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/29/2018 | 8 | Elect Jeanne P. Jackson | Mgmt | Voted | Fo |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/29/2018 | 9 | Elect George N. Mattson | Mgmt | Voted | Fo |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/29/2018 | 10 | Elect Douglas R Ralph | Mgmt | Voted | Fo |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/29/2018 | 11 | Elect Sergio A. L. Rial | Mgmt | Voted | Fo |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/29/2018 | 12 | Elect Kathy N. Waller | Mgmt | Voted | Fo |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/29/2018 | 13 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Delta Air Lines, Inc. | DAL | 247361702 | 6/29/2018 | 14 | Ratification of Auditor | Mgmt | Voted | Fo |
| Devon Energy Corporation | DVN | 25179M103 | 6/6/2018 | 1.1 | Elect Barbara M. Baumann | Mgmt | Voted | Fo |
| Devon Energy Corporation | DVN | 25179M103 | 6/6/2018 | 1.2 | Elect John E. Bethancourt | Mgmt | Voted | Fo |
| Devon Energy Corporation | DVN | 25179M103 | 6/6/2018 | 1.3 | Elect David A. Hager | Mgmt | Voted | Fo |
| Devon Energy Corporation | DVN | 25179M103 | 6/6/2018 | 1.4 | Elect Robert H. Henry | Mgmt | Voted | Fo |
| Devon Energy Corporation | DVN | 25179M103 | 6/6/2018 | 1.5 | Elect Michael M. Kanovsky | Mgmt | Voted | Fo |
| Devon Energy Corporation | DVN | 25179M103 | 6/6/2018 | 1.6 | Elect John Krenicki, Jr. | Mgmt | Voted | Fo |
| Devon Energy Corporation | DVN | 25179M103 | 6/6/2018 | 1.7 | Elect Robert A. Mosbacher, Jr. | Mgmt | Voted | Fo |
| Devon Energy Corporation | DVN | 25179M103 | 6/6/2018 | 1.8 | Elect Duane C. Radtke | Mgmt | Voted | Fo |
| Devon Energy Corporation | DVN | 25179M103 | 6/6/2018 | 1.9 | Elect Mary P. Ricciardello | Mgmt | Voted | Fo |

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|--------------------------|------|-----------|-----------|------|--|----------|-------|----|
| Devon Energy Corporation | DVN | 25179M103 | 6/6/2018 | 1.10 | Elect John Richels | Mgmt | Voted | Fo |
| Devon Energy Corporation | DVN | 25179M103 | 6/6/2018 | 2 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Devon Energy Corporation | DVN | 25179M103 | 6/6/2018 | 3 | Ratification of Auditor | Mgmt | Voted | Fo |
| Devon Energy Corporation | DVN | 25179M103 | 6/6/2018 | 4 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Voted | Ap |
| DowDuPont Inc. | DWDP | 26078J100 | 4/25/2018 | 1 | Elect Lamberto Andreotti | Mgmt | Voted | Fo |
| DowDuPont Inc. | DWDP | 26078J100 | 4/25/2018 | 2 | Elect James A. Bell | Mgmt | Voted | Fo |
| DowDuPont Inc. | DWDP | 26078J100 | 4/25/2018 | 3 | Elect Edward D. Breen | Mgmt | Voted | Fo |
| DowDuPont Inc. | DWDP | 26078J100 | 4/25/2018 | 4 | Elect Robert A. Brown | Mgmt | Voted | Fo |
| DowDuPont Inc. | DWDP | 26078J100 | 4/25/2018 | 5 | Elect Alexander M. Cutler | Mgmt | Voted | Fo |
| DowDuPont Inc. | DWDP | 26078J100 | 4/25/2018 | 6 | Elect Jeff M. Fettig | Mgmt | Voted | Fo |
| DowDuPont Inc. | DWDP | 26078J100 | 4/25/2018 | 7 | Elect Marillyn A. Hewson | Mgmt | Voted | Fo |
| DowDuPont Inc. | DWDP | 26078J100 | 4/25/2018 | 8 | Elect Lois D. Juliber | Mgmt | Voted | Fo |
| DowDuPont Inc. | DWDP | 26078J100 | 4/25/2018 | 9 | Elect Andrew N. Liveris | Mgmt | Voted | Fo |
| DowDuPont Inc. | DWDP | 26078J100 | 4/25/2018 | 10 | Elect Raymond J. Milchovich | Mgmt | Voted | Fo |
| DowDuPont Inc. | DWDP | 26078J100 | 4/25/2018 | 11 | Elect Paul Polman | Mgmt | Voted | Fo |
| DowDuPont Inc. | DWDP | 26078J100 | 4/25/2018 | 12 | Elect Dennis H. Reilley | Mgmt | Voted | Fo |
| DowDuPont Inc. | DWDP | 26078J100 | 4/25/2018 | 13 | Elect James M. Ringler | Mgmt | Voted | Fo |
| DowDuPont Inc. | DWDP | 26078J100 | 4/25/2018 | 14 | Elect Ruth G. Shaw | Mgmt | Voted | Fo |
| DowDuPont Inc. | DWDP | 26078J100 | 4/25/2018 | 15 | Elect Lee M. Thomas | Mgmt | Voted | Fo |
| DowDuPont Inc. | DWDP | 26078J100 | 4/25/2018 | 16 | Elect Patrick J. Ward | Mgmt | Voted | Fo |
| DowDuPont Inc. | DWDP | 26078J100 | 4/25/2018 | 17 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| DowDuPont Inc. | DWDP | 26078J100 | 4/25/2018 | 18 | Frequency of Advisory Vote on Executive Compensation | Mgmt | Voted | 1 |
| DowDuPont Inc. | DWDP | 26078J100 | 4/25/2018 | 19 | Ratification of Auditor | Mgmt | Voted | Fo |

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|--------------------|------|-----------|-----------|------|---|----------|-------|----|
| DowDuPont Inc. | DWDP | 26078J100 | 4/25/2018 | 20 | Shareholder Proposal Regarding Simple Majority Vote | ShrHoldr | Voted | AP |
| DowDuPont Inc. | DWDP | 26078J100 | 4/25/2018 | 21 | Shareholder Proposal Regarding Report on Ratio Between CEO and Employee Pay | ShrHoldr | Voted | AP |
| DowDuPont Inc. | DWDP | 26078J100 | 4/25/2018 | 22 | Shareholder Proposal Regarding Linking Executive Pay to Sustainability | ShrHoldr | Voted | AP |
| DowDuPont Inc. | DWDP | 26078J100 | 4/25/2018 | 23 | Shareholder Proposal Regarding a Report on Reputational Risk Associated with the Bhopal Tragedy | ShrHoldr | Voted | AP |
| DowDuPont Inc. | DWDP | 26078J100 | 4/25/2018 | 24 | Shareholder Proposal Regarding Right to Call Special Meetings | ShrHoldr | Voted | AP |
| DTE Energy Company | DTE | 233331107 | 5/3/2018 | 1.1 | Elect Gerard M. Anderson | Mgmt | Voted | FC |
| DTE Energy Company | DTE | 233331107 | 5/3/2018 | 1.2 | Elect David A. Brandon | Mgmt | Voted | FC |
| DTE Energy Company | DTE | 233331107 | 5/3/2018 | 1.3 | Elect W. Frank Fountain, Jr. | Mgmt | Voted | FC |
| DTE Energy Company | DTE | 233331107 | 5/3/2018 | 1.4 | Elect Charles G. McClure | Mgmt | Voted | FC |
| DTE Energy Company | DTE | 233331107 | 5/3/2018 | 1.5 | Elect Gail J. McGovern | Mgmt | Voted | FC |
| DTE Energy Company | DTE | 233331107 | 5/3/2018 | 1.6 | Elect Mark A. Murray | Mgmt | Voted | FC |
| DTE Energy Company | DTE | 233331107 | 5/3/2018 | 1.7 | Elect James B. Nicholson | Mgmt | Voted | FC |
| DTE Energy Company | DTE | 233331107 | 5/3/2018 | 1.8 | Elect Josue Robles, Jr. | Mgmt | Voted | FC |
| DTE Energy Company | DTE | 233331107 | 5/3/2018 | 1.9 | Elect Ruth G. Shaw | Mgmt | Voted | FC |
| DTE Energy Company | DTE | 233331107 | 5/3/2018 | 1.10 | Elect Robert C. Skaggs, Jr. | Mgmt | Voted | FC |
| DTE Energy Company | DTE | 233331107 | 5/3/2018 | 1.11 | Elect David A. Thomas | Mgmt | Voted | FC |

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|--|-----|-----------|-----------|------|---|----------|-------|----|
| DTE Energy Company | DTE | 233331107 | 5/3/2018 | 1.12 | Elect James H. Vandenberghe | Mgmt | Voted | Fo |
| DTE Energy Company | DTE | 233331107 | 5/3/2018 | 2 | Ratification of Auditor | Mgmt | Voted | Fo |
| DTE Energy Company | DTE | 233331107 | 5/3/2018 | 3 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| DTE Energy Company | DTE | 233331107 | 5/3/2018 | 4 | Amendment to the Long Term Incentive Plan | Mgmt | Voted | Fo |
| DTE Energy Company | DTE | 233331107 | 5/3/2018 | 5 | Shareholder Proposal Regarding an Economic Analysis on Closure of the Fermi 2 Nuclear Plant | ShrHoldr | Voted | AP |
| DTE Energy Company | DTE | 233331107 | 5/3/2018 | 6 | Shareholder Proposal Regarding Right to Call Special Meetings | ShrHoldr | Voted | AP |
| Duff & Phelps Global Utility Income Fund Inc | DPG | 26433C105 | 3/13/2018 | 1.1 | Elect Donald C. Burke | Mgmt | Voted | Fo |
| Duff & Phelps Global Utility Income Fund Inc | DPG | 26433C105 | 3/13/2018 | 1.2 | Elect Clifford W. Hoffman | Mgmt | Voted | Fo |
| Duff & Phelps Global Utility Income Fund Inc | DPG | 26433C105 | 3/13/2018 | 1.3 | Elect Eileen A. Moran | Mgmt | Voted | Fo |
| Eaton Corporation plc | ETN | G29183103 | 4/25/2018 | 1 | Elect Craig Arnold | Mgmt | Voted | Fo |
| Eaton Corporation plc | ETN | G29183103 | 4/25/2018 | 2 | Elect Todd M. Bluedorn | Mgmt | Voted | Fo |
| Eaton Corporation plc | ETN | G29183103 | 4/25/2018 | 3 | Elect Christopher M. Connor | Mgmt | Voted | Fo |
| Eaton Corporation plc | ETN | G29183103 | 4/25/2018 | 4 | Elect Michael J. Critelli | Mgmt | Voted | Fo |
| Eaton Corporation plc | ETN | G29183103 | 4/25/2018 | 5 | Elect Richard H. Fearon | Mgmt | Voted | Fo |
| Eaton Corporation plc | ETN | G29183103 | 4/25/2018 | 6 | Elect Charles E. Golden | Mgmt | Voted | Fo |
| Eaton Corporation plc | ETN | G29183103 | 4/25/2018 | 7 | Elect Arthur E. Johnson | Mgmt | Voted | Fo |
| Eaton Corporation plc | ETN | G29183103 | 4/25/2018 | 8 | Elect Deborah L. McCoy | Mgmt | Voted | Fo |
| Eaton Corporation plc | ETN | G29183103 | 4/25/2018 | 9 | Elect Gregory R. Page | Mgmt | Voted | Fo |
| Eaton Corporation plc | ETN | G29183103 | 4/25/2018 | 10 | Elect Sandra Pianalto | Mgmt | Voted | Fo |
| Eaton Corporation plc | ETN | G29183103 | 4/25/2018 | 11 | Elect Gerald B. Smith | Mgmt | Voted | Fo |

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|-----------------------|-----|-----------|-----------|----|--|------|-------|----|
| Eaton Corporation plc | ETN | G29183103 | 4/25/2018 | 12 | Elect Dorothy C. Thompson | Mgmt | Voted | Fo |
| Eaton Corporation plc | ETN | G29183103 | 4/25/2018 | 13 | Ratification of Auditor and Authority to Set Fees | Mgmt | Voted | Fo |
| Eaton Corporation plc | ETN | G29183103 | 4/25/2018 | 14 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Eaton Corporation plc | ETN | G29183103 | 4/25/2018 | 15 | Authority to Issue Shares w/ Preemptive Rights | Mgmt | Voted | Fo |
| Eaton Corporation plc | ETN | G29183103 | 4/25/2018 | 16 | Authority to Issue Shares w/o Preemptive Rights (Specified Capital Investment) | Mgmt | Voted | Fo |
| Eaton Corporation plc | ETN | G29183103 | 4/25/2018 | 17 | Authority to Repurchase Overseas Market Shares | Mgmt | Voted | Fo |
| Ecolab Inc. | ECL | 278865100 | 5/3/2018 | 1 | Elect Douglas M. Baker, Jr. | Mgmt | Voted | Fo |
| Ecolab Inc. | ECL | 278865100 | 5/3/2018 | 2 | Elect Barbara J. Beck | Mgmt | Voted | Fo |
| Ecolab Inc. | ECL | 278865100 | 5/3/2018 | 3 | Elect Leslie S. Biller | Mgmt | Voted | Fo |
| Ecolab Inc. | ECL | 278865100 | 5/3/2018 | 4 | Elect Carl M. Casale | Mgmt | Voted | Fo |
| Ecolab Inc. | ECL | 278865100 | 5/3/2018 | 5 | Elect Stephen I. Chazen | Mgmt | Voted | Fo |
| Ecolab Inc. | ECL | 278865100 | 5/3/2018 | 6 | Elect Jeffrey M. Ettinger | Mgmt | Voted | Fo |
| Ecolab Inc. | ECL | 278865100 | 5/3/2018 | 7 | Elect Arthur J. Higgins | Mgmt | Voted | Fo |
| Ecolab Inc. | ECL | 278865100 | 5/3/2018 | 8 | Elect Michael Larson | Mgmt | Voted | Fo |
| Ecolab Inc. | ECL | 278865100 | 5/3/2018 | 9 | Elect David W. MacLennan | Mgmt | Voted | Fo |
| Ecolab Inc. | ECL | 278865100 | 5/3/2018 | 10 | Elect Tracy B. McKibben | Mgmt | Voted | Fo |
| Ecolab Inc. | ECL | 278865100 | 5/3/2018 | 11 | Elect Victoria J. Reich | Mgmt | Voted | Fo |
| Ecolab Inc. | ECL | 278865100 | 5/3/2018 | 12 | Elect Suzanne M. Vautrinot | Mgmt | Voted | Fo |
| Ecolab Inc. | ECL | 278865100 | 5/3/2018 | 13 | Elect John J. Zillmer | Mgmt | Voted | Fo |
| Ecolab Inc. | ECL | 278865100 | 5/3/2018 | 14 | Ratification of Auditor | Mgmt | Voted | Fo |
| Ecolab Inc. | ECL | 278865100 | 5/3/2018 | 15 | Advisory Vote on Executive | Mgmt | Voted | Fo |

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| | | | | | Compensation | | | | |
|----------------------|-----|-----------|-----------|----|--|----------|-------|----|--|
| Ecolab Inc. | ECL | 278865100 | 5/3/2018 | 16 | Shareholder Proposal Regarding Right to Call A Special Meeting | ShrHoldr | Voted | Ag | |
| Edison International | EIX | 281020107 | 4/26/2018 | 1 | Elect Michael C. Camunez | Mgmt | Voted | Fo | |
| Edison International | EIX | 281020107 | 4/26/2018 | 2 | Elect Vanessa C.L. Chang | Mgmt | Voted | Fo | |
| Edison International | EIX | 281020107 | 4/26/2018 | 3 | Elect James T. Morris | Mgmt | Voted | Fo | |
| Edison International | EIX | 281020107 | 4/26/2018 | 4 | Elect Timothy T. O'Toole | Mgmt | Voted | Fo | |
| Edison International | EIX | 281020107 | 4/26/2018 | 5 | Elect Pedro J. Pizarro | Mgmt | Voted | Fo | |
| Edison International | EIX | 281020107 | 4/26/2018 | 6 | Elect Linda G. Stuntz | Mgmt | Voted | Fo | |
| Edison International | EIX | 281020107 | 4/26/2018 | 7 | Elect William P. Sullivan | Mgmt | Voted | Fo | |
| Edison International | EIX | 281020107 | 4/26/2018 | 8 | Elect Ellen O. Tauscher | Mgmt | Voted | Fo | |
| Edison International | EIX | 281020107 | 4/26/2018 | 9 | Elect Peter J. Taylor | Mgmt | Voted | Fo | |
| Edison International | EIX | 281020107 | 4/26/2018 | 10 | Elect Brett White | Mgmt | Voted | Fo | |
| Edison International | EIX | 281020107 | 4/26/2018 | 11 | Ratification of Auditor | Mgmt | Voted | Fo | |
| Edison International | EIX | 281020107 | 4/26/2018 | 12 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo | |
| Edison International | EIX | 281020107 | 4/26/2018 | 13 | Shareholder Proposal Regarding Proxy Access Bylaw Amendment | ShrHoldr | Voted | Ag | |
| EOG Resources, Inc. | EOG | 26875P101 | 4/24/2018 | 1 | Elect Janet F. Clark | Mgmt | Voted | Fo | |
| EOG Resources, Inc. | EOG | 26875P101 | 4/24/2018 | 2 | Elect Charles R. Crisp | Mgmt | Voted | Fo | |
| EOG Resources, Inc. | EOG | 26875P101 | 4/24/2018 | 3 | Elect Robert P. Daniels | Mgmt | Voted | Fo | |
| EOG Resources, Inc. | EOG | 26875P101 | 4/24/2018 | 4 | Elect James C. Day | Mgmt | Voted | Fo | |
| EOG Resources, Inc. | EOG | 26875P101 | 4/24/2018 | 5 | Elect C. Christopher Gaut | Mgmt | Voted | Fo | |
| EOG Resources, Inc. | EOG | 26875P101 | 4/24/2018 | 6 | Elect Donald F. Textor | Mgmt | Voted | Fo | |
| EOG Resources, Inc. | EOG | 26875P101 | 4/24/2018 | 7 | Elect William R. Thomas | Mgmt | Voted | Fo | |
| EOG Resources, Inc. | EOG | 26875P101 | 4/24/2018 | 8 | Elect Frank G. Wisner | Mgmt | Voted | Fo | |
| EOG Resources, Inc. | EOG | 26875P101 | 4/24/2018 | 9 | Ratification of Auditor | Mgmt | Voted | Fo | |

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|---------------------------------|------|-----------|------------|----|--|------|-------|----|
| EOG Resources, Inc. | EOG | 26875P101 | 4/24/2018 | 10 | Amendment to the Employee Stock Purchase Plan | Mgmt | Voted | FO |
| EOG Resources, Inc. | EOG | 26875P101 | 4/24/2018 | 11 | Advisory Vote on Executive Compensation | Mgmt | Voted | FO |
| Estee Lauder Companies Inc. | EL | 518439104 | 11/14/2017 | 1 | Elect Charlene Barshefsky | Mgmt | Voted | FO |
| Estee Lauder Companies Inc. | EL | 518439104 | 11/14/2017 | 2 | Elect Wei Sun Christianson | Mgmt | Voted | FO |
| Estee Lauder Companies Inc. | EL | 518439104 | 11/14/2017 | 3 | Elect Fabrizio Freda | Mgmt | Voted | FO |
| Estee Lauder Companies Inc. | EL | 518439104 | 11/14/2017 | 4 | Elect Jane Lauder | Mgmt | Voted | FO |
| Estee Lauder Companies Inc. | EL | 518439104 | 11/14/2017 | 5 | Elect Leonard A. Lauder | Mgmt | Voted | FO |
| Estee Lauder Companies Inc. | EL | 518439104 | 11/14/2017 | 6 | Ratification of Auditor | Mgmt | Voted | FO |
| Estee Lauder Companies Inc. | EL | 518439104 | 11/14/2017 | 7 | Advisory Vote on Executive Compensation | Mgmt | Voted | FO |
| Estee Lauder Companies Inc. | EL | 518439104 | 11/14/2017 | 8 | Frequency of Advisory Vote on Executive Compensation | Mgmt | Voted | 1 |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/10/2018 | 1 | Elect Maura C. Breen | Mgmt | Voted | FO |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/10/2018 | 2 | Elect William J. DeLaney | Mgmt | Voted | FO |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/10/2018 | 3 | Elect Elder Granger | Mgmt | Voted | FO |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/10/2018 | 4 | Elect Nicholas J. LaHowchic | Mgmt | Voted | FO |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/10/2018 | 5 | Elect Thomas P. Mac Mahon | Mgmt | Voted | FO |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/10/2018 | 6 | Elect Kathleen M. Mazzarella | Mgmt | Voted | FO |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/10/2018 | 7 | Elect Frank Mergenthaler | Mgmt | Voted | FO |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/10/2018 | 8 | Elect Woodrow A. Myers, Jr. | Mgmt | Voted | FO |

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|---------------------------------|------|-----------|-----------|----|---|----------|-------|----|
| Express Scripts Holding Company | ESRX | 30219G108 | 5/10/2018 | 9 | Elect Roderick A. Palmore | Mgmt | Voted | Fo |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/10/2018 | 10 | Elect George Paz | Mgmt | Voted | Fo |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/10/2018 | 11 | Elect William L. Roper | Mgmt | Voted | Fo |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/10/2018 | 12 | Elect Seymour Sternberg | Mgmt | Voted | Fo |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/10/2018 | 13 | Elect Timothy Wentworth | Mgmt | Voted | Fo |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/10/2018 | 14 | Ratification of Auditor | Mgmt | Voted | Fo |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/10/2018 | 15 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/10/2018 | 16 | Shareholder Proposal Regarding Gender Pay Equity Report | ShrHoldr | Voted | Ap |
| Express Scripts Holding Company | ESRX | 30219G108 | 5/10/2018 | 17 | Shareholder Proposal Regarding Cyber Risk Report | ShrHoldr | Voted | Ap |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/30/2018 | 1 | Elect Susan K. Avery | Mgmt | Voted | Fo |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/30/2018 | 2 | Elect Angela F. Braly | Mgmt | Voted | Fo |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/30/2018 | 3 | Elect Ursula M. Burns | Mgmt | Voted | Fo |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/30/2018 | 4 | Elect Kenneth C. Frazier | Mgmt | Voted | Fo |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/30/2018 | 5 | Elect Steven A. Kandarian | Mgmt | Voted | Fo |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/30/2018 | 6 | Elect Douglas R. Oberhelman | Mgmt | Voted | Fo |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/30/2018 | 7 | Elect Samuel J. Palmisano | Mgmt | Voted | Fo |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/30/2018 | 8 | Elect Steven S Reinemund | Mgmt | Voted | Fo |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/30/2018 | 9 | Elect William C. Weldon | Mgmt | Voted | Fo |
| | XOM | 30231G102 | 5/30/2018 | 10 | Elect Darren W. Woods | Mgmt | Voted | Fo |

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|-------------------------|-----|-----------|-----------|-----|--|----------|-------|---------|--|
| Exxon Mobil Corporation | | | | | | | | | |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/30/2018 | 11 | Ratification of Auditor | Mgmt | Voted | For | |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/30/2018 | 12 | Advisory Vote on Executive Compensation | Mgmt | Voted | For | |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/30/2018 | 13 | Shareholder Proposal Regarding Independent Board Chair | ShrHoldr | Voted | Against | |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/30/2018 | 14 | Shareholder Proposal Regarding Right to Call Special Meetings | ShrHoldr | Voted | Against | |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/30/2018 | 15 | Shareholder Proposal Regarding Disclosure of Director Skills Matrix | ShrHoldr | Voted | Against | |
| Exxon Mobil Corporation | XOM | 30231G102 | 5/30/2018 | 16 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Voted | Against | |
| Facebook, Inc. | FB | 30303M102 | 5/31/2018 | 1.1 | Elect Marc L. Andreessen | Mgmt | Voted | For | |
| Facebook, Inc. | FB | 30303M102 | 5/31/2018 | 1.2 | Elect Erskine B. Bowles | Mgmt | Voted | For | |
| Facebook, Inc. | FB | 30303M102 | 5/31/2018 | 1.3 | Elect Kenneth I. Chenault | Mgmt | Voted | For | |
| Facebook, Inc. | FB | 30303M102 | 5/31/2018 | 1.4 | Elect Susan D. Desmond-Hellmann | Mgmt | Voted | For | |
| Facebook, Inc. | FB | 30303M102 | 5/31/2018 | 1.5 | Elect Reed Hastings | Mgmt | Voted | For | |
| Facebook, Inc. | FB | 30303M102 | 5/31/2018 | 1.6 | Elect Jan Koum | Mgmt | Voted | For | |
| Facebook, Inc. | FB | 30303M102 | 5/31/2018 | 1.7 | Elect Sheryl K. Sandberg | Mgmt | Voted | For | |
| Facebook, Inc. | FB | 30303M102 | 5/31/2018 | 1.8 | Elect Peter A. Thiel | Mgmt | Voted | For | |
| Facebook, Inc. | FB | 30303M102 | 5/31/2018 | 1.9 | Elect Mark Zuckerberg | Mgmt | Voted | For | |
| Facebook, Inc. | FB | 30303M102 | 5/31/2018 | 2 | Ratification of Auditor | Mgmt | Voted | For | |
| Facebook, Inc. | FB | 30303M102 | 5/31/2018 | 3 | Shareholder Proposal Regarding Recapitalization | ShrHoldr | Voted | Against | |
| Facebook, Inc. | FB | 30303M102 | 5/31/2018 | 4 | Shareholder Proposal Regarding Formation of a Risk Oversight Committee | ShrHoldr | Voted | Against | |

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|-------------------|-----|-----------|-----------|----|--|----------|-------|---|
| Facebook, Inc. | FB | 30303M102 | 5/31/2018 | 5 | Shareholder Proposal Regarding Simple Majority Vote | ShrHoldr | Voted | A |
| Facebook, Inc. | FB | 30303M102 | 5/31/2018 | 6 | Shareholder Proposal Regarding a Report on the Efficacy of Enforcement of Content Policies | ShrHoldr | Voted | A |
| Facebook, Inc. | FB | 30303M102 | 5/31/2018 | 7 | Shareholder Proposal Regarding Gender Pay Equity Report | ShrHoldr | Voted | A |
| Facebook, Inc. | FB | 30303M102 | 5/31/2018 | 8 | Shareholder Proposal Regarding Tax Policy Principles | ShrHoldr | Voted | A |
| FedEx Corporation | FDX | 31428X106 | 9/25/2017 | 1 | Elect James L. Barksdale | Mgmt | Voted | F |
| FedEx Corporation | FDX | 31428X106 | 9/25/2017 | 2 | Elect John A. Edwardson | Mgmt | Voted | F |
| FedEx Corporation | FDX | 31428X106 | 9/25/2017 | 3 | Elect Marvin Ellison | Mgmt | Voted | F |
| FedEx Corporation | FDX | 31428X106 | 9/25/2017 | 4 | Elect John Chris Inglis | Mgmt | Voted | F |
| FedEx Corporation | FDX | 31428X106 | 9/25/2017 | 5 | Elect Kimberly A. Jabal | Mgmt | Voted | F |
| FedEx Corporation | FDX | 31428X106 | 9/25/2017 | 6 | Elect Shirley Ann Jackson | Mgmt | Voted | F |
| FedEx Corporation | FDX | 31428X106 | 9/25/2017 | 7 | Elect R. Brad Martin | Mgmt | Voted | F |
| FedEx Corporation | FDX | 31428X106 | 9/25/2017 | 8 | Elect Joshua Cooper Ramo | Mgmt | Voted | F |
| FedEx Corporation | FDX | 31428X106 | 9/25/2017 | 9 | Elect Susan C. Schwab | Mgmt | Voted | F |
| FedEx Corporation | FDX | 31428X106 | 9/25/2017 | 10 | Elect Frederick W. Smith | Mgmt | Voted | F |
| FedEx Corporation | FDX | 31428X106 | 9/25/2017 | 11 | Elect David P. Steiner | Mgmt | Voted | F |
| FedEx Corporation | FDX | 31428X106 | 9/25/2017 | 12 | Elect Paul S. Walsh | Mgmt | Voted | F |
| FedEx Corporation | FDX | 31428X106 | 9/25/2017 | 13 | Advisory Vote on Executive Compensation | Mgmt | Voted | F |
| FedEx Corporation | FDX | 31428X106 | 9/25/2017 | 14 | Frequency of Advisory Vote on Executive Compensation | Mgmt | Voted | 1 |
| FedEx Corporation | FDX | 31428X106 | 9/25/2017 | 15 | Amendment to the 2010 Omnibus Stock Incentive Plan | Mgmt | Voted | F |

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|--------------------|------|-----------|-----------|------|--|----------|-------|---|
| FedEx Corporation | FDX | 31428X106 | 9/25/2017 | 16 | Ratification of Auditor | Mgmt | Voted | F |
| FedEx Corporation | FDX | 31428X106 | 9/25/2017 | 17 | Shareholder Proposal Regarding Amendments to Proxy Access Bylaw | ShrHoldr | Voted | A |
| FedEx Corporation | FDX | 31428X106 | 9/25/2017 | 18 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Voted | A |
| FedEx Corporation | FDX | 31428X106 | 9/25/2017 | 19 | Shareholder Proposal Regarding Voting Disclosure | ShrHoldr | Voted | A |
| FedEx Corporation | FDX | 31428X106 | 9/25/2017 | 20 | Shareholder Proposal Regarding Report on Risks Of LGBT-Focused State Regulations | ShrHoldr | Voted | A |
| Fiserv, Inc. | FISV | 337738108 | 5/23/2018 | 1.1 | Elect Alison Davis | Mgmt | Voted | F |
| Fiserv, Inc. | FISV | 337738108 | 5/23/2018 | 1.2 | Elect Harry DiSimone | Mgmt | Voted | F |
| Fiserv, Inc. | FISV | 337738108 | 5/23/2018 | 1.3 | Elect John Y. Kim | Mgmt | Voted | F |
| Fiserv, Inc. | FISV | 337738108 | 5/23/2018 | 1.4 | Elect Dennis F. Lynch | Mgmt | Voted | F |
| Fiserv, Inc. | FISV | 337738108 | 5/23/2018 | 1.5 | Elect Denis J. O'Leary | Mgmt | Voted | F |
| Fiserv, Inc. | FISV | 337738108 | 5/23/2018 | 1.6 | Elect Glenn M. Renwick | Mgmt | Voted | F |
| Fiserv, Inc. | FISV | 337738108 | 5/23/2018 | 1.7 | Elect Kim M. Robak | Mgmt | Voted | F |
| Fiserv, Inc. | FISV | 337738108 | 5/23/2018 | 1.8 | Elect J.D. Sherman | Mgmt | Voted | F |
| Fiserv, Inc. | FISV | 337738108 | 5/23/2018 | 1.9 | Elect Doyle R. Simons | Mgmt | Voted | F |
| Fiserv, Inc. | FISV | 337738108 | 5/23/2018 | 1.10 | Elect Jeffery W. Yabuki | Mgmt | Voted | F |
| Fiserv, Inc. | FISV | 337738108 | 5/23/2018 | 2 | Amendment to the 2007 Omnibus Incentive Plan | Mgmt | Voted | F |
| Fiserv, Inc. | FISV | 337738108 | 5/23/2018 | 3 | Advisory Vote on Executive Compensation | Mgmt | Voted | F |
| Fiserv, Inc. | FISV | 337738108 | 5/23/2018 | 4 | Ratification of Auditor | Mgmt | Voted | F |
| Fiserv, Inc. | FISV | 337738108 | 5/23/2018 | 5 | Shareholder Proposal Regarding Voting Disclosure | ShrHoldr | Voted | A |
| Ford Motor Company | F | 345370860 | 5/10/2018 | 1 | Elect Stephen G. Butler | Mgmt | Voted | F |
| Ford Motor Company | F | 345370860 | 5/10/2018 | 2 | Elect Kimberly A. Casiano | Mgmt | Voted | F |

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|--------------------|---|-----------|-----------|----|---|----------|-------|----|
| Ford Motor Company | F | 345370860 | 5/10/2018 | 3 | Elect Anthony F. Earley, Jr. | Mgmt | Voted | Fo |
| Ford Motor Company | F | 345370860 | 5/10/2018 | 4 | Elect Edsel B. Ford II | Mgmt | Voted | Fo |
| Ford Motor Company | F | 345370860 | 5/10/2018 | 5 | Elect William Clay Ford, Jr. | Mgmt | Voted | Fo |
| Ford Motor Company | F | 345370860 | 5/10/2018 | 6 | Elect James P. Hackett | Mgmt | Voted | Fo |
| Ford Motor Company | F | 345370860 | 5/10/2018 | 7 | Elect William W. Helman IV | Mgmt | Voted | Fo |
| Ford Motor Company | F | 345370860 | 5/10/2018 | 8 | Elect William E. Kennard | Mgmt | Voted | Fo |
| Ford Motor Company | F | 345370860 | 5/10/2018 | 9 | Elect John C. Lechleiter | Mgmt | Voted | Fo |
| Ford Motor Company | F | 345370860 | 5/10/2018 | 10 | Elect Ellen R. Marram | Mgmt | Voted | Fo |
| Ford Motor Company | F | 345370860 | 5/10/2018 | 11 | Elect John L. Thornton | Mgmt | Voted | Fo |
| Ford Motor Company | F | 345370860 | 5/10/2018 | 12 | Elect John B. Veihmeyer | Mgmt | Voted | Fo |
| Ford Motor Company | F | 345370860 | 5/10/2018 | 13 | Elect Lynn M. Vojvodich | Mgmt | Voted | Fo |
| Ford Motor Company | F | 345370860 | 5/10/2018 | 14 | Elect John S. Weinberg | Mgmt | Voted | Fo |
| Ford Motor Company | F | 345370860 | 5/10/2018 | 15 | Ratification of Auditor | Mgmt | Voted | Fo |
| Ford Motor Company | F | 345370860 | 5/10/2018 | 16 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Ford Motor Company | F | 345370860 | 5/10/2018 | 17 | Approval of the 2018 Long-Term Incentive Plan | Mgmt | Voted | Fo |
| Ford Motor Company | F | 345370860 | 5/10/2018 | 18 | Shareholder Proposal Regarding Recapitalization | ShrHoldr | Voted | A |
| Ford Motor Company | F | 345370860 | 5/10/2018 | 19 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Voted | A |
| Ford Motor Company | F | 345370860 | 5/10/2018 | 20 | Shareholder Proposal Regarding Report on Company Fleet Greenhouse Gas Emissions | ShrHoldr | Voted | A |
| Ford Motor Company | F | 345370860 | 5/10/2018 | 21 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHoldr | Voted | A |

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|--|-----|-----------|-----------|-----|------------------------------|------|-------|----|
| Gabelli Global Small and Mid Cap Value Trust | GGZ | 36249W104 | 5/14/2018 | 1.1 | Elect Anthony J. Colavita | Mgmt | Voted | Fo |
| Gabelli Global Utility & Income Trust. | GLU | 36242L105 | 5/14/2018 | 1.1 | Elect Salvatore M. Salibello | Mgmt | Voted | Fo |
| Gabelli Healthcare & WellnessRx Trust | GRX | 36246K103 | 5/14/2018 | 1.1 | Elect Robert C. Kolodny | Mgmt | Voted | Fo |
| Gabelli Healthcare & WellnessRx Trust | GRX | 36246K103 | 5/14/2018 | 1.2 | Elect Salvatore J. Zizza | Mgmt | Voted | Fo |
| GDL Fund | GDL | 361570104 | 5/14/2018 | 1.1 | Elect Leslie F. Foley | Mgmt | Voted | Fo |
| GDL Fund | GDL | 361570104 | 5/14/2018 | 1.2 | Elect Mario J. Gabelli | Mgmt | Voted | Fo |
| GDL Fund | GDL | 361570104 | 5/14/2018 | 1.3 | Elect Michael J. Melarkey | Mgmt | Voted | Fo |
| General American Investors Company, Inc. | GAM | 368802104 | 4/18/2018 | 1.1 | Elect Rodney B. Berens | Mgmt | Voted | Fo |
| General American Investors Company, Inc. | GAM | 368802104 | 4/18/2018 | 1.2 | Elect Lewis B. Cullman | Mgmt | Voted | Fo |
| General American Investors Company, Inc. | GAM | 368802104 | 4/18/2018 | 1.3 | Elect Spencer Davidson | Mgmt | Voted | Fo |
| General American Investors Company, Inc. | GAM | 368802104 | 4/18/2018 | 1.4 | Elect Clara E. Del Villar | Mgmt | Voted | Fo |
| General American Investors Company, Inc. | GAM | 368802104 | 4/18/2018 | 1.5 | Elect John D. Gordan, III | Mgmt | Voted | Fo |

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| | | | | | | | | |
|--|-----|-----------|-----------|------|------------------------------|------|-------|----|
| General American Investors Company, Inc. | GAM | 368802104 | 4/18/2018 | 1.6 | Elect Betsy F. Gotbaum | Mgmt | Voted | Fo |
| General American Investors Company, Inc. | GAM | 368802104 | 4/18/2018 | 1.7 | Elect Sidney R. Knafel | Mgmt | Voted | Fo |
| General American Investors Company, Inc. | GAM | 368802104 | 4/18/2018 | 1.8 | Elect Rose Peabody Lynch | Mgmt | Voted | Fo |
| General American Investors Company, Inc. | GAM | 368802104 | 4/18/2018 | 1.9 | Elect Jeffrey W. Priest | Mgmt | Voted | Fo |
| General American Investors Company, Inc. | GAM | 368802104 | 4/18/2018 | 1.10 | Elect Henry R. Schirmer | Mgmt | Voted | Fo |
| General American Investors Company, Inc. | GAM | 368802104 | 4/18/2018 | 2 | Ratification of Auditor | Mgmt | Voted | Fo |
| General Mills, Inc. | GIS | 370334104 | 9/26/2017 | 1 | Elect Bradbury H. Anderson | Mgmt | Voted | Fo |
| General Mills, Inc. | GIS | 370334104 | 9/26/2017 | 2 | Elect Alicia Boler Davis | Mgmt | Voted | Fo |
| General Mills, Inc. | GIS | 370334104 | 9/26/2017 | 3 | Elect R. Kerry Clark | Mgmt | Voted | Fo |
| General Mills, Inc. | GIS | 370334104 | 9/26/2017 | 4 | Elect David M. Cordani | Mgmt | Voted | Fo |
| General Mills, Inc. | GIS | 370334104 | 9/26/2017 | 5 | Elect Roger W. Ferguson, Jr. | Mgmt | Voted | Fo |
| General Mills, Inc. | GIS | 370334104 | 9/26/2017 | 6 | Elect Henrietta H. Fore | Mgmt | Voted | Fo |
| General Mills, Inc. | GIS | 370334104 | 9/26/2017 | 7 | Elect Jeffrey L. Harmening | Mgmt | Voted | Fo |
| General Mills, Inc. | GIS | 370334104 | 9/26/2017 | 8 | Elect Maria G. Henry | Mgmt | Voted | Fo |
| General Mills, Inc. | GIS | 370334104 | 9/26/2017 | 9 | Elect Heidi G. Miller | Mgmt | Voted | Fo |
| General Mills, Inc. | GIS | 370334104 | 9/26/2017 | 10 | Elect Steve Odland | Mgmt | Voted | Fo |
| General Mills, Inc. | GIS | 370334104 | 9/26/2017 | 11 | Elect Kendall J. Powell | Mgmt | Voted | Fo |
| General Mills, Inc. | GIS | 370334104 | 9/26/2017 | 12 | Elect Eric D. Sprunk | Mgmt | Voted | Fo |
| General Mills, Inc. | GIS | 370334104 | 9/26/2017 | 13 | Elect Jorge A. Uribe | Mgmt | Voted | Fo |
| General Mills, Inc. | GIS | 370334104 | 9/26/2017 | 14 | 2017 Stock Compensation Plan | Mgmt | Voted | Fo |
| General Mills, Inc. | GIS | 370334104 | 9/26/2017 | 15 | Advisory Vote on Executive | Mgmt | Voted | Fo |

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Compensation

| Company | ISIN | Proposal ID | Start Date | End Date | Proposal Description | Category | Status | Outcome |
|------------------------------|------|-------------|------------|----------|---|----------|--------|---------|
| General Mills, Inc. | GIS | 370334104 | 9/26/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt | Voted | 1 |
| General Mills, Inc. | GIS | 370334104 | 9/26/2017 | 17 | Ratification of Auditor | Mgmt | Voted | Fo |
| General Motors Co. | GM | 37045V100 | 6/12/2018 | 1 | Elect Mary T. Barra | Mgmt | Voted | Fo |
| General Motors Co. | GM | 37045V100 | 6/12/2018 | 2 | Elect Linda R. Gooden | Mgmt | Voted | Fo |
| General Motors Co. | GM | 37045V100 | 6/12/2018 | 3 | Elect Joseph Jimenez | Mgmt | Voted | Fo |
| General Motors Co. | GM | 37045V100 | 6/12/2018 | 4 | Elect Jane L. Mendillo | Mgmt | Voted | Fo |
| General Motors Co. | GM | 37045V100 | 6/12/2018 | 5 | Elect Michael G. Mullen | Mgmt | Voted | Fo |
| General Motors Co. | GM | 37045V100 | 6/12/2018 | 6 | Elect James J. Mulva | Mgmt | Voted | Fo |
| General Motors Co. | GM | 37045V100 | 6/12/2018 | 7 | Elect Patricia F. Russo | Mgmt | Voted | Fo |
| General Motors Co. | GM | 37045V100 | 6/12/2018 | 8 | Elect Thomas M. Schoewe | Mgmt | Voted | Fo |
| General Motors Co. | GM | 37045V100 | 6/12/2018 | 9 | Elect Theodore M. Solso | Mgmt | Voted | Fo |
| General Motors Co. | GM | 37045V100 | 6/12/2018 | 10 | Elect Carol M. Stephenson | Mgmt | Voted | Fo |
| General Motors Co. | GM | 37045V100 | 6/12/2018 | 11 | Elect Devin N. Wenig | Mgmt | Voted | Fo |
| General Motors Co. | GM | 37045V100 | 6/12/2018 | 12 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| General Motors Co. | GM | 37045V100 | 6/12/2018 | 13 | Ratification of Auditor | Mgmt | Voted | Fo |
| General Motors Co. | GM | 37045V100 | 6/12/2018 | 14 | Shareholder Proposal Regarding Independent Board Chair | ShrHoldr | Voted | Ap |
| General Motors Co. | GM | 37045V100 | 6/12/2018 | 15 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Voted | Ap |
| General Motors Co. | GM | 37045V100 | 6/12/2018 | 16 | Shareholder Proposal Regarding Report on Company Fleet Greenhouse Gas Emissions | ShrHoldr | Voted | Ap |
| Honeywell International Inc. | HON | 438516106 | 4/23/2018 | 1 | Elect Darius Adamczyk | Mgmt | Voted | Fo |
| Honeywell | HON | 438516106 | 4/23/2018 | 2 | Elect Duncan B. | Mgmt | Voted | Fo |

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|------------------------------|-----|-----------|-----------|----|--|----------|-------|----|
| International Inc. | | | | | Angove | | | |
| Honeywell International Inc. | HON | 438516106 | 4/23/2018 | 3 | Elect William S. Ayer | Mgmt | Voted | Fo |
| Honeywell International Inc. | HON | 438516106 | 4/23/2018 | 4 | Elect Kevin Burke | Mgmt | Voted | Fo |
| Honeywell International Inc. | HON | 438516106 | 4/23/2018 | 5 | Elect Jaime Chico Pardo | Mgmt | Voted | Fo |
| Honeywell International Inc. | HON | 438516106 | 4/23/2018 | 6 | Elect D. Scott Davis | Mgmt | Voted | Fo |
| Honeywell International Inc. | HON | 438516106 | 4/23/2018 | 7 | Elect Linnet F. Deily | Mgmt | Voted | Fo |
| Honeywell International Inc. | HON | 438516106 | 4/23/2018 | 8 | Elect Judd Gregg | Mgmt | Voted | Fo |
| Honeywell International Inc. | HON | 438516106 | 4/23/2018 | 9 | Elect Clive Hollick | Mgmt | Voted | Fo |
| Honeywell International Inc. | HON | 438516106 | 4/23/2018 | 10 | Elect Grace D. Lieblein | Mgmt | Voted | Fo |
| Honeywell International Inc. | HON | 438516106 | 4/23/2018 | 11 | Elect George Paz | Mgmt | Voted | Fo |
| Honeywell International Inc. | HON | 438516106 | 4/23/2018 | 12 | Elect Robin L. Washington | Mgmt | Voted | Fo |
| Honeywell International Inc. | HON | 438516106 | 4/23/2018 | 13 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Honeywell International Inc. | HON | 438516106 | 4/23/2018 | 14 | Ratification of Auditor | Mgmt | Voted | Fo |
| Honeywell International Inc. | HON | 438516106 | 4/23/2018 | 15 | Decrease in Threshold Required to Call a Special Meeting | Mgmt | Voted | Fo |
| Honeywell International Inc. | HON | 438516106 | 4/23/2018 | 16 | Shareholder Proposal Regarding Independent Board Chair | ShrHoldr | Voted | Ap |
| Honeywell International Inc. | HON | 438516106 | 4/23/2018 | 17 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Voted | Ap |
| Humana Inc. | HUM | 444859102 | 4/19/2018 | 1 | Elect Kurt J. Hilzinger | Mgmt | Voted | Fo |
| Humana Inc. | HUM | 444859102 | 4/19/2018 | 2 | Elect Frank J. Bisignano | Mgmt | Voted | Fo |
| Humana Inc. | HUM | 444859102 | 4/19/2018 | 3 | Elect Bruce D. Broussard | Mgmt | Voted | Fo |

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|--------------------------|-----|-----------|-----------|----|---|------|-------|----|
| Humana Inc. | HUM | 444859102 | 4/19/2018 | 4 | Elect Frank A. D'Amelio | Mgmt | Voted | FO |
| Humana Inc. | HUM | 444859102 | 4/19/2018 | 5 | Elect Karen B. DeSalvo | Mgmt | Voted | FO |
| Humana Inc. | HUM | 444859102 | 4/19/2018 | 6 | Elect W. Roy Dunbar | Mgmt | Voted | FO |
| Humana Inc. | HUM | 444859102 | 4/19/2018 | 7 | Elect David A. Jones Jr. | Mgmt | Voted | FO |
| Humana Inc. | HUM | 444859102 | 4/19/2018 | 8 | Elect William J. McDonald | Mgmt | Voted | FO |
| Humana Inc. | HUM | 444859102 | 4/19/2018 | 9 | Elect William E. Mitchell | Mgmt | Voted | FO |
| Humana Inc. | HUM | 444859102 | 4/19/2018 | 10 | Elect David B. Nash | Mgmt | Voted | FO |
| Humana Inc. | HUM | 444859102 | 4/19/2018 | 11 | Elect James J. O'Brien | Mgmt | Voted | FO |
| Humana Inc. | HUM | 444859102 | 4/19/2018 | 12 | Elect Marissa T. Peterson | Mgmt | Voted | FO |
| Humana Inc. | HUM | 444859102 | 4/19/2018 | 13 | Ratification of Auditor | Mgmt | Voted | FO |
| Humana Inc. | HUM | 444859102 | 4/19/2018 | 14 | Advisory Vote on Executive Compensation | Mgmt | Voted | FO |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/4/2018 | 1 | Elect Daniel J. Brutto | Mgmt | Voted | FO |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/4/2018 | 2 | Elect Susan Crown | Mgmt | Voted | FO |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/4/2018 | 3 | Elect James W. Griffith | Mgmt | Voted | FO |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/4/2018 | 4 | Elect Jay L. Henderson | Mgmt | Voted | FO |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/4/2018 | 5 | Elect Richard H. Lenny | Mgmt | Voted | FO |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/4/2018 | 6 | Elect E. Scott Santi | Mgmt | Voted | FO |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/4/2018 | 7 | Elect James A. Skinner | Mgmt | Voted | FO |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/4/2018 | 8 | Elect David H. B. Smith, Jr. | Mgmt | Voted | FO |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/4/2018 | 9 | Elect Pamela B. Strobel | Mgmt | Voted | FO |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/4/2018 | 10 | Elect Kevin M. Warren | Mgmt | Voted | FO |
| | ITW | 452308109 | 5/4/2018 | 11 | Elect Anre D. Williams | Mgmt | Voted | FO |

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|--------------------------|-----|-----------|----------|----|--|----------|-------|----|--|
| Illinois Tool Works Inc. | | | | | | | | | |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/4/2018 | 12 | Ratification of Auditor | Mgmt | Voted | Fo | |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/4/2018 | 13 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo | |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/4/2018 | 14 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHoldr | Voted | Ap | |
| Illinois Tool Works Inc. | ITW | 452308109 | 5/4/2018 | 15 | Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions | ShrHoldr | Voted | Ap | |
| Ingersoll-Rand Plc | IR | G47791101 | 6/7/2018 | 1 | Elect Kirk E. Arnold | Mgmt | Voted | Fo | |
| Ingersoll-Rand Plc | IR | G47791101 | 6/7/2018 | 2 | Elect Ann C. Berzin | Mgmt | Voted | Fo | |
| Ingersoll-Rand Plc | IR | G47791101 | 6/7/2018 | 3 | Elect John Bruton | Mgmt | Voted | Fo | |
| Ingersoll-Rand Plc | IR | G47791101 | 6/7/2018 | 4 | Elect Jared L. Cohon | Mgmt | Voted | Fo | |
| Ingersoll-Rand Plc | IR | G47791101 | 6/7/2018 | 5 | Elect Gary D. Forsee | Mgmt | Voted | Fo | |
| Ingersoll-Rand Plc | IR | G47791101 | 6/7/2018 | 6 | Elect Linda P. Hudson | Mgmt | Voted | Fo | |
| Ingersoll-Rand Plc | IR | G47791101 | 6/7/2018 | 7 | Elect Michael W. Lamach | Mgmt | Voted | Fo | |
| Ingersoll-Rand Plc | IR | G47791101 | 6/7/2018 | 8 | Elect Myles P. Lee | Mgmt | Voted | Fo | |
| Ingersoll-Rand Plc | IR | G47791101 | 6/7/2018 | 9 | Elect Karen B. Peetz | Mgmt | Voted | Fo | |
| Ingersoll-Rand Plc | IR | G47791101 | 6/7/2018 | 10 | Elect John P. Surma | Mgmt | Voted | Fo | |
| Ingersoll-Rand Plc | IR | G47791101 | 6/7/2018 | 11 | Elect Richard J. Swift | Mgmt | Voted | Fo | |
| Ingersoll-Rand Plc | IR | G47791101 | 6/7/2018 | 12 | Elect Tony L. White | Mgmt | Voted | Fo | |
| Ingersoll-Rand Plc | IR | G47791101 | 6/7/2018 | 13 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo | |
| Ingersoll-Rand Plc | IR | G47791101 | 6/7/2018 | 14 | Appointment of Auditor and Authority to Set Fees | Mgmt | Voted | Fo | |
| Ingersoll-Rand Plc | IR | G47791101 | 6/7/2018 | 15 | Approval of the 2018 Incentive Stock Plan | Mgmt | Voted | Fo | |
| Ingersoll-Rand Plc | IR | G47791101 | 6/7/2018 | 16 | Authority to Issue Shares w/ Preemptive | Mgmt | Voted | Fo | |

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| | | | | | Rights | | | |
|---------------------------------|------|-----------|-----------|----|---|----------|-------|----|
| Ingersoll-Rand Plc | IR | G47791101 | 6/7/2018 | 17 | Authority to Issue Shares w/o Preemptive Rights | Mgmt | Voted | Fo |
| Ingersoll-Rand Plc | IR | G47791101 | 6/7/2018 | 18 | Authority to Set Price Range for Reissue of Treasury Shares | Mgmt | Voted | Fo |
| Intel Corporation | INTC | 458140100 | 5/17/2018 | 1 | Elect Aneel Bhusri | Mgmt | Voted | Fo |
| Intel Corporation | INTC | 458140100 | 5/17/2018 | 2 | Elect Andy D. Bryant | Mgmt | Voted | Fo |
| Intel Corporation | INTC | 458140100 | 5/17/2018 | 3 | Elect Reed E. Hundt | Mgmt | Voted | Fo |
| Intel Corporation | INTC | 458140100 | 5/17/2018 | 4 | Elect Omar Ishrak | Mgmt | Voted | Fo |
| Intel Corporation | INTC | 458140100 | 5/17/2018 | 5 | Elect Brian M. Krzanich | Mgmt | Voted | Fo |
| Intel Corporation | INTC | 458140100 | 5/17/2018 | 6 | Elect Risa Lavizzo-Mourey | Mgmt | Voted | Fo |
| Intel Corporation | INTC | 458140100 | 5/17/2018 | 7 | Elect Tsu-Jae King Liu | Mgmt | Voted | Fo |
| Intel Corporation | INTC | 458140100 | 5/17/2018 | 8 | Elect Gregory D. Smith | Mgmt | Voted | Fo |
| Intel Corporation | INTC | 458140100 | 5/17/2018 | 9 | Elect Andrew Wilson | Mgmt | Voted | Fo |
| Intel Corporation | INTC | 458140100 | 5/17/2018 | 10 | Elect Frank D. Yeary | Mgmt | Voted | Fo |
| Intel Corporation | INTC | 458140100 | 5/17/2018 | 11 | Ratification of Auditor | Mgmt | Voted | Fo |
| Intel Corporation | INTC | 458140100 | 5/17/2018 | 12 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Intel Corporation | INTC | 458140100 | 5/17/2018 | 13 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Voted | Ap |
| Intel Corporation | INTC | 458140100 | 5/17/2018 | 14 | Shareholder Proposal Regarding Independent Board Chair | ShrHoldr | Voted | Ap |
| Intel Corporation | INTC | 458140100 | 5/17/2018 | 15 | Shareholder Proposal Regarding Cost-Benefit Analysis of Political Contributions | ShrHoldr | Voted | Ap |
| Intercontinental Exchange, Inc. | ICE | 45866F104 | 5/18/2018 | 1 | Elect Sharon Y. Bowen | Mgmt | Voted | Fo |
| Intercontinental Exchange, Inc. | ICE | 45866F104 | 5/18/2018 | 2 | Elect Ann M. Cairns | Mgmt | Voted | Fo |
| Intercontinental Exchange, Inc. | ICE | 45866F104 | 5/18/2018 | 3 | Elect Charles R. Crisp | Mgmt | Voted | Fo |

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|---------------------------------|------|-----------|-----------|----|---|------|-------|----|
| Intercontinental Exchange, Inc. | ICE | 45866F104 | 5/18/2018 | 4 | Elect Duriya M. Farooqui | Mgmt | Voted | Fo |
| Intercontinental Exchange, Inc. | ICE | 45866F104 | 5/18/2018 | 5 | Elect Jean-Marc Forneri | Mgmt | Voted | Fo |
| Intercontinental Exchange, Inc. | ICE | 45866F104 | 5/18/2018 | 6 | Elect Lord Hague of Richmond | Mgmt | Voted | Fo |
| Intercontinental Exchange, Inc. | ICE | 45866F104 | 5/18/2018 | 7 | Elect Fred W. Hatfield | Mgmt | Voted | Fo |
| Intercontinental Exchange, Inc. | ICE | 45866F104 | 5/18/2018 | 8 | Elect Thomas E. Noonan | Mgmt | Voted | Fo |
| Intercontinental Exchange, Inc. | ICE | 45866F104 | 5/18/2018 | 9 | Elect Frederic V. Salerno | Mgmt | Voted | Fo |
| Intercontinental Exchange, Inc. | ICE | 45866F104 | 5/18/2018 | 10 | Elect Jeffrey C. Sprecher | Mgmt | Voted | Fo |
| Intercontinental Exchange, Inc. | ICE | 45866F104 | 5/18/2018 | 11 | Elect Judith A. Sprieser | Mgmt | Voted | Fo |
| Intercontinental Exchange, Inc. | ICE | 45866F104 | 5/18/2018 | 12 | Elect Vincent S. Tese | Mgmt | Voted | Fo |
| Intercontinental Exchange, Inc. | ICE | 45866F104 | 5/18/2018 | 13 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Intercontinental Exchange, Inc. | ICE | 45866F104 | 5/18/2018 | 14 | Approval of 2018 Employee Stock Purchase Plan | Mgmt | Voted | Fo |
| Intercontinental Exchange, Inc. | ICE | 45866F104 | 5/18/2018 | 15 | Ratification of Auditor | Mgmt | Voted | Fo |
| Intuit Inc. | INTU | 461202103 | 1/18/2018 | 1 | Elect Eve Burton | Mgmt | Voted | Fo |
| Intuit Inc. | INTU | 461202103 | 1/18/2018 | 2 | Elect Scott D. Cook | Mgmt | Voted | Fo |
| Intuit Inc. | INTU | 461202103 | 1/18/2018 | 3 | Elect Richard Dalzell | Mgmt | Voted | Fo |
| Intuit Inc. | INTU | 461202103 | 1/18/2018 | 4 | Elect Deborah Liu | Mgmt | Voted | Fo |
| Intuit Inc. | INTU | 461202103 | 1/18/2018 | 5 | Elect Suzanne Nora Johnson | Mgmt | Voted | Fo |
| Intuit Inc. | INTU | 461202103 | 1/18/2018 | 6 | Elect Dennis D. Powell | Mgmt | Voted | Fo |
| Intuit Inc. | INTU | 461202103 | 1/18/2018 | 7 | Elect Brad D. Smith | Mgmt | Voted | Fo |
| Intuit Inc. | INTU | 461202103 | 1/18/2018 | 8 | Elect Thomas J. Szkutak | Mgmt | Voted | Fo |
| Intuit Inc. | INTU | 461202103 | 1/18/2018 | 9 | Elect Raul Vazquez | Mgmt | Voted | Fo |
| Intuit Inc. | INTU | 461202103 | 1/18/2018 | 10 | Elect Jeff Weiner | Mgmt | Voted | Fo |

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| Intuit Inc. | INTU | 461202103 | 1/18/2018 | 11 | Advisory Vote on Executive Compensation | Mgmt | Voted | FO |
| Intuit Inc. | INTU | 461202103 | 1/18/2018 | 12 | Frequency of Advisory Vote on Executive Compensation | Mgmt | Voted | 1 |
| Intuit Inc. | INTU | 461202103 | 1/18/2018 | 13 | Approval of Material Terms of Performance Goals Under Senior Executive Incentive Plan | Mgmt | Voted | FO |
| Intuit Inc. | INTU | 461202103 | 1/18/2018 | 14 | Ratification of Auditor | Mgmt | Voted | FO |
| Japan Smaller Capitalization Fund Inc. | JOF | 47109U104 | 11/15/2017 | 1.1 | Elect Yutaka Itabashi | Mgmt | Voted | FO |
| Johnson & Johnson | JNJ | 478160104 | 4/26/2018 | 1 | Elect Mary C. Beckerle | Mgmt | Voted | FO |
| Johnson & Johnson | JNJ | 478160104 | 4/26/2018 | 2 | Elect D. Scott Davis | Mgmt | Voted | FO |
| Johnson & Johnson | JNJ | 478160104 | 4/26/2018 | 3 | Elect Ian E.L. Davis | Mgmt | Voted | FO |
| Johnson & Johnson | JNJ | 478160104 | 4/26/2018 | 4 | Elect Jennifer A. Doudna | Mgmt | Voted | FO |
| Johnson & Johnson | JNJ | 478160104 | 4/26/2018 | 5 | Elect Alex Gorsky | Mgmt | Voted | FO |
| Johnson & Johnson | JNJ | 478160104 | 4/26/2018 | 6 | Elect Mark B. McClellan | Mgmt | Voted | FO |
| Johnson & Johnson | JNJ | 478160104 | 4/26/2018 | 7 | Elect Anne M. Mulcahy | Mgmt | Voted | FO |
| Johnson & Johnson | JNJ | 478160104 | 4/26/2018 | 8 | Elect William D. Perez | Mgmt | Voted | FO |
| Johnson & Johnson | JNJ | 478160104 | 4/26/2018 | 9 | Elect Charles Prince | Mgmt | Voted | FO |
| Johnson & Johnson | JNJ | 478160104 | 4/26/2018 | 10 | Elect A. Eugene Washington | Mgmt | Voted | FO |
| Johnson & Johnson | JNJ | 478160104 | 4/26/2018 | 11 | Elect Ronald A. Williams | Mgmt | Voted | FO |
| Johnson & Johnson | JNJ | 478160104 | 4/26/2018 | 12 | Advisory Vote on Executive Compensation | Mgmt | Voted | FO |
| Johnson & Johnson | JNJ | 478160104 | 4/26/2018 | 13 | Ratification of Auditor | Mgmt | Voted | FO |
| Johnson & Johnson | JNJ | 478160104 | 4/26/2018 | 14 | Shareholder Proposal Regarding Excluding Compliance Costs for the Purposes of Executive Compensation | ShrHoldr | Voted | AP |

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| Johnson & Johnson | JNJ | 478160104 | 4/26/2018 | 15 | Shareholder Proposal Regarding Right to Call Special Meetings | ShrHoldr | Voted | AP |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/15/2018 | 1 | Elect Linda B. Bammann | Mgmt | Voted | FC |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/15/2018 | 2 | Elect James A. Bell | Mgmt | Voted | FC |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/15/2018 | 3 | Elect Stephen B. Burke | Mgmt | Voted | FC |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/15/2018 | 4 | Elect Todd A. Combs | Mgmt | Voted | FC |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/15/2018 | 5 | Elect James S. Crown | Mgmt | Voted | FC |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/15/2018 | 6 | Elect James Dimon | Mgmt | Voted | FC |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/15/2018 | 7 | Elect Timothy P. Flynn | Mgmt | Voted | FC |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/15/2018 | 8 | Elect Mellody Hobson | Mgmt | Voted | FC |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/15/2018 | 9 | Elect Laban P. Jackson, Jr. | Mgmt | Voted | FC |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/15/2018 | 10 | Elect Michael A. Neal | Mgmt | Voted | FC |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/15/2018 | 11 | Elect Lee R. Raymond | Mgmt | Voted | FC |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/15/2018 | 12 | Elect William C. Weldon | Mgmt | Voted | FC |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/15/2018 | 13 | Ratification of Ownership Threshold for Shareholders to Call a Special Meeting | Mgmt | Voted | FC |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/15/2018 | 14 | Advisory Vote on Executive Compensation | Mgmt | Voted | FC |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/15/2018 | 15 | Amendment to the Long-Term Incentive Plan | Mgmt | Voted | FC |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/15/2018 | 16 | Ratification of Auditor | Mgmt | Voted | FC |

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|-----------------------------------|-----|-----------|-----------|-----|---|----------|-------|----|
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/15/2018 | 17 | Shareholder Proposal Regarding Independent Board Chair | ShrHoldr | Voted | AP |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/15/2018 | 18 | Shareholder Proposal Regarding Government Service Vesting | ShrHoldr | Voted | AP |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/15/2018 | 19 | Shareholder Proposal Regarding Genocide-Free Investing | ShrHoldr | Voted | AP |
| JPMorgan Chase & Co. | JPM | 46625H100 | 5/15/2018 | 20 | Shareholder Proposal Regarding Cumulative Voting | ShrHoldr | Voted | AP |
| Lamb Weston Holdings Inc | LW | 513272104 | 9/28/2017 | 1 | Elect Charles A. Blixt | Mgmt | Voted | Fo |
| Lamb Weston Holdings Inc | LW | 513272104 | 9/28/2017 | 2 | Elect Andre J. Hawaux | Mgmt | Voted | Fo |
| Lamb Weston Holdings Inc | LW | 513272104 | 9/28/2017 | 3 | Elect William G. Jurgensen | Mgmt | Voted | Fo |
| Lamb Weston Holdings Inc | LW | 513272104 | 9/28/2017 | 4 | Elect Thomas P. Maurer | Mgmt | Voted | Fo |
| Lamb Weston Holdings Inc | LW | 513272104 | 9/28/2017 | 5 | Elect Hala G. Modellmog | Mgmt | Voted | Fo |
| Lamb Weston Holdings Inc | LW | 513272104 | 9/28/2017 | 6 | Elect Andrew J. Schindler | Mgmt | Voted | Fo |
| Lamb Weston Holdings Inc | LW | 513272104 | 9/28/2017 | 7 | Elect Maria Renna Sharpe | Mgmt | Voted | Fo |
| Lamb Weston Holdings Inc | LW | 513272104 | 9/28/2017 | 8 | Elect Thomas P. Werner | Mgmt | Voted | Fo |
| Lamb Weston Holdings Inc | LW | 513272104 | 9/28/2017 | 9 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Lamb Weston Holdings Inc | LW | 513272104 | 9/28/2017 | 10 | Frequency of Advisory Vote on Executive Compensation | Mgmt | Voted | 1 |
| Lamb Weston Holdings Inc | LW | 513272104 | 9/28/2017 | 11 | Approval of the 2016 Stock Plan | Mgmt | Voted | Fo |
| Lamb Weston Holdings Inc | LW | 513272104 | 9/28/2017 | 12 | Ratification of Auditor | Mgmt | Voted | Fo |
| Latin American Discovery Fund Inc | LDF | 51828C106 | 8/3/2017 | 1.1 | Elect Kathleen A. Dennis | Mgmt | Voted | Fo |

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| Latin American Discovery Fund Inc | LDF | 51828C106 | 8/3/2017 | 1.2 | Elect Joseph J. Kearns | Mgmt | Voted | Fo |
| Latin American Discovery Fund Inc | LDF | 51828C106 | 8/3/2017 | 1.3 | Elect Patricia Maleski | Mgmt | Voted | Fo |
| Latin American Discovery Fund Inc | LDF | 51828C106 | 8/3/2017 | 1.4 | Elect Michael E. Nugent | Mgmt | Voted | Fo |
| Latin American Discovery Fund Inc | LDF | 51828C106 | 8/3/2017 | 1.5 | Elect Fergus Reid | Mgmt | Voted | Fo |
| Latin American Discovery Fund Inc | LDF | 51828C106 | 6/21/2018 | 1.1 | Elect Jakki L. Haussler | Mgmt | Voted | Fo |
| Latin American Discovery Fund Inc | LDF | 51828C106 | 6/21/2018 | 1.2 | Elect W. Allen Reed | Mgmt | Voted | Fo |
| Latin American Discovery Fund Inc | LDF | 51828C106 | 6/21/2018 | 1.3 | Elect Nancy C. Everett | Mgmt | Voted | Fo |
| Liberty All-Star Equity Fund | USA | 530158104 | 8/24/2017 | 1.1 | Elect Thomas W. Brock | Mgmt | Voted | Fo |
| Liberty All-Star Equity Fund | USA | 530158104 | 8/24/2017 | 1.2 | Elect George R. Gaspari | Mgmt | Voted | Fo |
| Liberty All-Star Equity Fund | USA | 530158104 | 8/24/2017 | 2 | Shareholder Proposal Regarding Self Tender Offer | ShrHoldr | Voted | Ap |
| Eli Lilly & Co | LLY | 532457108 | 5/7/2018 | 1 | Elect Katherine Baicker | Mgmt | Voted | Fo |
| Eli Lilly & Co | LLY | 532457108 | 5/7/2018 | 2 | Elect J. Erik Fyrwald | Mgmt | Voted | Fo |
| Eli Lilly & Co | LLY | 532457108 | 5/7/2018 | 3 | Elect Jamere Jackson | Mgmt | Voted | Fo |
| Eli Lilly & Co | LLY | 532457108 | 5/7/2018 | 4 | Elect Ellen R. Marram | Mgmt | Voted | Fo |
| Eli Lilly & Co | LLY | 532457108 | 5/7/2018 | 5 | Elect Jackson P. Tai | Mgmt | Voted | Fo |
| Eli Lilly & Co | LLY | 532457108 | 5/7/2018 | 6 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Eli Lilly & Co | LLY | 532457108 | 5/7/2018 | 7 | Ratification of Auditor | Mgmt | Voted | Fo |

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|---------------------------------|-----|-----------|-----------|-----|---|----------|-------|----|
| Eli Lilly & Co | LLY | 532457108 | 5/7/2018 | 8 | Repeal of Classified Board | Mgmt | Voted | Fo |
| Eli Lilly & Co | LLY | 532457108 | 5/7/2018 | 9 | Elimination of Supermajority Requirement | Mgmt | Voted | Fo |
| Eli Lilly & Co | LLY | 532457108 | 5/7/2018 | 10 | Amendment to the 2002 Stock Plan | Mgmt | Voted | Fo |
| Eli Lilly & Co | LLY | 532457108 | 5/7/2018 | 11 | Shareholder Proposal Regarding Cannabis Policy Advocacy | ShrHoldr | Voted | Ap |
| Eli Lilly & Co | LLY | 532457108 | 5/7/2018 | 12 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Voted | Ap |
| Eli Lilly & Co | LLY | 532457108 | 5/7/2018 | 13 | Shareholder Proposal Regarding Animal Welfare | ShrHoldr | Voted | Ap |
| Eli Lilly & Co | LLY | 532457108 | 5/7/2018 | 14 | Shareholder Proposal Regarding Report on Linking Executive Compensation to Drug Pricing | ShrHoldr | Voted | Ap |
| LMP Capital and Income Fund Inc | SCD | 50208A102 | 4/6/2018 | 1.1 | Elect Carol L. Colman | Mgmt | Voted | Fo |
| LMP Capital and Income Fund Inc | SCD | 50208A102 | 4/6/2018 | 1.2 | Elect Daniel P. Cronin | Mgmt | Voted | Fo |
| LMP Capital and Income Fund Inc | SCD | 50208A102 | 4/6/2018 | 1.3 | Elect Paolo M. Cucchi | Mgmt | Voted | Fo |
| Lockheed Martin Corporation | LMT | 539830109 | 4/26/2018 | 1 | Elect Board of Directors | Mgmt | Voted | Fo |
| Lockheed Martin Corporation | LMT | 539830109 | 4/26/2018 | 2 | Elect Daniel F. Akerson | Mgmt | Voted | Fo |
| Lockheed Martin Corporation | LMT | 539830109 | 4/26/2018 | 3 | Elect Nolan D. Archibald | Mgmt | Voted | Fo |
| Lockheed Martin Corporation | LMT | 539830109 | 4/26/2018 | 4 | Elect David B. Burritt | Mgmt | Voted | Fo |
| Lockheed Martin Corporation | LMT | 539830109 | 4/26/2018 | 5 | Elect Bruce A. Carlson | Mgmt | Voted | Fo |
| Lockheed Martin | LMT | 539830109 | 4/26/2018 | 6 | Elect James O. Ellis, Jr. | Mgmt | Voted | Fo |

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Corporation

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|-----------------------------|-----|-----------|-----------|-----|--|----------|-------|----|
| Lockheed Martin Corporation | LMT | 539830109 | 4/26/2018 | 7 | Elect Thomas J. Falk | Mgmt | Voted | Fo |
| Lockheed Martin Corporation | LMT | 539830109 | 4/26/2018 | 8 | Elect Ilene S. Gordon | Mgmt | Voted | Fo |
| Lockheed Martin Corporation | LMT | 539830109 | 4/26/2018 | 9 | Elect Marillyn A. Hewson | Mgmt | Voted | Fo |
| Lockheed Martin Corporation | LMT | 539830109 | 4/26/2018 | 10 | Elect Joseph W. Ralston | Mgmt | Voted | Fo |
| Lockheed Martin Corporation | LMT | 539830109 | 4/26/2018 | 11 | Elect James D. Taiclet, Jr. | Mgmt | Voted | Fo |
| Lockheed Martin Corporation | LMT | 539830109 | 4/26/2018 | 12 | Ratification of Auditor | Mgmt | Voted | Fo |
| Lockheed Martin Corporation | LMT | 539830109 | 4/26/2018 | 13 | Amendment to the Directors Equity Plan | Mgmt | Voted | Fo |
| Lockheed Martin Corporation | LMT | 539830109 | 4/26/2018 | 14 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Lockheed Martin Corporation | LMT | 539830109 | 4/26/2018 | 15 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Voted | Ap |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/1/2018 | 1.1 | Elect Raul Alvarez | Mgmt | Voted | Fo |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/1/2018 | 1.2 | Elect David H. Batchelder | Mgmt | Voted | Fo |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/1/2018 | 1.3 | Elect Angela F. Braly | Mgmt | Voted | Fo |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/1/2018 | 1.4 | Elect Sandra B. Cochran | Mgmt | Voted | Fo |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/1/2018 | 1.5 | Elect Laurie Z. Douglas | Mgmt | Voted | Fo |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/1/2018 | 1.6 | Elect Richard W. Dreiling | Mgmt | Voted | Fo |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/1/2018 | 1.7 | Elect Marshall O. Larsen | Mgmt | Voted | Fo |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/1/2018 | 1.8 | Elect James H. Morgan | Mgmt | Voted | Fo |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/1/2018 | 1.9 | Elect Robert A. Niblock | Mgmt | Voted | Fo |

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| Lowe's Companies, Inc. | LOW | 548661107 | 6/1/2018 | 1.10 | Elect Brian C. Rogers | Mgmt | Voted | FO |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/1/2018 | 1.11 | Elect Bertram L. Scott | Mgmt | Voted | FO |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/1/2018 | 1.12 | Elect Lisa W. Wardell | Mgmt | Voted | FO |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/1/2018 | 1.13 | Elect Eric C. Wiseman | Mgmt | Voted | FO |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/1/2018 | 2 | Advisory Vote on Executive Compensation | Mgmt | Voted | FO |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/1/2018 | 3 | Ratification of Auditor | Mgmt | Voted | FO |
| Lowe's Companies, Inc. | LOW | 548661107 | 6/1/2018 | 4 | Shareholder Proposal Regarding Right to Call Special Meetings | ShrHoldr | Voted | AP |
| Macquarie Global Infrastructure Total Return Fund, Inc. | MGU | 55608D101 | 6/19/2018 | 1 | Elect Gordon A. Baird | Mgmt | Voted | FO |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/17/2018 | 1 | Elect Anthony K. Anderson | Mgmt | Voted | FO |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/17/2018 | 2 | Elect Oscar Fanjul Martin | Mgmt | Voted | FO |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/17/2018 | 3 | Elect Daniel S. Glaser | Mgmt | Voted | FO |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/17/2018 | 4 | Elect H. Edward Hanway | Mgmt | Voted | FO |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/17/2018 | 5 | Elect Deborah C. Hopkins | Mgmt | Voted | FO |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/17/2018 | 6 | Elect Elaine La Roche | Mgmt | Voted | FO |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/17/2018 | 7 | Elect Steven A. Mills | Mgmt | Voted | FO |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/17/2018 | 8 | Elect Bruce P. Nolop | Mgmt | Voted | FO |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/17/2018 | 9 | Elect Marc D. Oken | Mgmt | Voted | FO |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/17/2018 | 10 | Elect Morton O. Schapiro | Mgmt | Voted | FO |
| | MMC | 571748102 | 5/17/2018 | 11 | Elect Lloyd M. Yates | Mgmt | Voted | FO |

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| Marsh & McLennan Companies, Inc. | | | | | | | | | |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/17/2018 | 12 | Elect R. David Yost | Mgmt | Voted | For | |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/17/2018 | 13 | Advisory Vote on Executive Compensation | Mgmt | Voted | For | |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/17/2018 | 14 | Ratification of Auditor | Mgmt | Voted | For | |
| Marsh & McLennan Companies, Inc. | MMC | 571748102 | 5/17/2018 | 15 | Amendment to the 1999 Employee Stock Purchase Plan and Irish Savings Related Share Option Scheme | Mgmt | Voted | For | |
| Mastercard Incorporated | MA | 57636Q104 | 6/26/2018 | 1 | Elect Richard Haythornthwaite | Mgmt | Voted | For | |
| Mastercard Incorporated | MA | 57636Q104 | 6/26/2018 | 2 | Elect Ajay Banga | Mgmt | Voted | For | |
| Mastercard Incorporated | MA | 57636Q104 | 6/26/2018 | 3 | Elect Silvio Barzi | Mgmt | Voted | For | |
| Mastercard Incorporated | MA | 57636Q104 | 6/26/2018 | 4 | Elect David R. Carlucci | Mgmt | Voted | For | |
| Mastercard Incorporated | MA | 57636Q104 | 6/26/2018 | 5 | Elect Richard K. Davis | Mgmt | Voted | For | |
| Mastercard Incorporated | MA | 57636Q104 | 6/26/2018 | 6 | Elect Steven J. Freiberg | Mgmt | Voted | For | |
| Mastercard Incorporated | MA | 57636Q104 | 6/26/2018 | 7 | Elect Julius Genachowski | Mgmt | Voted | For | |
| Mastercard Incorporated | MA | 57636Q104 | 6/26/2018 | 8 | Elect Goh Choon Phong | Mgmt | Voted | For | |
| Mastercard Incorporated | MA | 57636Q104 | 6/26/2018 | 9 | Elect Merit E. Janow | Mgmt | Voted | For | |
| Mastercard Incorporated | MA | 57636Q104 | 6/26/2018 | 10 | Elect Nancy J. Karch | Mgmt | Voted | For | |
| Mastercard Incorporated | MA | 57636Q104 | 6/26/2018 | 11 | Elect Oki Matsumoto | Mgmt | Voted | For | |
| Mastercard Incorporated | MA | 57636Q104 | 6/26/2018 | 12 | Elect Rima Qureshi | Mgmt | Voted | For | |
| Mastercard Incorporated | MA | 57636Q104 | 6/26/2018 | 13 | Elect Jose Octavio Reyes Lagunes | Mgmt | Voted | For | |

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|-------------------------|-----|-----------|-----------|----|--|----------|-------|----|
| Mastercard Incorporated | MA | 57636Q104 | 6/26/2018 | 14 | Elect Jackson P. Tai | Mgmt | Voted | FO |
| Mastercard Incorporated | MA | 57636Q104 | 6/26/2018 | 15 | Advisory Vote on Executive Compensation | Mgmt | Voted | FO |
| Mastercard Incorporated | MA | 57636Q104 | 6/26/2018 | 16 | Ratification of Auditor | Mgmt | Voted | FO |
| McDonald's Corporation | MCD | 580135101 | 5/24/2018 | 1 | Elect Lloyd H. Dean | Mgmt | Voted | FO |
| McDonald's Corporation | MCD | 580135101 | 5/24/2018 | 2 | Elect Stephen J. Easterbrook | Mgmt | Voted | FO |
| McDonald's Corporation | MCD | 580135101 | 5/24/2018 | 3 | Elect Robert A. Eckert | Mgmt | Voted | FO |
| McDonald's Corporation | MCD | 580135101 | 5/24/2018 | 4 | Elect Margaret H. Georgiadis | Mgmt | Voted | FO |
| McDonald's Corporation | MCD | 580135101 | 5/24/2018 | 5 | Elect Enrique Hernandez, Jr. | Mgmt | Voted | FO |
| McDonald's Corporation | MCD | 580135101 | 5/24/2018 | 6 | Elect Jeanne P. Jackson | Mgmt | Voted | FO |
| McDonald's Corporation | MCD | 580135101 | 5/24/2018 | 7 | Elect Richard H. Lenny | Mgmt | Voted | FO |
| McDonald's Corporation | MCD | 580135101 | 5/24/2018 | 8 | Elect John Mulligan | Mgmt | Voted | FO |
| McDonald's Corporation | MCD | 580135101 | 5/24/2018 | 9 | Elect Sheila A. Penrose | Mgmt | Voted | FO |
| McDonald's Corporation | MCD | 580135101 | 5/24/2018 | 10 | Elect John W. Rogers, Jr. | Mgmt | Voted | FO |
| McDonald's Corporation | MCD | 580135101 | 5/24/2018 | 11 | Elect Miles D. White | Mgmt | Voted | FO |
| McDonald's Corporation | MCD | 580135101 | 5/24/2018 | 12 | Advisory Vote on Executive Compensation | Mgmt | Voted | FO |
| McDonald's Corporation | MCD | 580135101 | 5/24/2018 | 13 | Ratification of Auditor | Mgmt | Voted | FO |
| McDonald's Corporation | MCD | 580135101 | 5/24/2018 | 14 | Shareholder Proposal Regarding Right to Act By Written Consent | ShrHoldr | Voted | AP |
| McDonald's Corporation | MCD | 580135101 | 5/24/2018 | 15 | Shareholder Proposal Regarding Report on Plastic Straws | ShrHoldr | Voted | AP |

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|------------------------|-----|-----------|-----------|----|--|----------|-------|----|
| McDonald's Corporation | MCD | 580135101 | 5/24/2018 | 16 | Shareholder Proposal Regarding Charitable Contributions Report | ShrHoldr | Voted | Ap |
| Mckesson Corporation | MCK | 58155Q103 | 7/26/2017 | 1 | Elect Andy D. Bryant | Mgmt | Voted | Fo |
| Mckesson Corporation | MCK | 58155Q103 | 7/26/2017 | 2 | Elect N. Anthony Coles | Mgmt | Voted | Fo |
| Mckesson Corporation | MCK | 58155Q103 | 7/26/2017 | 3 | Elect John H. Hammergren | Mgmt | Voted | Fo |
| Mckesson Corporation | MCK | 58155Q103 | 7/26/2017 | 4 | Elect M. Christine Jacobs | Mgmt | Voted | Fo |
| Mckesson Corporation | MCK | 58155Q103 | 7/26/2017 | 5 | Elect Donald R. Knauss | Mgmt | Voted | Fo |
| Mckesson Corporation | MCK | 58155Q103 | 7/26/2017 | 6 | Elect Marie L. Knowles | Mgmt | Voted | Fo |
| Mckesson Corporation | MCK | 58155Q103 | 7/26/2017 | 7 | Elect Edward A. Mueller | Mgmt | Voted | Fo |
| Mckesson Corporation | MCK | 58155Q103 | 7/26/2017 | 8 | Elect Susan R. Salka | Mgmt | Voted | Fo |
| Mckesson Corporation | MCK | 58155Q103 | 7/26/2017 | 9 | Ratification of Auditor | Mgmt | Voted | Fo |
| Mckesson Corporation | MCK | 58155Q103 | 7/26/2017 | 10 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Mckesson Corporation | MCK | 58155Q103 | 7/26/2017 | 11 | Frequency of Advisory Vote on Executive Compensation | Mgmt | Voted | 1 |
| Mckesson Corporation | MCK | 58155Q103 | 7/26/2017 | 12 | Shareholder Proposal Regarding Independent Board Chair | ShrHoldr | Voted | Ap |
| Mckesson Corporation | MCK | 58155Q103 | 7/26/2017 | 13 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Voted | Ap |
| Medtronic Plc | MDT | G5960L103 | 12/8/2017 | 1 | Elect Richard H. Anderson | Mgmt | Voted | Fo |
| Medtronic Plc | MDT | G5960L103 | 12/8/2017 | 2 | Elect Craig Arnold | Mgmt | Voted | Fo |
| Medtronic Plc | MDT | G5960L103 | 12/8/2017 | 3 | Elect Scott C. Donnelly | Mgmt | Voted | Fo |
| Medtronic Plc | MDT | G5960L103 | 12/8/2017 | 4 | Elect Randall J. Hogan III | Mgmt | Voted | Fo |
| Medtronic Plc | MDT | G5960L103 | 12/8/2017 | 5 | Elect Omar Ishrak | Mgmt | Voted | Fo |

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| Medtronic Plc | MDT | G5960L103 | 12/8/2017 | 6 | Elect Shirley Ann Jackson | Mgmt | Voted | Fo |
| Medtronic Plc | MDT | G5960L103 | 12/8/2017 | 7 | Elect Michael O. Leavitt | Mgmt | Voted | Fo |
| Medtronic Plc | MDT | G5960L103 | 12/8/2017 | 8 | Elect James T. Lenehan | Mgmt | Voted | Fo |
| Medtronic Plc | MDT | G5960L103 | 12/8/2017 | 9 | Elect Elizabeth G. Nabel | Mgmt | Voted | Fo |
| Medtronic Plc | MDT | G5960L103 | 12/8/2017 | 10 | Elect Denise M. O'Leary | Mgmt | Voted | Fo |
| Medtronic Plc | MDT | G5960L103 | 12/8/2017 | 11 | Elect Kendall J. Powell | Mgmt | Voted | Fo |
| Medtronic Plc | MDT | G5960L103 | 12/8/2017 | 12 | Elect Robert C. Pozen | Mgmt | Voted | Fo |
| Medtronic Plc | MDT | G5960L103 | 12/8/2017 | 13 | Appointment of Auditor and Authority to Set Fees | Mgmt | Voted | Fo |
| Medtronic Plc | MDT | G5960L103 | 12/8/2017 | 14 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Medtronic Plc | MDT | G5960L103 | 12/8/2017 | 15 | Amendment to the 2013 Stock Award and Incentive Plan | Mgmt | Voted | Fo |
| MetLife, Inc. | MET | 59156R108 | 10/19/2017 | 1 | Amendments to the Certificate of Incorporation to Modify the Preferred Stock Dividend Payment Tests | Mgmt | Voted | Fo |
| MetLife, Inc. | MET | 59156R108 | 10/19/2017 | 2 | Right to Adjourn Meeting | Mgmt | Voted | Fo |
| Mexico Equity And Income Fund Inc | MXE | 592834105 | 12/14/2017 | 1 | Elect Phillip Goldstein | Mgmt | Voted | Fo |
| Mexico Fund Inc | MXF | 592835102 | 3/6/2018 | 1.1 | Elect Marc J. Shapiro | Mgmt | Voted | Fo |
| Mexico Fund Inc | MXF | 592835102 | 3/6/2018 | 1.2 | Elect Jaime Serra Puche | Mgmt | Voted | Fo |
| Micron Technology, Inc. | MU | 595112103 | 1/17/2018 | 1 | Elect Robert L. Bailey | Mgmt | Voted | Fo |
| | MU | 595112103 | 1/17/2018 | 2 | Elect Richard M. Beyer | Mgmt | Voted | Fo |

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| Micron Technology, Inc. | | | | | | | | | |
| Micron Technology, Inc. | MU | 595112103 | 1/17/2018 | 3 | Elect Patrick J. Byrne | Mgmt | Voted | For | |
| Micron Technology, Inc. | MU | 595112103 | 1/17/2018 | 4 | Elect Mercedes Johnson | Mgmt | Voted | For | |
| Micron Technology, Inc. | MU | 595112103 | 1/17/2018 | 5 | Elect Sanjay Mehrotra | Mgmt | Voted | For | |
| Micron Technology, Inc. | MU | 595112103 | 1/17/2018 | 6 | Elect Lawrence N. Mondry | Mgmt | Voted | For | |
| Micron Technology, Inc. | MU | 595112103 | 1/17/2018 | 7 | Elect Robert E. Switz | Mgmt | Voted | For | |
| Micron Technology, Inc. | MU | 595112103 | 1/17/2018 | 8 | Employee Stock Purchase Plan | Mgmt | Voted | For | |
| Micron Technology, Inc. | MU | 595112103 | 1/17/2018 | 9 | Approval of Performance Goals Under Executive Officer Performance Incentive Plan | Mgmt | Voted | For | |
| Micron Technology, Inc. | MU | 595112103 | 1/17/2018 | 10 | Ratification of Auditor | Mgmt | Voted | For | |
| Micron Technology, Inc. | MU | 595112103 | 1/17/2018 | 11 | Advisory Vote on Executive Compensation | Mgmt | Voted | For | |
| Micron Technology, Inc. | MU | 595112103 | 1/17/2018 | 12 | Frequency of Advisory Vote on Executive Compensation | Mgmt | Voted | 1 | |
| Microsoft Corporation | MSFT | 594918104 | 11/29/2017 | 1 | Elect William H. Gates III | Mgmt | Voted | For | |
| Microsoft Corporation | MSFT | 594918104 | 11/29/2017 | 2 | Elect Reid G. Hoffman | Mgmt | Voted | For | |
| Microsoft Corporation | MSFT | 594918104 | 11/29/2017 | 3 | Elect Hugh F. Johnston | Mgmt | Voted | For | |
| Microsoft Corporation | MSFT | 594918104 | 11/29/2017 | 4 | Elect Teri L. List-Stoll | Mgmt | Voted | For | |
| Microsoft Corporation | MSFT | 594918104 | 11/29/2017 | 5 | Elect Satya Nadella | Mgmt | Voted | For | |
| Microsoft Corporation | MSFT | 594918104 | 11/29/2017 | 6 | Elect Charles H. Noski | Mgmt | Voted | For | |
| | MSFT | 594918104 | 11/29/2017 | 7 | | Mgmt | Voted | For | |

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|-----------------------------|------|-----------|------------|----|--|--|------|-------|----|
| Microsoft Corporation | | | | | | Elect Helmut G. W. Panke | | | |
| Microsoft Corporation | MSFT | 594918104 | 11/29/2017 | 8 | | Elect Sandra E. Peterson | Mgmt | Voted | Fo |
| Microsoft Corporation | MSFT | 594918104 | 11/29/2017 | 9 | | Elect Penny S. Pritzker | Mgmt | Voted | Fo |
| Microsoft Corporation | MSFT | 594918104 | 11/29/2017 | 10 | | Elect Charles W. Scharf | Mgmt | Voted | Fo |
| Microsoft Corporation | MSFT | 594918104 | 11/29/2017 | 11 | | Elect Arne M. Sorenson | Mgmt | Voted | Fo |
| Microsoft Corporation | MSFT | 594918104 | 11/29/2017 | 12 | | Elect John W. Stanton | Mgmt | Voted | Fo |
| Microsoft Corporation | MSFT | 594918104 | 11/29/2017 | 13 | | Elect John W. Thompson | Mgmt | Voted | Fo |
| Microsoft Corporation | MSFT | 594918104 | 11/29/2017 | 14 | | Elect Padmasree Warrior | Mgmt | Voted | Fo |
| Microsoft Corporation | MSFT | 594918104 | 11/29/2017 | 15 | | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Microsoft Corporation | MSFT | 594918104 | 11/29/2017 | 16 | | Frequency of Advisory Vote on Executive Compensation | Mgmt | Voted | 1 |
| Microsoft Corporation | MSFT | 594918104 | 11/29/2017 | 17 | | Ratification of Auditor | Mgmt | Voted | Fo |
| Microsoft Corporation | MSFT | 594918104 | 11/29/2017 | 18 | | Approval of Material Terms of Performance Goals Under Executive Incentive Plan | Mgmt | Voted | Fo |
| Microsoft Corporation | MSFT | 594918104 | 11/29/2017 | 19 | | Approval of the 2017 Stock Plan | Mgmt | Voted | Fo |
| Mondelez International Inc. | MDLZ | 609207105 | 5/16/2018 | 1 | | Elect Lewis W.K. Booth | Mgmt | Voted | Fo |
| Mondelez International Inc. | MDLZ | 609207105 | 5/16/2018 | 2 | | Elect Charles E. Bunch | Mgmt | Voted | Fo |
| Mondelez International Inc. | MDLZ | 609207105 | 5/16/2018 | 3 | | Elect Debra A. Crew | Mgmt | Voted | Fo |
| Mondelez International Inc. | MDLZ | 609207105 | 5/16/2018 | 4 | | Elect Lois D. Juliber | Mgmt | Voted | Fo |
| Mondelez International Inc. | MDLZ | 609207105 | 5/16/2018 | 5 | | Elect Mark D. Ketchum | Mgmt | Voted | Fo |

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|-----------------------------|------|-----------|-----------|----|---|----------|-------|----|
| Mondelez International Inc. | MDLZ | 609207105 | 5/16/2018 | 6 | Elect Peter W. May | Mgmt | Voted | Fo |
| Mondelez International Inc. | MDLZ | 609207105 | 5/16/2018 | 7 | Elect Jorge S. Mesquita | Mgmt | Voted | Fo |
| Mondelez International Inc. | MDLZ | 609207105 | 5/16/2018 | 8 | Elect Joseph Neubauer | Mgmt | Voted | Fo |
| Mondelez International Inc. | MDLZ | 609207105 | 5/16/2018 | 9 | Elect Fredric G. Reynolds | Mgmt | Voted | Fo |
| Mondelez International Inc. | MDLZ | 609207105 | 5/16/2018 | 10 | Elect Christiana Shi | Mgmt | Voted | Fo |
| Mondelez International Inc. | MDLZ | 609207105 | 5/16/2018 | 11 | Elect Patrick T. Siewert | Mgmt | Voted | Fo |
| Mondelez International Inc. | MDLZ | 609207105 | 5/16/2018 | 12 | Elect Jean-Francois M. L. van Boxmeer | Mgmt | Voted | Fo |
| Mondelez International Inc. | MDLZ | 609207105 | 5/16/2018 | 13 | Elect Dirk Van de Put | Mgmt | Voted | Fo |
| Mondelez International Inc. | MDLZ | 609207105 | 5/16/2018 | 14 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Mondelez International Inc. | MDLZ | 609207105 | 5/16/2018 | 15 | Ratification of Auditor | Mgmt | Voted | Fo |
| Mondelez International Inc. | MDLZ | 609207105 | 5/16/2018 | 16 | Shareholder Proposal Regarding Reporting on the Use of Non-recyclable Packaging | ShrHoldr | Voted | Ap |
| Mondelez International Inc. | MDLZ | 609207105 | 5/16/2018 | 17 | Shareholder Proposal Regarding Plant Closings | ShrHoldr | Voted | Ap |
| Monsanto Company | MON | 61166W101 | 1/31/2018 | 1 | Elect Dwight M. Barns | Mgmt | Voted | Fo |
| Monsanto Company | MON | 61166W101 | 1/31/2018 | 2 | Elect Gregory H. Boyce | Mgmt | Voted | Fo |
| Monsanto Company | MON | 61166W101 | 1/31/2018 | 3 | Elect David L. Chicoine | Mgmt | Voted | Fo |
| Monsanto Company | MON | 61166W101 | 1/31/2018 | 4 | Elect Janice L. Fields | Mgmt | Voted | Fo |
| Monsanto Company | MON | 61166W101 | 1/31/2018 | 5 | Elect Hugh Grant | Mgmt | Voted | Fo |
| Monsanto Company | MON | 61166W101 | 1/31/2018 | 6 | Elect Laura K. Ipsen | Mgmt | Voted | Fo |
| Monsanto Company | MON | 61166W101 | 1/31/2018 | 7 | Elect Marcos Marinho Lutz | Mgmt | Voted | Fo |
| Monsanto Company | MON | 61166W101 | 1/31/2018 | 8 | Elect C. Steven McMillan | Mgmt | Voted | Fo |

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| Monsanto Company | MON | 61166W101 | 1/31/2018 | 9 | Elect Jon R. Moeller | Mgmt | Voted | Fo |
| Monsanto Company | MON | 61166W101 | 1/31/2018 | 10 | Elect George H. Poste | Mgmt | Voted | Fo |
| Monsanto Company | MON | 61166W101 | 1/31/2018 | 11 | Elect Robert J. Stevens | Mgmt | Voted | Fo |
| Monsanto Company | MON | 61166W101 | 1/31/2018 | 12 | Elect Patricia Verduin | Mgmt | Voted | Fo |
| Monsanto Company | MON | 61166W101 | 1/31/2018 | 13 | Ratification of Auditor | Mgmt | Voted | Fo |
| Monsanto Company | MON | 61166W101 | 1/31/2018 | 14 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Monsanto Company | MON | 61166W101 | 1/31/2018 | 15 | Shareholder Proposal Regarding Formation of Human Rights Committee | ShrHoldr | Voted | Ap |
| Moody's Corporation | MCO | 615369105 | 4/24/2018 | 1 | Elect Basil L. Anderson | Mgmt | Voted | Fo |
| Moody's Corporation | MCO | 615369105 | 4/24/2018 | 2 | Elect Jorge A. Bermudez | Mgmt | Voted | Fo |
| Moody's Corporation | MCO | 615369105 | 4/24/2018 | 3 | Elect Vincent A. Forlenza | Mgmt | Voted | Fo |
| Moody's Corporation | MCO | 615369105 | 4/24/2018 | 4 | Elect Kathryn M. Hill | Mgmt | Voted | Fo |
| Moody's Corporation | MCO | 615369105 | 4/24/2018 | 5 | Elect Raymond W. McDaniel, Jr. | Mgmt | Voted | Fo |
| Moody's Corporation | MCO | 615369105 | 4/24/2018 | 6 | Elect Henry A. McKinnell, Jr. | Mgmt | Voted | Fo |
| Moody's Corporation | MCO | 615369105 | 4/24/2018 | 7 | Elect Leslie F. Seidman | Mgmt | Voted | Fo |
| Moody's Corporation | MCO | 615369105 | 4/24/2018 | 8 | Elect Bruce Van Saun | Mgmt | Voted | Fo |
| Moody's Corporation | MCO | 615369105 | 4/24/2018 | 9 | Elect Gerrit Zalm | Mgmt | Voted | Fo |
| Moody's Corporation | MCO | 615369105 | 4/24/2018 | 10 | Ratification of Auditor | Mgmt | Voted | Fo |
| Moody's Corporation | MCO | 615369105 | 4/24/2018 | 11 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Moody's Corporation | MCO | 615369105 | 4/24/2018 | 12 | Shareholder Proposal Regarding Amendment to Clawback Policy | ShrHoldr | Voted | Ap |
| Morgan Stanley | MS | 617446448 | 5/24/2018 | 1 | Elect Elizabeth Corley | Mgmt | Voted | Fo |
| Morgan Stanley | MS | 617446448 | 5/24/2018 | 2 | Elect Alistair Darling | Mgmt | Voted | Fo |
| Morgan Stanley | MS | 617446448 | 5/24/2018 | 3 | Elect Thomas H. Glocer | Mgmt | Voted | Fo |
| Morgan Stanley | MS | 617446448 | 5/24/2018 | 4 | Elect James P. Gorman | Mgmt | Voted | Fo |
| Morgan Stanley | MS | 617446448 | 5/24/2018 | 5 | Elect Robert H. Herz | Mgmt | Voted | Fo |

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|--------------------------------------|-----|-----------|-----------|-----|---|----------|-------|----|
| Morgan Stanley | MS | 617446448 | 5/24/2018 | 6 | Elect Nobuyuki Hirano | Mgmt | Voted | Fo |
| Morgan Stanley | MS | 617446448 | 5/24/2018 | 7 | Elect Jami Miscik | Mgmt | Voted | Fo |
| Morgan Stanley | MS | 617446448 | 5/24/2018 | 8 | Elect Dennis M. Nally | Mgmt | Voted | Fo |
| Morgan Stanley | MS | 617446448 | 5/24/2018 | 9 | Elect Hutham S. Olayan | Mgmt | Voted | Fo |
| Morgan Stanley | MS | 617446448 | 5/24/2018 | 10 | Elect Ryohsuke Tamakoshi | Mgmt | Voted | Fo |
| Morgan Stanley | MS | 617446448 | 5/24/2018 | 11 | Elect Perry M. Traquina | Mgmt | Voted | Fo |
| Morgan Stanley | MS | 617446448 | 5/24/2018 | 12 | Elect Rayford Wilkins, Jr. | Mgmt | Voted | Fo |
| Morgan Stanley | MS | 617446448 | 5/24/2018 | 13 | Ratification of Auditor | Mgmt | Voted | Fo |
| Morgan Stanley | MS | 617446448 | 5/24/2018 | 14 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Morgan Stanley | MS | 617446448 | 5/24/2018 | 15 | Shareholder Proposal Regarding Government Service Vesting | ShrHoldr | Voted | Ap |
| Morgan Stanley Asia-Pacific Fund Inc | APF | 61744U106 | 8/3/2017 | 1.1 | Elect Kathleen A. Dennis | Mgmt | Voted | Fo |
| Morgan Stanley Asia-Pacific Fund Inc | APF | 61744U106 | 8/3/2017 | 1.2 | Elect Joseph J. Kearns | Mgmt | Voted | Fo |
| Morgan Stanley Asia-Pacific Fund Inc | APF | 61744U106 | 8/3/2017 | 1.3 | Elect Michael E. Nugent | Mgmt | Voted | Fo |
| Morgan Stanley Asia-Pacific Fund Inc | APF | 61744U106 | 8/3/2017 | 1.4 | Elect Fergus Reid | Mgmt | Voted | Fo |
| Morgan Stanley Asia-Pacific Fund Inc | APF | 61744U106 | 8/3/2017 | 1.5 | Elect Patricia Maleski | Mgmt | Voted | Fo |
| Morgan Stanley Asia-Pacific Fund Inc | APF | 61744U106 | 6/21/2018 | 1.1 | Elect Nancy C. Everett | Mgmt | Voted | Fo |
| Morgan Stanley Asia-Pacific Fund Inc | APF | 61744U106 | 6/21/2018 | 1.2 | Elect Michael F. Klein | Mgmt | Voted | Fo |
| Morgan Stanley Asia-Pacific Fund Inc | APF | 61744U106 | 6/21/2018 | 1.3 | Elect W. Allen Reed | Mgmt | Voted | Fo |

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| Morgan Stanley China A Share Fund Inc | CAF | 617468103 | 8/3/2017 | 1.1 | Elect Kathleen A. Dennis | Mgmt | Voted | Fo |
| Morgan Stanley China A Share Fund Inc | CAF | 617468103 | 8/3/2017 | 1.2 | Elect Joseph J. Kearns | Mgmt | Voted | Fo |
| Morgan Stanley China A Share Fund Inc | CAF | 617468103 | 8/3/2017 | 1.3 | Elect Michael E. Nugent | Mgmt | Voted | Fo |
| Morgan Stanley China A Share Fund Inc | CAF | 617468103 | 8/3/2017 | 1.4 | Elect Fergus Reid | Mgmt | Voted | Fo |
| Morgan Stanley China A Share Fund Inc | CAF | 617468103 | 8/3/2017 | 1.5 | Elect Patricia Maleski | Mgmt | Voted | Fo |
| Morgan Stanley China A Share Fund Inc | CAF | 617468103 | 6/21/2018 | 1.1 | Elect Nancy C. Everett | Mgmt | Voted | Fo |
| Morgan Stanley China A Share Fund Inc | CAF | 617468103 | 6/21/2018 | 1.2 | Elect Michael F. Klein | Mgmt | Voted | Fo |
| Morgan Stanley China A Share Fund Inc | CAF | 617468103 | 6/21/2018 | 1.3 | Elect W. Allen Reed | Mgmt | Voted | Fo |
| Morgan Stanley India Investment Fund Inc | IIF | 61745C105 | 8/3/2017 | 1.1 | Elect Jakki L. Haussler | Mgmt | Voted | Fo |
| Morgan Stanley India Investment Fund Inc | IIF | 61745C105 | 8/3/2017 | 1.2 | Elect Nancy C. Everett | Mgmt | Voted | Fo |
| Morgan Stanley India Investment Fund Inc | IIF | 61745C105 | 8/3/2017 | 1.3 | Elect Patricia Maleski | Mgmt | Voted | Fo |
| Morgan Stanley India Investment Fund Inc | IIF | 61745C105 | 6/21/2018 | 1.1 | Elect Joseph J. Kearns | Mgmt | Voted | Fo |
| Morgan Stanley India Investment Fund Inc | IIF | 61745C105 | 6/21/2018 | 1.2 | Elect Patricia Maleski | Mgmt | Voted | Fo |
| Netflix, Inc. | NFLX | 64110L106 | 6/6/2018 | 1 | Elect Richard N. Barton | Mgmt | Voted | Fo |
| Netflix, Inc. | NFLX | 64110L106 | 6/6/2018 | 2 | Elect Rodolphe Belmer | Mgmt | Voted | Fo |

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| Netflix, Inc. | NFLX | 64110L106 | 6/6/2018 | 3 | Elect Bradford L. Smith | Mgmt | Voted | Fo |
| Netflix, Inc. | NFLX | 64110L106 | 6/6/2018 | 4 | Elect Anne M. Sweeney | Mgmt | Voted | Fo |
| Netflix, Inc. | NFLX | 64110L106 | 6/6/2018 | 5 | Ratification of Auditor | Mgmt | Voted | Fo |
| Netflix, Inc. | NFLX | 64110L106 | 6/6/2018 | 6 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Netflix, Inc. | NFLX | 64110L106 | 6/6/2018 | 7 | Shareholder Proposal Regarding Right to Call Special Meetings | ShrHoldr | Voted | Ap |
| Netflix, Inc. | NFLX | 64110L106 | 6/6/2018 | 8 | Shareholder Proposal Regarding Proxy Access | ShrHoldr | Voted | Ap |
| Netflix, Inc. | NFLX | 64110L106 | 6/6/2018 | 9 | Shareholder Proposal Regarding Amendment to Clawback Policy | ShrHoldr | Voted | Ap |
| Netflix, Inc. | NFLX | 64110L106 | 6/6/2018 | 10 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Voted | Ap |
| Netflix, Inc. | NFLX | 64110L106 | 6/6/2018 | 11 | Shareholder Proposal Regarding Simple Majority Vote | ShrHoldr | Voted | Ap |
| Netflix, Inc. | NFLX | 64110L106 | 6/6/2018 | 12 | Shareholder Proposal Regarding Majority Vote for Election of Directors | ShrHoldr | Voted | Ap |
| New Ireland Fund Inc | IRL | 645673104 | 6/11/2018 | 1.1 | Elect Michael A. Pignataro | Mgmt | Voted | Fo |
| Newell Brands Inc. | NWL | 651229106 | 5/15/2018 | 1.1 | Elect Bridget M. Ryan-Berman | Mgmt | Voted | Fo |
| Newell Brands Inc. | NWL | 651229106 | 5/15/2018 | 1.2 | Elect Patrick D. Campbell | Mgmt | Voted | Fo |
| Newell Brands Inc. | NWL | 651229106 | 5/15/2018 | 1.3 | Elect James R. Craigie | Mgmt | Voted | Fo |
| Newell Brands Inc. | NWL | 651229106 | 5/15/2018 | 1.4 | Elect Debra A. Crew | Mgmt | Voted | Fo |
| Newell Brands Inc. | NWL | 651229106 | 5/15/2018 | 1.5 | Elect Brett M. Icahn | Mgmt | Voted | Fo |
| Newell Brands Inc. | NWL | 651229106 | 5/15/2018 | 1.6 | Elect Gerardo I. Lopez | Mgmt | Voted | Fo |
| Newell Brands Inc. | NWL | 651229106 | 5/15/2018 | 1.7 | Elect Courtney Mather | Mgmt | Voted | Fo |
| Newell Brands Inc. | NWL | 651229106 | 5/15/2018 | 1.8 | Elect Michael B. Polk | Mgmt | Voted | Fo |
| Newell Brands Inc. | NWL | 651229106 | 5/15/2018 | 1.9 | Elect Judith A. Sprieser | Mgmt | Voted | Fo |

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| Newell Brands Inc. | NWL | 651229106 | 5/15/2018 | 1.10 | Elect Robert A. Steele | Mgmt | Voted | FO |
| Newell Brands Inc. | NWL | 651229106 | 5/15/2018 | 1.11 | Elect Steven J. Strobel | Mgmt | Voted | FO |
| Newell Brands Inc. | NWL | 651229106 | 5/15/2018 | 1.12 | Elect Michael A. Todman | Mgmt | Voted | FO |
| Newell Brands Inc. | NWL | 651229106 | 5/15/2018 | 2 | Ratification of Auditor | Mgmt | Voted | FO |
| Newell Brands Inc. | NWL | 651229106 | 5/15/2018 | 3 | Advisory Vote on Executive Compensation | Mgmt | Voted | FO |
| Newell Brands Inc. | NWL | 651229106 | 5/15/2018 | 4 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Voted | NA |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/24/2018 | 1 | Elect Sherry S. Barrat | Mgmt | Voted | FO |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/24/2018 | 2 | Elect James L. Camaren | Mgmt | Voted | FO |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/24/2018 | 3 | Elect Kenneth B. Dunn | Mgmt | Voted | FO |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/24/2018 | 4 | Elect Naren K. Gursahaney | Mgmt | Voted | FO |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/24/2018 | 5 | Elect Kirk S. Hachigian | Mgmt | Voted | FO |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/24/2018 | 6 | Elect Toni Jennings | Mgmt | Voted | FO |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/24/2018 | 7 | Elect Amy B. Lane | Mgmt | Voted | FO |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/24/2018 | 8 | Elect James L. Robo | Mgmt | Voted | FO |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/24/2018 | 9 | Elect Rudy E. Schupp | Mgmt | Voted | FO |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/24/2018 | 10 | Elect John L. Skolds | Mgmt | Voted | FO |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/24/2018 | 11 | Elect William H. Swanson | Mgmt | Voted | FO |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/24/2018 | 12 | Elect Hansel E. Tookes II | Mgmt | Voted | FO |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/24/2018 | 13 | Ratification of Auditor | Mgmt | Voted | FO |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/24/2018 | 14 | Advisory Vote on Executive Compensation | Mgmt | Voted | FO |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/24/2018 | 15 | Shareholder Proposal Regarding Right to Act by Written Consent | ShrHoldr | Voted | AP |
| NextEra Energy, Inc. | NEE | 65339F101 | 5/24/2018 | 16 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHoldr | Voted | AP |

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| | | | | | | | | |
|----------------------------------|-----|-----------|-----------|-----|--|----------|-------|----|
| Nike Inc. | NKE | 654106103 | 9/21/2017 | 1.1 | Elect Alan B. Graf, Jr. | Mgmt | Voted | FO |
| Nike Inc. | NKE | 654106103 | 9/21/2017 | 1.2 | Elect John C. Lechleiter | Mgmt | Voted | FO |
| Nike Inc. | NKE | 654106103 | 9/21/2017 | 1.3 | Elect Michelle Peluso | Mgmt | Voted | FO |
| Nike Inc. | NKE | 654106103 | 9/21/2017 | 2 | Advisory Vote on Executive Compensation | Mgmt | Voted | FO |
| Nike Inc. | NKE | 654106103 | 9/21/2017 | 3 | Frequency of Advisory Vote on Executive Compensation | Mgmt | Voted | 1 |
| Nike Inc. | NKE | 654106103 | 9/21/2017 | 4 | Amendment to the Long-Term Incentive Plan | Mgmt | Voted | FO |
| Nike Inc. | NKE | 654106103 | 9/21/2017 | 5 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHoldr | Voted | AP |
| Nike Inc. | NKE | 654106103 | 9/21/2017 | 6 | Ratification of Auditor | Mgmt | Voted | FO |
| Nuveen Global Equity Income Fund | JRI | 67074Y105 | 4/11/2018 | 1.1 | Elect Margo L. Cook | Mgmt | Voted | FO |
| Nuveen Global Equity Income Fund | JRI | 67074Y105 | 4/11/2018 | 1.2 | Elect Jack B. Evans | Mgmt | Voted | FO |
| Nuveen Global Equity Income Fund | JRI | 67074Y105 | 4/11/2018 | 1.3 | Elect Albin F. Moschner | Mgmt | Voted | FO |
| Nuveen Global Equity Income Fund | JRI | 67074Y105 | 4/11/2018 | 1.4 | Elect William J. Schneider | Mgmt | Voted | FO |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/4/2018 | 1 | Elect Spencer Abraham | Mgmt | Voted | FO |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/4/2018 | 2 | Elect Howard I. Atkins | Mgmt | Voted | FO |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/4/2018 | 3 | Elect Eugene L. Batchelder | Mgmt | Voted | FO |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/4/2018 | 4 | Elect John E. Feick | Mgmt | Voted | FO |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/4/2018 | 5 | Elect Margaret M. Foran | Mgmt | Voted | FO |
| | OXY | 674599105 | 5/4/2018 | 6 | | Mgmt | Voted | FO |

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| | | | | | | | | | |
|----------------------------------|------|-----------|------------|------|--|--|------|-------|----|
| Occidental Petroleum Corporation | | | | | | Elect Carlos M. Gutierrez | | | |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/4/2018 | 7 | | Elect Vicki A. Hollub | Mgmt | Voted | Fo |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/4/2018 | 8 | | Elect William R. Klesse | Mgmt | Voted | Fo |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/4/2018 | 9 | | Elect Jack B. Moore | Mgmt | Voted | Fo |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/4/2018 | 10 | | Elect Avedick B. Poladian | Mgmt | Voted | Fo |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/4/2018 | 11 | | Elect Elisse B. Walter | Mgmt | Voted | Fo |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/4/2018 | 12 | | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/4/2018 | 13 | | Amendment to the 2015 Long-Term Incentive Plan | Mgmt | Voted | Fo |
| Occidental Petroleum Corporation | OXY | 674599105 | 5/4/2018 | 14 | | Ratification of Auditor | Mgmt | Voted | Fo |
| Oracle Corporation | ORCL | 68389X105 | 11/15/2017 | 1.1 | | Elect Jeffrey S. Berg | Mgmt | Voted | Fo |
| Oracle Corporation | ORCL | 68389X105 | 11/15/2017 | 1.2 | | Elect Michael J. Boskin | Mgmt | Voted | Fo |
| Oracle Corporation | ORCL | 68389X105 | 11/15/2017 | 1.3 | | Elect Safra A. Catz | Mgmt | Voted | Fo |
| Oracle Corporation | ORCL | 68389X105 | 11/15/2017 | 1.4 | | Elect Bruce R. Chizen | Mgmt | Voted | Fo |
| Oracle Corporation | ORCL | 68389X105 | 11/15/2017 | 1.5 | | Elect George H. Conrades | Mgmt | Voted | Fo |
| Oracle Corporation | ORCL | 68389X105 | 11/15/2017 | 1.6 | | Elect Lawrence J. Ellison | Mgmt | Voted | Fo |
| Oracle Corporation | ORCL | 68389X105 | 11/15/2017 | 1.7 | | Elect Hector Garcia-Molina | Mgmt | Voted | Fo |
| Oracle Corporation | ORCL | 68389X105 | 11/15/2017 | 1.8 | | Elect Jeffrey O. Henley | Mgmt | Voted | Fo |
| Oracle Corporation | ORCL | 68389X105 | 11/15/2017 | 1.9 | | Elect Mark V. Hurd | Mgmt | Voted | Fo |
| Oracle Corporation | ORCL | 68389X105 | 11/15/2017 | 1.10 | | Elect Renee J. James | Mgmt | Voted | Fo |
| Oracle Corporation | ORCL | 68389X105 | 11/15/2017 | 1.11 | | Elect Leon E. Panetta | Mgmt | Voted | Fo |
| Oracle Corporation | ORCL | 68389X105 | 11/15/2017 | 1.12 | | Elect Naomi O. Seligman | Mgmt | Voted | Fo |
| Oracle Corporation | ORCL | 68389X105 | 11/15/2017 | 2 | | Advisory Vote on Executive | Mgmt | Voted | Fo |

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| | | | | | Compensation | | | |
|--------------------|------|-----------|------------|----|---|----------|-------|----|
| Oracle Corporation | ORCL | 68389X105 | 11/15/2017 | 3 | Frequency of Advisory Vote on Executive Compensation | Mgmt | Voted | 1 |
| Oracle Corporation | ORCL | 68389X105 | 11/15/2017 | 4 | Amendment to 2000 Long Term-Term Incentive Plan | Mgmt | Voted | Fo |
| Oracle Corporation | ORCL | 68389X105 | 11/15/2017 | 5 | Ratification of Auditor | Mgmt | Voted | Fo |
| Oracle Corporation | ORCL | 68389X105 | 11/15/2017 | 6 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHoldr | Voted | Ap |
| Oracle Corporation | ORCL | 68389X105 | 11/15/2017 | 7 | Shareholder Proposal Regarding Gender Pay Equity Report | ShrHoldr | Voted | Ap |
| Oracle Corporation | ORCL | 68389X105 | 11/15/2017 | 8 | Shareholder Proposal Regarding Amendments to Proxy Access Bylaw | ShrHoldr | Voted | Ap |
| PepsiCo, Inc. | PEP | 713448108 | 5/2/2018 | 1 | Elect Shona L. Brown | Mgmt | Voted | Fo |
| PepsiCo, Inc. | PEP | 713448108 | 5/2/2018 | 2 | Elect George W. Buckley | Mgmt | Voted | Fo |
| PepsiCo, Inc. | PEP | 713448108 | 5/2/2018 | 3 | Elect Cesar Conde | Mgmt | Voted | Fo |
| PepsiCo, Inc. | PEP | 713448108 | 5/2/2018 | 4 | Elect Ian M. Cook | Mgmt | Voted | Fo |
| PepsiCo, Inc. | PEP | 713448108 | 5/2/2018 | 5 | Elect Dina Dublon | Mgmt | Voted | Fo |
| PepsiCo, Inc. | PEP | 713448108 | 5/2/2018 | 6 | Elect Richard W. Fisher | Mgmt | Voted | Fo |
| PepsiCo, Inc. | PEP | 713448108 | 5/2/2018 | 7 | Elect William R. Johnson | Mgmt | Voted | Fo |
| PepsiCo, Inc. | PEP | 713448108 | 5/2/2018 | 8 | Elect Indra K. Nooyi | Mgmt | Voted | Fo |
| PepsiCo, Inc. | PEP | 713448108 | 5/2/2018 | 9 | Elect David C. Page | Mgmt | Voted | Fo |
| PepsiCo, Inc. | PEP | 713448108 | 5/2/2018 | 10 | Elect Robert C. Pohlada | Mgmt | Voted | Fo |
| PepsiCo, Inc. | PEP | 713448108 | 5/2/2018 | 11 | Elect Daniel L. Vasella | Mgmt | Voted | Fo |
| PepsiCo, Inc. | PEP | 713448108 | 5/2/2018 | 12 | Elect Darren Walker | Mgmt | Voted | Fo |
| PepsiCo, Inc. | PEP | 713448108 | 5/2/2018 | 13 | Elect Alberto Weisser | Mgmt | Voted | Fo |
| PepsiCo, Inc. | PEP | 713448108 | 5/2/2018 | 14 | Ratification of Auditor | Mgmt | Voted | Fo |
| PepsiCo, Inc. | PEP | 713448108 | 5/2/2018 | 15 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |

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| | | | | | | | | |
|-------------------------------------|-----|-----------|-----------|----|--|----------|-------|----|
| PepsiCo, Inc. | PEP | 713448108 | 5/2/2018 | 16 | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHoldr | Voted | AP |
| PG&E Corporation | PCG | 69331C108 | 5/22/2018 | 1 | Elect Lewis Chew | Mgmt | Voted | FC |
| PG&E Corporation | PCG | 69331C108 | 5/22/2018 | 2 | Elect Fred J. Fowler | Mgmt | Voted | FC |
| PG&E Corporation | PCG | 69331C108 | 5/22/2018 | 3 | Elect Richard C. Kelly | Mgmt | Voted | FC |
| PG&E Corporation | PCG | 69331C108 | 5/22/2018 | 4 | Elect Roger H. Kimmel | Mgmt | Voted | FC |
| PG&E Corporation | PCG | 69331C108 | 5/22/2018 | 5 | Elect Richard A. Meserve | Mgmt | Voted | FC |
| PG&E Corporation | PCG | 69331C108 | 5/22/2018 | 6 | Elect Forrest E. Miller | Mgmt | Voted | FC |
| PG&E Corporation | PCG | 69331C108 | 5/22/2018 | 7 | Elect Eric D. Mullins | Mgmt | Voted | FC |
| PG&E Corporation | PCG | 69331C108 | 5/22/2018 | 8 | Elect Rosendo G. Parra | Mgmt | Voted | FC |
| PG&E Corporation | PCG | 69331C108 | 5/22/2018 | 9 | Elect Barbara L. Rambo | Mgmt | Voted | FC |
| PG&E Corporation | PCG | 69331C108 | 5/22/2018 | 10 | Elect Anne Shen Smith | Mgmt | Voted | FC |
| PG&E Corporation | PCG | 69331C108 | 5/22/2018 | 11 | Elect Geisha J. Williams | Mgmt | Voted | FC |
| PG&E Corporation | PCG | 69331C108 | 5/22/2018 | 12 | Ratification of Auditor | Mgmt | Voted | FC |
| PG&E Corporation | PCG | 69331C108 | 5/22/2018 | 13 | Advisory Vote on Executive Compensation | Mgmt | Voted | FC |
| PG&E Corporation | PCG | 69331C108 | 5/22/2018 | 14 | Shareholder Proposal Regarding Charitable Contributions | ShrHoldr | Voted | AP |
| PG&E Corporation | PCG | 69331C108 | 5/22/2018 | 15 | Shareholder Proposal Regarding Proxy Access Bylaw Amendment | ShrHoldr | Voted | AP |
| Philip Morris International Inc. | PM | 718172109 | 5/9/2018 | 1 | Elect Harold Brown | Mgmt | Voted | FC |
| Philip Morris International Inc. | PM | 718172109 | 5/9/2018 | 2 | Elect Andre Calantzopoulos | Mgmt | Voted | FC |
| Philip Morris International Inc. | PM | 718172109 | 5/9/2018 | 3 | Elect Louis C. Camilleri | Mgmt | Voted | FC |
| Philip Morris International Inc. | PM | 718172109 | 5/9/2018 | 4 | Elect Massimo Ferragamo | Mgmt | Voted | FC |
| Philip Morris International Inc. | PM | 718172109 | 5/9/2018 | 5 | Elect Werner Geissler | Mgmt | Voted | FC |
| | PM | 718172109 | 5/9/2018 | 6 | Elect Lisa A. Hook | Mgmt | Voted | FC |

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Philip Morris
International Inc.

| | | | | | | | | |
|-----------------------------------|-----|-----------|-----------|----|---|------|-------|----|
| Philip Morris International Inc. | PM | 718172109 | 5/9/2018 | 7 | Elect Jennifer Xin-Zhe Li | Mgmt | Voted | Fo |
| Philip Morris International Inc. | PM | 718172109 | 5/9/2018 | 8 | Elect Jun Makihara | Mgmt | Voted | Fo |
| Philip Morris International Inc. | PM | 718172109 | 5/9/2018 | 9 | Elect Sergio Marchionne | Mgmt | Voted | Fo |
| Philip Morris International Inc. | PM | 718172109 | 5/9/2018 | 10 | Elect Kalpana Morparia | Mgmt | Voted | Fo |
| Philip Morris International Inc. | PM | 718172109 | 5/9/2018 | 11 | Elect Lucio A. Noto | Mgmt | Voted | Fo |
| Philip Morris International Inc. | PM | 718172109 | 5/9/2018 | 12 | Elect Frederik Paulsen | Mgmt | Voted | Fo |
| Philip Morris International Inc. | PM | 718172109 | 5/9/2018 | 13 | Elect Robert B. Polet | Mgmt | Voted | Fo |
| Philip Morris International Inc. | PM | 718172109 | 5/9/2018 | 14 | Elect Stephen M. Wolf | Mgmt | Voted | Fo |
| Philip Morris International Inc. | PM | 718172109 | 5/9/2018 | 15 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Philip Morris International Inc. | PM | 718172109 | 5/9/2018 | 16 | Ratification of Auditor | Mgmt | Voted | Fo |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/17/2018 | 1 | Elect Edison C. Buchanan | Mgmt | Voted | Fo |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/17/2018 | 2 | Elect Andrew F. Cates | Mgmt | Voted | Fo |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/17/2018 | 3 | Elect Timothy L. Dove | Mgmt | Voted | Fo |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/17/2018 | 4 | Elect Phillip A. Gobe | Mgmt | Voted | Fo |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/17/2018 | 5 | Elect Larry R. Grillot | Mgmt | Voted | Fo |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/17/2018 | 6 | Elect Stacy P. Methvin | Mgmt | Voted | Fo |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/17/2018 | 7 | Elect Royce W. Mitchell | Mgmt | Voted | Fo |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/17/2018 | 8 | Elect Frank A. Risch | Mgmt | Voted | Fo |

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|-----------------------------------|-----|-----------|-----------|----|--|------|-------|----|
| Pioneer Natural Resources Company | PXD | 723787107 | 5/17/2018 | 9 | Elect Scott D. Sheffield | Mgmt | Voted | Fo |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/17/2018 | 10 | Elect Mona K. Sutphen | Mgmt | Voted | Fo |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/17/2018 | 11 | Elect J. Kenneth Thompson | Mgmt | Voted | Fo |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/17/2018 | 12 | Elect Phoebe A. Wood | Mgmt | Voted | Fo |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/17/2018 | 13 | Elect Michael D. Wortley | Mgmt | Voted | Fo |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/17/2018 | 14 | Ratification of Auditor | Mgmt | Voted | Fo |
| Pioneer Natural Resources Company | PXD | 723787107 | 5/17/2018 | 15 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Praxair, Inc. | PX | 74005P104 | 9/27/2017 | 1 | Merger/Acquisition | Mgmt | Voted | Fo |
| Praxair, Inc. | PX | 74005P104 | 9/27/2017 | 2 | Reduction in Share Premium Account (Linde plc) | Mgmt | Voted | Fo |
| Praxair, Inc. | PX | 74005P104 | 9/27/2017 | 3 | Advisory Vote on Golden Parachutes | Mgmt | Voted | Fo |
| Praxair, Inc. | PX | 74005P104 | 9/27/2017 | 4 | Right to Adjourn Meeting | Mgmt | Voted | Fo |
| Prudential Financial, Inc. | PRU | 744320102 | 5/8/2018 | 1 | Elect Thomas J. Baltimore, Jr. | Mgmt | Voted | Fo |
| Prudential Financial, Inc. | PRU | 744320102 | 5/8/2018 | 2 | Elect Gilbert F. Casellas | Mgmt | Voted | Fo |
| Prudential Financial, Inc. | PRU | 744320102 | 5/8/2018 | 3 | Elect Mark B. Grier | Mgmt | Voted | Fo |
| Prudential Financial, Inc. | PRU | 744320102 | 5/8/2018 | 4 | Elect Martina Hund-Mejean | Mgmt | Voted | Fo |
| Prudential Financial, Inc. | PRU | 744320102 | 5/8/2018 | 5 | Elect Karl J. Krapek | Mgmt | Voted | Fo |
| Prudential Financial, Inc. | PRU | 744320102 | 5/8/2018 | 6 | Elect Peter R. Lighte | Mgmt | Voted | Fo |
| Prudential Financial, Inc. | PRU | 744320102 | 5/8/2018 | 7 | Elect George Paz | Mgmt | Voted | Fo |
| Prudential Financial, Inc. | PRU | 744320102 | 5/8/2018 | 8 | Elect Sandra Pianalto | Mgmt | Voted | Fo |

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|----------------------------|-----|-----------|-----------|----|---|----------|-------|----|
| Prudential Financial, Inc. | PRU | 744320102 | 5/8/2018 | 9 | Elect Christine A. Poon | Mgmt | Voted | Fo |
| Prudential Financial, Inc. | PRU | 744320102 | 5/8/2018 | 10 | Elect Douglas A. Scovanner | Mgmt | Voted | Fo |
| Prudential Financial, Inc. | PRU | 744320102 | 5/8/2018 | 11 | Elect John R. Strangfeld | Mgmt | Voted | Fo |
| Prudential Financial, Inc. | PRU | 744320102 | 5/8/2018 | 12 | Elect Michael A. Todman | Mgmt | Voted | Fo |
| Prudential Financial, Inc. | PRU | 744320102 | 5/8/2018 | 13 | Ratification of Auditor | Mgmt | Voted | Fo |
| Prudential Financial, Inc. | PRU | 744320102 | 5/8/2018 | 14 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Prudential Financial, Inc. | PRU | 744320102 | 5/8/2018 | 15 | Shareholder Proposal Independent Board Chairman | ShrHoldr | Voted | Ap |
| Republic Services, Inc. | RSG | 760759100 | 5/11/2018 | 1 | Elect Manuel Kadre | Mgmt | Voted | Fo |
| Republic Services, Inc. | RSG | 760759100 | 5/11/2018 | 2 | Elect Tomago Collins | Mgmt | Voted | Fo |
| Republic Services, Inc. | RSG | 760759100 | 5/11/2018 | 3 | Elect Thomas W. Handley | Mgmt | Voted | Fo |
| Republic Services, Inc. | RSG | 760759100 | 5/11/2018 | 4 | Elect Jennifer M Kirk | Mgmt | Voted | Fo |
| Republic Services, Inc. | RSG | 760759100 | 5/11/2018 | 5 | Elect Michael Larson | Mgmt | Voted | Fo |
| Republic Services, Inc. | RSG | 760759100 | 5/11/2018 | 6 | Elect Kim S. Pegula | Mgmt | Voted | Fo |
| Republic Services, Inc. | RSG | 760759100 | 5/11/2018 | 7 | Elect Ramon A. Rodriguez | Mgmt | Voted | Fo |
| Republic Services, Inc. | RSG | 760759100 | 5/11/2018 | 8 | Elect Donald W. Slager | Mgmt | Voted | Fo |
| Republic Services, Inc. | RSG | 760759100 | 5/11/2018 | 9 | Elect John M. Trani | Mgmt | Voted | Fo |
| Republic Services, Inc. | RSG | 760759100 | 5/11/2018 | 10 | Elect Sandra M Volpe | Mgmt | Voted | Fo |
| Republic Services, Inc. | RSG | 760759100 | 5/11/2018 | 11 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| | RSG | 760759100 | 5/11/2018 | 12 | Ratification of Auditor | Mgmt | Voted | Fo |

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|------------------------------|-----|-----------|-----------|------|--|----------|-------|---------|--|
| Republic Services, Inc. | | | | | | | | | |
| Republic Services, Inc. | RSG | 760759100 | 5/11/2018 | 13 | Approval of the 2018 Employee Stock Purchase Plan | Mgmt | Voted | For | |
| Republic Services, Inc. | RSG | 760759100 | 5/11/2018 | 14 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHoldr | Voted | Against | |
| Reynolds American Inc. | RAI | 761713106 | 7/19/2017 | 1 | Merger/Acquisition | Mgmt | Voted | For | |
| Reynolds American Inc. | RAI | 761713106 | 7/19/2017 | 2 | Advisory Vote on Golden Parachutes | Mgmt | Voted | For | |
| Reynolds American Inc. | RAI | 761713106 | 7/19/2017 | 3 | Right to Adjourn Meeting | Mgmt | Voted | For | |
| RMR Real Estate Income Fund. | RIF | 76970B101 | 4/25/2018 | 1 | Elect Jeffrey P. Somers | Mgmt | Voted | For | |
| Roper Technologies, Inc. | ROP | 776696106 | 6/4/2018 | 1.1 | Elect Shellye L. Archambeau | Mgmt | Voted | For | |
| Roper Technologies, Inc. | ROP | 776696106 | 6/4/2018 | 1.2 | Elect Amy Woods Brinkley | Mgmt | Voted | For | |
| Roper Technologies, Inc. | ROP | 776696106 | 6/4/2018 | 1.3 | Elect John F. Fort, III | Mgmt | Voted | For | |
| Roper Technologies, Inc. | ROP | 776696106 | 6/4/2018 | 1.4 | Elect Brian D. Jellison | Mgmt | Voted | For | |
| Roper Technologies, Inc. | ROP | 776696106 | 6/4/2018 | 1.5 | Elect Robert D. Johnson | Mgmt | Voted | For | |
| Roper Technologies, Inc. | ROP | 776696106 | 6/4/2018 | 1.6 | Elect Robert E. Knowling, Jr. | Mgmt | Voted | For | |
| Roper Technologies, Inc. | ROP | 776696106 | 6/4/2018 | 1.7 | Elect Wilbur J. Prezzano | Mgmt | Voted | For | |
| Roper Technologies, Inc. | ROP | 776696106 | 6/4/2018 | 1.8 | Elect Laura G. Thatcher | Mgmt | Voted | For | |
| Roper Technologies, Inc. | ROP | 776696106 | 6/4/2018 | 1.9 | Elect Richard F. Wallman | Mgmt | Voted | For | |
| Roper Technologies, Inc. | ROP | 776696106 | 6/4/2018 | 1.10 | Elect Christopher Wright | Mgmt | Voted | For | |
| Roper Technologies, Inc. | ROP | 776696106 | 6/4/2018 | 2 | Advisory Vote on Executive | Mgmt | Voted | For | |

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Compensation

| | | | | | | | | |
|------------------------------|-----|-----------|-----------|-----|---|------|-------|----|
| Roper Technologies, Inc. | ROP | 776696106 | 6/4/2018 | 3 | Ratification of Auditor | Mgmt | Voted | Fo |
| Royal Caribbean Cruises Ltd. | RCL | V7780T103 | 5/21/2018 | 1 | Elect John F. Brock | Mgmt | Voted | Fo |
| Royal Caribbean Cruises Ltd. | RCL | V7780T103 | 5/21/2018 | 2 | Elect Richard D. Fain | Mgmt | Voted | Fo |
| Royal Caribbean Cruises Ltd. | RCL | V7780T103 | 5/21/2018 | 3 | Elect William L. Kimsey | Mgmt | Voted | Fo |
| Royal Caribbean Cruises Ltd. | RCL | V7780T103 | 5/21/2018 | 4 | Elect Maritza Gomez Montiel | Mgmt | Voted | Fo |
| Royal Caribbean Cruises Ltd. | RCL | V7780T103 | 5/21/2018 | 5 | Elect Ann S. Moore | Mgmt | Voted | Fo |
| Royal Caribbean Cruises Ltd. | RCL | V7780T103 | 5/21/2018 | 6 | Elect Eyal M. Ofer | Mgmt | Voted | Fo |
| Royal Caribbean Cruises Ltd. | RCL | V7780T103 | 5/21/2018 | 7 | Elect Thomas J. Pritzker | Mgmt | Voted | Fo |
| Royal Caribbean Cruises Ltd. | RCL | V7780T103 | 5/21/2018 | 8 | Elect William K. Reilly | Mgmt | Voted | Fo |
| Royal Caribbean Cruises Ltd. | RCL | V7780T103 | 5/21/2018 | 9 | Elect Bernt Reitan | Mgmt | Voted | Fo |
| Royal Caribbean Cruises Ltd. | RCL | V7780T103 | 5/21/2018 | 10 | Elect Vagn Sorensen | Mgmt | Voted | Fo |
| Royal Caribbean Cruises Ltd. | RCL | V7780T103 | 5/21/2018 | 11 | Elect Donald Thompson | Mgmt | Voted | Fo |
| Royal Caribbean Cruises Ltd. | RCL | V7780T103 | 5/21/2018 | 12 | Elect Arne Alexander Wilhelmsen | Mgmt | Voted | Fo |
| Royal Caribbean Cruises Ltd. | RCL | V7780T103 | 5/21/2018 | 13 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Royal Caribbean Cruises Ltd. | RCL | V7780T103 | 5/21/2018 | 14 | Ratification of Auditor | Mgmt | Voted | Fo |
| Royce Micro-Cap Trust, Inc. | RMT | 780915104 | 9/28/2017 | 1.1 | Elect Stephen L. Isaacs | Mgmt | Voted | Fo |
| Royce Micro-Cap Trust, Inc. | RMT | 780915104 | 9/28/2017 | 1.2 | Elect Christopher D. Clark | Mgmt | Voted | Fo |
| Royce Micro-Cap Trust, Inc. | RMT | 780915104 | 9/28/2017 | 1.3 | Elect Christopher C. Grisanti | Mgmt | Voted | Fo |

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| | | | | | | | | |
|-----------------------------|------|-----------|-----------|-----|---|------|-------|----|
| Royce Micro-Cap Trust, Inc. | RMT | 780910105 | 9/28/2017 | 1.1 | Elect Stephen L. Isaacs | Mgmt | Voted | Fo |
| Royce Micro-Cap Trust, Inc. | RMT | 780910105 | 9/28/2017 | 1.2 | Elect Christopher D. Clark | Mgmt | Voted | Fo |
| Royce Micro-Cap Trust, Inc. | RMT | 780910105 | 9/28/2017 | 1.3 | Elect Christopher C. Grisanti | Mgmt | Voted | Fo |
| Royce Micro-Cap Trust, Inc. | RMT | 78081T104 | 9/28/2017 | 1.1 | Elect Stephen L. Isaacs | Mgmt | Voted | Fo |
| Royce Micro-Cap Trust, Inc. | RMT | 78081T104 | 9/28/2017 | 1.2 | Elect Christopher D. Clark | Mgmt | Voted | Fo |
| Royce Micro-Cap Trust, Inc. | RMT | 78081T104 | 9/28/2017 | 1.3 | Elect Christopher C. Grisanti | Mgmt | Voted | Fo |
| S&P Global Inc. | SPGI | 78409V104 | 5/1/2018 | 1 | Elect Marco Alvera | Mgmt | Voted | Fo |
| S&P Global Inc. | SPGI | 78409V104 | 5/1/2018 | 2 | Elect William D. Green | Mgmt | Voted | Fo |
| S&P Global Inc. | SPGI | 78409V104 | 5/1/2018 | 3 | Elect Charles E. Haldeman, Jr. | Mgmt | Voted | Fo |
| S&P Global Inc. | SPGI | 78409V104 | 5/1/2018 | 4 | Elect Stephanie C. Hill | Mgmt | Voted | Fo |
| S&P Global Inc. | SPGI | 78409V104 | 5/1/2018 | 5 | Elect Rebecca Jacoby | Mgmt | Voted | Fo |
| S&P Global Inc. | SPGI | 78409V104 | 5/1/2018 | 6 | Elect Monique F. Leroux | Mgmt | Voted | Fo |
| S&P Global Inc. | SPGI | 78409V104 | 5/1/2018 | 7 | Elect Maria R. Morris | Mgmt | Voted | Fo |
| S&P Global Inc. | SPGI | 78409V104 | 5/1/2018 | 8 | Elect Douglas L. Peterson | Mgmt | Voted | Fo |
| S&P Global Inc. | SPGI | 78409V104 | 5/1/2018 | 9 | Elect Sir Michael Rake | Mgmt | Voted | Fo |
| S&P Global Inc. | SPGI | 78409V104 | 5/1/2018 | 10 | Elect Edward B. Rust, Jr. | Mgmt | Voted | Fo |
| S&P Global Inc. | SPGI | 78409V104 | 5/1/2018 | 11 | Elect Kurt L. Schmoke | Mgmt | Voted | Fo |
| S&P Global Inc. | SPGI | 78409V104 | 5/1/2018 | 12 | Elect Richard E. Thornburgh | Mgmt | Voted | Fo |
| S&P Global Inc. | SPGI | 78409V104 | 5/1/2018 | 13 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |

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|----------------------|------|-----------|-----------|-----|--|----------|-------|----|
| S&P Global Inc. | SPGI | 78409V104 | 5/1/2018 | 14 | Ratification of Auditor | Mgmt | Voted | Fo |
| Sempra Energy | SRE | 816851109 | 5/10/2018 | 1 | Elect Alan L. Boeckmann | Mgmt | Voted | Fo |
| Sempra Energy | SRE | 816851109 | 5/10/2018 | 2 | Elect Kathleen Brown | Mgmt | Voted | Fo |
| Sempra Energy | SRE | 816851109 | 5/10/2018 | 3 | Elect Andres Conesa Labastida | Mgmt | Voted | Fo |
| Sempra Energy | SRE | 816851109 | 5/10/2018 | 4 | Elect Maria Contreras-Sweet | Mgmt | Voted | Fo |
| Sempra Energy | SRE | 816851109 | 5/10/2018 | 5 | Elect Pablo A. Ferrero | Mgmt | Voted | Fo |
| Sempra Energy | SRE | 816851109 | 5/10/2018 | 6 | Elect William D. Jones | Mgmt | Voted | Fo |
| Sempra Energy | SRE | 816851109 | 5/10/2018 | 7 | Elect Jeffrey W. Martin | Mgmt | Voted | Fo |
| Sempra Energy | SRE | 816851109 | 5/10/2018 | 8 | Elect Bethany J. Mayer | Mgmt | Voted | Fo |
| Sempra Energy | SRE | 816851109 | 5/10/2018 | 9 | Elect William G. Ouchi | Mgmt | Voted | Fo |
| Sempra Energy | SRE | 816851109 | 5/10/2018 | 10 | Elect Debra L. Reed | Mgmt | Voted | Fo |
| Sempra Energy | SRE | 816851109 | 5/10/2018 | 11 | Elect William C. Rusnack | Mgmt | Voted | Fo |
| Sempra Energy | SRE | 816851109 | 5/10/2018 | 12 | Elect Lynn Schenk | Mgmt | Voted | Fo |
| Sempra Energy | SRE | 816851109 | 5/10/2018 | 13 | Elect Jack T. Taylor | Mgmt | Voted | Fo |
| Sempra Energy | SRE | 816851109 | 5/10/2018 | 14 | Elect James C. Yardley | Mgmt | Voted | Fo |
| Sempra Energy | SRE | 816851109 | 5/10/2018 | 15 | Ratification of Auditor | Mgmt | Voted | Fo |
| Sempra Energy | SRE | 816851109 | 5/10/2018 | 16 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Sempra Energy | SRE | 816851109 | 5/10/2018 | 17 | Shareholder Proposal Regarding Amendment to Proxy Access Bylaw | ShrHoldr | Voted | Ap |
| Source Capital, Inc. | SOR | 836144105 | 5/14/2018 | 1.1 | Elect J. Richard Atwood | Mgmt | Voted | Fo |
| Source Capital, Inc. | SOR | 836144105 | 5/14/2018 | 1.2 | Elect Sandra Brown | Mgmt | Voted | Fo |
| Source Capital, Inc. | SOR | 836144105 | 5/14/2018 | 1.3 | Elect Mark L. Lipson | Mgmt | Voted | Fo |
| Source Capital, Inc. | SOR | 836144105 | 5/14/2018 | 1.4 | Elect Alfred E. Osborne, Jr. | Mgmt | Voted | Fo |

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|------------------------|-----|-----------|-----------|-----|--|----------|-------|----|
| Source Capital, Inc. | SOR | 836144105 | 5/14/2018 | 1.5 | Elect A. Robert Pisano | Mgmt | Voted | Fo |
| Source Capital, Inc. | SOR | 836144105 | 5/14/2018 | 1.6 | Elect Patrick B. Purcell | Mgmt | Voted | Fo |
| Source Capital, Inc. | SOR | 836144105 | 5/14/2018 | 1.7 | Elect Allan M. Rudnick | Mgmt | Voted | Fo |
| Southwest Airlines Co. | LUV | 844741108 | 5/16/2018 | 1 | Elect David W. Biegler | Mgmt | Voted | Fo |
| Southwest Airlines Co. | LUV | 844741108 | 5/16/2018 | 2 | Elect J. Veronica Biggins | Mgmt | Voted | Fo |
| Southwest Airlines Co. | LUV | 844741108 | 5/16/2018 | 3 | Elect Douglas H. Brooks | Mgmt | Voted | Fo |
| Southwest Airlines Co. | LUV | 844741108 | 5/16/2018 | 4 | Elect William H. Cunningham | Mgmt | Voted | Fo |
| Southwest Airlines Co. | LUV | 844741108 | 5/16/2018 | 5 | Elect John G. Denison | Mgmt | Voted | Fo |
| Southwest Airlines Co. | LUV | 844741108 | 5/16/2018 | 6 | Elect Thomas W. Gilligan | Mgmt | Voted | Fo |
| Southwest Airlines Co. | LUV | 844741108 | 5/16/2018 | 7 | Elect Gary C. Kelly | Mgmt | Voted | Fo |
| Southwest Airlines Co. | LUV | 844741108 | 5/16/2018 | 8 | Elect Grace D. Lieblein | Mgmt | Voted | Fo |
| Southwest Airlines Co. | LUV | 844741108 | 5/16/2018 | 9 | Elect Nancy B. Loeffler | Mgmt | Voted | Fo |
| Southwest Airlines Co. | LUV | 844741108 | 5/16/2018 | 10 | Elect John T. Montford | Mgmt | Voted | Fo |
| Southwest Airlines Co. | LUV | 844741108 | 5/16/2018 | 11 | Elect Ron Ricks | Mgmt | Voted | Fo |
| Southwest Airlines Co. | LUV | 844741108 | 5/16/2018 | 12 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Southwest Airlines Co. | LUV | 844741108 | 5/16/2018 | 13 | Ratification of Auditor | Mgmt | Voted | Fo |
| Southwest Airlines Co. | LUV | 844741108 | 5/16/2018 | 14 | Shareholder Proposal Regarding Independent Board Chair | ShrHoldr | Voted | Ap |
| | LUV | 844741108 | 5/16/2018 | 15 | | ShrHoldr | Voted | Ap |

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|--------------------------|------|-----------|-----------|-----|--|--|------|-------|----|
| Southwest Airlines Co. | | | | | | Shareholder Proposal Regarding Right to Act by Written Consent | | | |
| Sprott Focus Trust, Inc. | FUND | 85208J109 | 9/20/2017 | 1.1 | | Elect Barbara Connolly Keady | Mgmt | Voted | FO |
| Sprott Focus Trust, Inc. | FUND | 85208J109 | 9/20/2017 | 1.2 | | Elect Peyton T. Muldoon | Mgmt | Voted | FO |
| Sprott Focus Trust, Inc. | FUND | 85208J109 | 9/20/2017 | 2 | | Ratification of Auditor | Mgmt | Voted | FO |
| Starbucks Corporation | SBUX | 855244109 | 3/21/2018 | 1 | | Elect Howard Schultz | Mgmt | Voted | FO |
| Starbucks Corporation | SBUX | 855244109 | 3/21/2018 | 2 | | Elect Rosalind G. Brewer | Mgmt | Voted | FO |
| Starbucks Corporation | SBUX | 855244109 | 3/21/2018 | 3 | | Elect Mary N. Dillon | Mgmt | Voted | FO |
| Starbucks Corporation | SBUX | 855244109 | 3/21/2018 | 4 | | Elect Mellody Hobson | Mgmt | Voted | FO |
| Starbucks Corporation | SBUX | 855244109 | 3/21/2018 | 5 | | Elect Kevin R. Johnson | Mgmt | Voted | FO |
| Starbucks Corporation | SBUX | 855244109 | 3/21/2018 | 6 | | Elect Jorgen Vig Knudstorp | Mgmt | Voted | FO |
| Starbucks Corporation | SBUX | 855244109 | 3/21/2018 | 7 | | Elect Satya Nadella | Mgmt | Voted | FO |
| Starbucks Corporation | SBUX | 855244109 | 3/21/2018 | 8 | | Elect Joshua Cooper Ramo | Mgmt | Voted | FO |
| Starbucks Corporation | SBUX | 855244109 | 3/21/2018 | 9 | | Elect Clara Shih | Mgmt | Voted | FO |
| Starbucks Corporation | SBUX | 855244109 | 3/21/2018 | 10 | | Elect Javier G. Teruel | Mgmt | Voted | FO |
| Starbucks Corporation | SBUX | 855244109 | 3/21/2018 | 11 | | Elect Myron E. Ullman, III | Mgmt | Voted | FO |
| Starbucks Corporation | SBUX | 855244109 | 3/21/2018 | 12 | | Elect Craig E. Weatherup | Mgmt | Voted | FO |
| Starbucks Corporation | SBUX | 855244109 | 3/21/2018 | 13 | | Advisory Vote on Executive Compensation | Mgmt | Voted | FO |

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|--------------------------|------|-----------|-----------|----|--|----------|-------|----|
| Starbucks Corporation | SBUX | 855244109 | 3/21/2018 | 14 | Ratification of Auditor | Mgmt | Voted | FO |
| Starbucks Corporation | SBUX | 855244109 | 3/21/2018 | 15 | Shareholder Proposal Regarding Amendment to Proxy Access Bylaw | ShrHoldr | Voted | AP |
| Starbucks Corporation | SBUX | 855244109 | 3/21/2018 | 16 | Shareholder Proposal Regarding Report on Sustainable Packaging | ShrHoldr | Voted | AP |
| Starbucks Corporation | SBUX | 855244109 | 3/21/2018 | 17 | Shareholder Proposal Regarding Report on Paid Family Leave | ShrHoldr | Voted | AP |
| Starbucks Corporation | SBUX | 855244109 | 3/21/2018 | 18 | Shareholder Proposal Regarding Diversity Report | ShrHoldr | Voted | AP |
| State Street Corporation | STT | 857477103 | 5/16/2018 | 1 | Elect Kennett F. Burnes | Mgmt | Voted | FO |
| State Street Corporation | STT | 857477103 | 5/16/2018 | 2 | Elect Patrick de Saint-Aignan | Mgmt | Voted | FO |
| State Street Corporation | STT | 857477103 | 5/16/2018 | 3 | Elect Lynn A. Dugle | Mgmt | Voted | FO |
| State Street Corporation | STT | 857477103 | 5/16/2018 | 4 | Elect Amelia C. Fawcett | Mgmt | Voted | FO |
| State Street Corporation | STT | 857477103 | 5/16/2018 | 5 | Elect William C. Freda | Mgmt | Voted | FO |
| State Street Corporation | STT | 857477103 | 5/16/2018 | 6 | Elect Linda A. Hill | Mgmt | Voted | FO |
| State Street Corporation | STT | 857477103 | 5/16/2018 | 7 | Elect Joseph L. Hooley | Mgmt | Voted | FO |
| State Street Corporation | STT | 857477103 | 5/16/2018 | 8 | Elect Sara Mathew | Mgmt | Voted | FO |
| State Street Corporation | STT | 857477103 | 5/16/2018 | 9 | Elect William L. Meaney | Mgmt | Voted | FO |
| State Street Corporation | STT | 857477103 | 5/16/2018 | 10 | Elect Sean O'Sullivan | Mgmt | Voted | FO |
| State Street Corporation | STT | 857477103 | 5/16/2018 | 11 | Elect Richard P. Sergel | Mgmt | Voted | FO |
| State Street Corporation | STT | 857477103 | 5/16/2018 | 12 | Elect Gregory L. Summe | Mgmt | Voted | FO |
| State Street Corporation | STT | 857477103 | 5/16/2018 | 13 | Advisory Vote on Executive | Mgmt | Voted | FO |

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| | | | | | Compensation | | | | |
|--------------------------|------|-----------|-----------|----|--|------|-------|-----|--|
| State Street Corporation | STT | 857477103 | 5/16/2018 | 14 | Elimination of Supermajority Requirement | Mgmt | Voted | For | |
| State Street Corporation | STT | 857477103 | 5/16/2018 | 15 | Ratification of Auditor | Mgmt | Voted | For | |
| Stryker Corporation | SYK | 863667101 | 5/2/2018 | 1 | Elect Mary K. Brainerd | Mgmt | Voted | For | |
| Stryker Corporation | SYK | 863667101 | 5/2/2018 | 2 | Elect Srikant M. Datar | Mgmt | Voted | For | |
| Stryker Corporation | SYK | 863667101 | 5/2/2018 | 3 | Elect Roch Doliveux | Mgmt | Voted | For | |
| Stryker Corporation | SYK | 863667101 | 5/2/2018 | 4 | Elect Louise L. Francesconi | Mgmt | Voted | For | |
| Stryker Corporation | SYK | 863667101 | 5/2/2018 | 5 | Elect Allan C. Golston | Mgmt | Voted | For | |
| Stryker Corporation | SYK | 863667101 | 5/2/2018 | 6 | Elect Kevin A. Lobo | Mgmt | Voted | For | |
| Stryker Corporation | SYK | 863667101 | 5/2/2018 | 7 | Elect Sherilyn S. McCoy | Mgmt | Voted | For | |
| Stryker Corporation | SYK | 863667101 | 5/2/2018 | 8 | Elect Andrew K. Silvernail | Mgmt | Voted | For | |
| Stryker Corporation | SYK | 863667101 | 5/2/2018 | 9 | Elect Ronda E. Stryker | Mgmt | Voted | For | |
| Stryker Corporation | SYK | 863667101 | 5/2/2018 | 10 | Elect Rajeev Suri | Mgmt | Voted | For | |
| Stryker Corporation | SYK | 863667101 | 5/2/2018 | 11 | Ratification of Auditor | Mgmt | Voted | For | |
| Stryker Corporation | SYK | 863667101 | 5/2/2018 | 12 | Advisory Vote on Executive Compensation | Mgmt | Voted | For | |
| Symantec Corporation | SYMC | 871503108 | 10/5/2017 | 1 | Elect Gregory S. Clark | Mgmt | Voted | For | |
| Symantec Corporation | SYMC | 871503108 | 10/5/2017 | 2 | Elect Frank E. Dangeard | Mgmt | Voted | For | |
| Symantec Corporation | SYMC | 871503108 | 10/5/2017 | 3 | Elect Kenneth Y. Hao | Mgmt | Voted | For | |
| Symantec Corporation | SYMC | 871503108 | 10/5/2017 | 4 | Elect David Humphrey | Mgmt | Voted | For | |
| Symantec Corporation | SYMC | 871503108 | 10/5/2017 | 5 | Elect Geraldine B. Laybourne | Mgmt | Voted | For | |
| Symantec Corporation | SYMC | 871503108 | 10/5/2017 | 6 | Elect David L. Mahoney | Mgmt | Voted | For | |
| Symantec Corporation | SYMC | 871503108 | 10/5/2017 | 7 | Elect Robert S. Miller | Mgmt | Voted | For | |

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|----------------------|------|-----------|------------|----|--|----------|-------|----|
| Symantec Corporation | SYMC | 871503108 | 10/5/2017 | 8 | Elect Anita Sands | Mgmt | Voted | Fo |
| Symantec Corporation | SYMC | 871503108 | 10/5/2017 | 9 | Elect Daniel H. Schulman | Mgmt | Voted | Fo |
| Symantec Corporation | SYMC | 871503108 | 10/5/2017 | 10 | Elect V. Paul Unruh | Mgmt | Voted | Fo |
| Symantec Corporation | SYMC | 871503108 | 10/5/2017 | 11 | Elect Suzanne Vautrinot | Mgmt | Voted | Fo |
| Symantec Corporation | SYMC | 871503108 | 10/5/2017 | 12 | Ratification of Auditor | Mgmt | Voted | Fo |
| Symantec Corporation | SYMC | 871503108 | 10/5/2017 | 13 | Amendment to the 2013 Equity Incentive Plan | Mgmt | Voted | Fo |
| Symantec Corporation | SYMC | 871503108 | 10/5/2017 | 14 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Symantec Corporation | SYMC | 871503108 | 10/5/2017 | 15 | Frequency of Advisory Vote on Executive Compensation | Mgmt | Voted | 1 |
| Symantec Corporation | SYMC | 871503108 | 10/5/2017 | 16 | Shareholder Proposal Regarding Voting Disclosure | ShrHoldr | Voted | Ap |
| Symantec Corporation | SYMC | 871503108 | 10/5/2017 | 17 | Shareholder Proposal Regarding Retention of Shares Until Normal Retirement Age | ShrHoldr | Voted | Ap |
| Sysco Corporation | SY Y | 871829107 | 11/17/2017 | 1 | Elect Daniel J. Brutto | Mgmt | Voted | Fo |
| Sysco Corporation | SY Y | 871829107 | 11/17/2017 | 2 | Elect John M. Cassaday | Mgmt | Voted | Fo |
| Sysco Corporation | SY Y | 871829107 | 11/17/2017 | 3 | Elect William J. DeLaney | Mgmt | Voted | Fo |
| Sysco Corporation | SY Y | 871829107 | 11/17/2017 | 4 | Elect Joshua D. Frank | Mgmt | Voted | Fo |
| Sysco Corporation | SY Y | 871829107 | 11/17/2017 | 5 | Elect Larry C. Glasscock | Mgmt | Voted | Fo |
| Sysco Corporation | SY Y | 871829107 | 11/17/2017 | 6 | Elect Bradley M. Halverson | Mgmt | Voted | Fo |
| Sysco Corporation | SY Y | 871829107 | 11/17/2017 | 7 | Elect Hans-Joachim Koerber | Mgmt | Voted | Fo |
| Sysco Corporation | SY Y | 871829107 | 11/17/2017 | 8 | Elect Nancy S. Newcomb | Mgmt | Voted | Fo |
| Sysco Corporation | SY Y | 871829107 | 11/17/2017 | 9 | Elect Nelson Peltz | Mgmt | Voted | Fo |

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|---------------------------------|------|-----------|------------|-----|---|----------|-------|----|
| Sysco Corporation | SY Y | 871829107 | 11/17/2017 | 10 | Elect Edward D. Shirley | Mgmt | Voted | Fo |
| Sysco Corporation | SY Y | 871829107 | 11/17/2017 | 11 | Elect Sheila G. Talton | Mgmt | Voted | Fo |
| Sysco Corporation | SY Y | 871829107 | 11/17/2017 | 12 | Elect Richard G. Tilghman | Mgmt | Voted | Fo |
| Sysco Corporation | SY Y | 871829107 | 11/17/2017 | 13 | Elect Jackie M. Ward | Mgmt | Voted | Fo |
| Sysco Corporation | SY Y | 871829107 | 11/17/2017 | 14 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Sysco Corporation | SY Y | 871829107 | 11/17/2017 | 15 | Frequency of Advisory Vote on Executive Compensation | Mgmt | Voted | 1 |
| Sysco Corporation | SY Y | 871829107 | 11/17/2017 | 16 | Ratification of Auditor | Mgmt | Voted | Fo |
| Sysco Corporation | SY Y | 871829107 | 11/17/2017 | 17 | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHoldr | Voted | Ap |
| Templeton Dragon Fund Inc | TDF | 88018T101 | 5/30/2018 | 1.1 | Elect Ann Torre Bates | Mgmt | Voted | Fo |
| Templeton Dragon Fund Inc | TDF | 88018T101 | 5/30/2018 | 1.2 | Elect David W. Niemiec | Mgmt | Voted | Fo |
| Templeton Dragon Fund Inc | TDF | 88018T101 | 5/30/2018 | 1.3 | Elect Robert E. Wade | Mgmt | Voted | Fo |
| Templeton Dragon Fund Inc | TDF | 88018T101 | 5/30/2018 | 2 | Amendment to Investment Objective Regarding Commodities | Mgmt | Voted | Fo |
| Templeton Dragon Fund Inc | TDF | 88018T101 | 5/30/2018 | 3 | Ratification of Auditor | Mgmt | Voted | Fo |
| Templeton Emerging Markets Fund | EMF | 880191101 | 3/29/2018 | 1.1 | Elect Ann Torre Bates | Mgmt | Voted | Fo |
| Templeton Emerging Markets Fund | EMF | 880191101 | 3/29/2018 | 1.2 | Elect David W. Niemiec | Mgmt | Voted | Fo |
| Templeton Emerging Markets Fund | EMF | 880191101 | 3/29/2018 | 1.3 | Elect Larry D. Thompson | Mgmt | Voted | Fo |
| Templeton Emerging Markets Fund | EMF | 880191101 | 3/29/2018 | 1.4 | Elect Robert E. Wade | Mgmt | Voted | Fo |

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|---------------------------------|-----|-----------|-----------|----|---|------|-------|----|
| Templeton Emerging Markets Fund | EMF | 880191101 | 3/29/2018 | 2 | Amendment to Fundamental Investment Restriction Regarding Commodities | Mgmt | Voted | FO |
| Templeton Emerging Markets Fund | EMF | 880191101 | 3/29/2018 | 3 | Amendment to Fundamental Investment Restriction Regarding Lending | Mgmt | Voted | FO |
| Templeton Emerging Markets Fund | EMF | 880191101 | 3/29/2018 | 4 | Approval to Change the Fund from a Diversified Fund to a Non-Diversified Fund | Mgmt | Voted | FO |
| Templeton Emerging Markets Fund | EMF | 880191101 | 3/29/2018 | 5 | Ratification of Auditor | Mgmt | Voted | FO |
| Texas Instruments Incorporated | TXN | 882508104 | 4/26/2018 | 1 | Elect Ralph W. Babb, Jr. | Mgmt | Voted | FO |
| Texas Instruments Incorporated | TXN | 882508104 | 4/26/2018 | 2 | Elect Mark A. Blinn | Mgmt | Voted | FO |
| Texas Instruments Incorporated | TXN | 882508104 | 4/26/2018 | 3 | Elect Todd M. Bluedorn | Mgmt | Voted | FO |
| Texas Instruments Incorporated | TXN | 882508104 | 4/26/2018 | 4 | Elect Daniel A. Carp | Mgmt | Voted | FO |
| Texas Instruments Incorporated | TXN | 882508104 | 4/26/2018 | 5 | Elect Janet F. Clark | Mgmt | Voted | FO |
| Texas Instruments Incorporated | TXN | 882508104 | 4/26/2018 | 6 | Elect Carrie S. Cox | Mgmt | Voted | FO |
| Texas Instruments Incorporated | TXN | 882508104 | 4/26/2018 | 7 | Elect Brian T. Crutcher | Mgmt | Voted | FO |
| Texas Instruments Incorporated | TXN | 882508104 | 4/26/2018 | 8 | Elect J.M. Hobby | Mgmt | Voted | FO |
| Texas Instruments Incorporated | TXN | 882508104 | 4/26/2018 | 9 | Elect Ronald Kirk | Mgmt | Voted | FO |
| Texas Instruments Incorporated | TXN | 882508104 | 4/26/2018 | 10 | Elect Pamela H. Patsley | Mgmt | Voted | FO |
| Texas Instruments Incorporated | TXN | 882508104 | 4/26/2018 | 11 | Elect Robert E. Sanchez | Mgmt | Voted | FO |
| Texas Instruments Incorporated | TXN | 882508104 | 4/26/2018 | 12 | Elect Richard K. Templeton | Mgmt | Voted | FO |
| Texas Instruments Incorporated | TXN | 882508104 | 4/26/2018 | 13 | Advisory Vote on Executive | Mgmt | Voted | FO |

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| | | | | | Compensation | | | | |
|--------------------------------|-----|-----------|------------|-----|---|------|-------|-----|--|
| Texas Instruments Incorporated | TXN | 882508104 | 4/26/2018 | 14 | Approval of the 2018 Director Compensation Plan | Mgmt | Voted | For | |
| Texas Instruments Incorporated | TXN | 882508104 | 4/26/2018 | 15 | Ratification of Auditor | Mgmt | Voted | For | |
| Thai Fund Inc | TTF | 882904105 | 8/3/2017 | 1.1 | Elect Kathleen A. Dennis | Mgmt | Voted | For | |
| Thai Fund Inc | TTF | 882904105 | 8/3/2017 | 1.2 | Elect Joseph J. Kearns | Mgmt | Voted | For | |
| Thai Fund Inc | TTF | 882904105 | 8/3/2017 | 1.3 | Elect Michael E. Nugent | Mgmt | Voted | For | |
| Thai Fund Inc | TTF | 882904105 | 8/3/2017 | 1.4 | Elect Fergus Reid | Mgmt | Voted | For | |
| Thai Fund Inc | TTF | 882904105 | 8/3/2017 | 1.5 | Elect Patricia Maleski | Mgmt | Voted | For | |
| Thai Fund Inc | TTF | 882904105 | 12/14/2017 | 1 | Liquidation of the Fund | Mgmt | Voted | For | |
| The Allstate Corporation | ALL | 020002101 | 5/11/2018 | 1 | Elect Kermit R. Crawford | Mgmt | Voted | For | |
| The Allstate Corporation | ALL | 020002101 | 5/11/2018 | 2 | Elect Michael L. Eskew | Mgmt | Voted | For | |
| The Allstate Corporation | ALL | 020002101 | 5/11/2018 | 3 | Elect Margaret M. Keane | Mgmt | Voted | For | |
| The Allstate Corporation | ALL | 020002101 | 5/11/2018 | 4 | Elect Siddharth N. Mehta | Mgmt | Voted | For | |
| The Allstate Corporation | ALL | 020002101 | 5/11/2018 | 5 | Elect Jacques P. Perold | Mgmt | Voted | For | |
| The Allstate Corporation | ALL | 020002101 | 5/11/2018 | 6 | Elect Andrea Redmond | Mgmt | Voted | For | |
| The Allstate Corporation | ALL | 020002101 | 5/11/2018 | 7 | Elect Gregg M. Sherrill | Mgmt | Voted | For | |
| The Allstate Corporation | ALL | 020002101 | 5/11/2018 | 8 | Elect Judith A. Sprieser | Mgmt | Voted | For | |
| | ALL | 020002101 | 5/11/2018 | 9 | Elect Perry M. Traquina | Mgmt | Voted | For | |

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|--------------------------|-----|-----------|-----------|----|--|----------|-------|----|--|
| The Allstate Corporation | | | | | | | | | |
| The Allstate Corporation | ALL | 020002101 | 5/11/2018 | 10 | Elect Thomas J. Wilson | Mgmt | Voted | Fo | |
| The Allstate Corporation | ALL | 020002101 | 5/11/2018 | 11 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo | |
| The Allstate Corporation | ALL | 020002101 | 5/11/2018 | 12 | Ratification of Auditor | Mgmt | Voted | Fo | |
| The Allstate Corporation | ALL | 020002101 | 5/11/2018 | 13 | Shareholder Proposal Regarding Independent Board Chair | ShrHoldr | Voted | Ap | |
| The Allstate Corporation | ALL | 020002101 | 5/11/2018 | 14 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHoldr | Voted | Ap | |
| The Boeing Company | BA | 097023105 | 4/30/2018 | 1 | Elect Robert A. Bradway | Mgmt | Voted | Fo | |
| The Boeing Company | BA | 097023105 | 4/30/2018 | 2 | Elect David L. Calhoun | Mgmt | Voted | Fo | |
| The Boeing Company | BA | 097023105 | 4/30/2018 | 3 | Elect Arthur D. Collins, Jr. | Mgmt | Voted | Fo | |
| The Boeing Company | BA | 097023105 | 4/30/2018 | 4 | Elect Kenneth M. Duberstein | Mgmt | Voted | Fo | |
| The Boeing Company | BA | 097023105 | 4/30/2018 | 5 | Elect Edmund P. Giambastiani, Jr. | Mgmt | Voted | Fo | |
| The Boeing Company | BA | 097023105 | 4/30/2018 | 6 | Elect Lynn J. Good | Mgmt | Voted | Fo | |
| The Boeing Company | BA | 097023105 | 4/30/2018 | 7 | Elect Lawrence W. Kellner | Mgmt | Voted | Fo | |
| The Boeing Company | BA | 097023105 | 4/30/2018 | 8 | Elect Caroline B. Kennedy | Mgmt | Voted | Fo | |
| The Boeing Company | BA | 097023105 | 4/30/2018 | 9 | Elect Edward M. Liddy | Mgmt | Voted | Fo | |
| The Boeing Company | BA | 097023105 | 4/30/2018 | 10 | Elect Dennis A. Muilenburg | Mgmt | Voted | Fo | |
| The Boeing Company | BA | 097023105 | 4/30/2018 | 11 | Elect Susan C. Schwab | Mgmt | Voted | Fo | |
| The Boeing Company | BA | 097023105 | 4/30/2018 | 12 | Elect Ronald A. Williams | Mgmt | Voted | Fo | |
| The Boeing Company | BA | 097023105 | 4/30/2018 | 13 | Elect Mike S. Zafirovski | Mgmt | Voted | Fo | |
| The Boeing Company | BA | 097023105 | 4/30/2018 | 14 | | Mgmt | Voted | Fo | |

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| | | | | | | Advisory Vote on Executive Compensation | | | |
|---|-----|-----------|------------|-----|--|--|----------|-------|----|
| The Boeing Company | BA | 097023105 | 4/30/2018 | 15 | | Ratification of Auditor | Mgmt | Voted | Fo |
| The Boeing Company | BA | 097023105 | 4/30/2018 | 16 | | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Voted | Ap |
| The Boeing Company | BA | 097023105 | 4/30/2018 | 17 | | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHoldr | Voted | Ap |
| The Boeing Company | BA | 097023105 | 4/30/2018 | 18 | | Shareholder Proposal Regarding Independent Board Chair | ShrHoldr | Voted | Ap |
| The Boeing Company | BA | 097023105 | 4/30/2018 | 19 | | Shareholder Proposal Regarding Board Size | ShrHoldr | Voted | Ap |
| The Central and Eastern European Fund, Inc. | CEE | 153436100 | 6/22/2018 | 1.1 | | Elect Wolfgang Leoni | Mgmt | Voted | Fo |
| The Central and Eastern European Fund, Inc. | CEE | 153436100 | 6/22/2018 | 1.2 | | Elect Christian H. Strenger | Mgmt | Voted | Fo |
| The Central and Eastern European Fund, Inc. | CEE | 153436100 | 6/22/2018 | 2 | | Ratification of Auditor | Mgmt | Voted | Fo |
| The Clorox Company | CLX | 189054109 | 11/15/2017 | 1 | | Elect Amy L. Banse | Mgmt | Voted | Fo |
| The Clorox Company | CLX | 189054109 | 11/15/2017 | 2 | | Elect Richard Carmona | Mgmt | Voted | Fo |
| The Clorox Company | CLX | 189054109 | 11/15/2017 | 3 | | Elect Benno Dorer | Mgmt | Voted | Fo |
| The Clorox Company | CLX | 189054109 | 11/15/2017 | 4 | | Elect Spencer C. Fleischer | Mgmt | Voted | Fo |
| The Clorox Company | CLX | 189054109 | 11/15/2017 | 5 | | Elect Esther Lee | Mgmt | Voted | Fo |
| The Clorox Company | CLX | 189054109 | 11/15/2017 | 6 | | Elect A.D. David Mackay | Mgmt | Voted | Fo |
| The Clorox Company | CLX | 189054109 | 11/15/2017 | 7 | | Elect Robert W. Matschullat | Mgmt | Voted | Fo |
| The Clorox Company | CLX | 189054109 | 11/15/2017 | 8 | | Elect Jeffrey Noddle | Mgmt | Voted | Fo |
| The Clorox Company | CLX | 189054109 | 11/15/2017 | 9 | | Elect Pamela Thomas-Graham | Mgmt | Voted | Fo |

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| | | | | | | | | |
|--------------------------------|-----|-----------|------------|-----|---|----------|-------|----|
| The Clorox Company | CLX | 189054109 | 11/15/2017 | 10 | Elect Carolyn M. Ticknor | Mgmt | Voted | Fo |
| The Clorox Company | CLX | 189054109 | 11/15/2017 | 11 | Elect Russell Weiner | Mgmt | Voted | Fo |
| The Clorox Company | CLX | 189054109 | 11/15/2017 | 12 | Elect Christopher Williams | Mgmt | Voted | Fo |
| The Clorox Company | CLX | 189054109 | 11/15/2017 | 13 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| The Clorox Company | CLX | 189054109 | 11/15/2017 | 14 | Frequency of Advisory Vote on Executive Compensation | Mgmt | Voted | 1 |
| The Clorox Company | CLX | 189054109 | 11/15/2017 | 15 | Ratification of Auditor | Mgmt | Voted | Fo |
| The Clorox Company | CLX | 189054109 | 11/15/2017 | 16 | Re-approval of the 2005 Stock Incentive Plan | Mgmt | Voted | Fo |
| The Clorox Company | CLX | 189054109 | 11/15/2017 | 17 | Director Equity Award Policy | Mgmt | Voted | Fo |
| The Clorox Company | CLX | 189054109 | 11/15/2017 | 18 | Shareholder Proposal Regarding Amendments to Proxy Access Bylaw | ShrHoldr | Voted | Ap |
| The European Equity Fund, Inc. | EEA | 298768102 | 6/22/2018 | 1.1 | Elect Wilhelm Bender | Mgmt | Voted | Fo |
| The European Equity Fund, Inc. | EEA | 298768102 | 6/22/2018 | 1.2 | Elect Walter C. Dostmann | Mgmt | Voted | Fo |
| The European Equity Fund, Inc. | EEA | 298768102 | 6/22/2018 | 2 | Ratification of Auditor | Mgmt | Voted | Fo |
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 5/2/2018 | 1 | Elect Lloyd C. Blankfein | Mgmt | Voted | Fo |
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 5/2/2018 | 2 | Elect M. Michele Burns | Mgmt | Voted | Fo |
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 5/2/2018 | 3 | Elect Mark Flaherty | Mgmt | Voted | Fo |
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 5/2/2018 | 4 | Elect William W. George | Mgmt | Voted | Fo |
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 5/2/2018 | 5 | Elect James A. Johnson | Mgmt | Voted | Fo |
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 5/2/2018 | 6 | Elect Ellen J. Kullman | Mgmt | Voted | Fo |

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|-------------------------------|----|-----------|-----------|----|--|----------|-------|----|
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 5/2/2018 | 7 | Elect Lakshmi N. Mittal | Mgmt | Voted | FO |
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 5/2/2018 | 8 | Elect Adebayo O. Ogunlesi | Mgmt | Voted | FO |
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 5/2/2018 | 9 | Elect Peter Oppenheimer | Mgmt | Voted | FO |
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 5/2/2018 | 10 | Elect David A. Viniar | Mgmt | Voted | FO |
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 5/2/2018 | 11 | Elect Mark O. Winkelman | Mgmt | Voted | FO |
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 5/2/2018 | 12 | Advisory Vote on Executive Compensation | Mgmt | Voted | FO |
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 5/2/2018 | 13 | Amendment to the Stock Incentive Plan | Mgmt | Voted | FO |
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 5/2/2018 | 14 | Ratification of Auditor | Mgmt | Voted | FO |
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 5/2/2018 | 15 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Voted | AP |
| The Goldman Sachs Group, Inc. | GS | 38141G104 | 5/2/2018 | 16 | Shareholder Proposal Regarding Amendment to Proxy Access Bylaw | ShrHoldr | Voted | AP |
| The Home Depot, Inc. | HD | 437076102 | 5/17/2018 | 1 | Elect Gerard J. Arpey | Mgmt | Voted | FO |
| The Home Depot, Inc. | HD | 437076102 | 5/17/2018 | 2 | Elect Ari Bousbib | Mgmt | Voted | FO |
| The Home Depot, Inc. | HD | 437076102 | 5/17/2018 | 3 | Elect Jeffery H. Boyd | Mgmt | Voted | FO |
| The Home Depot, Inc. | HD | 437076102 | 5/17/2018 | 4 | Elect Gregory D. Brenneman | Mgmt | Voted | FO |
| The Home Depot, Inc. | HD | 437076102 | 5/17/2018 | 5 | Elect J. Frank Brown | Mgmt | Voted | FO |
| The Home Depot, Inc. | HD | 437076102 | 5/17/2018 | 6 | Elect Albert P. Carey | Mgmt | Voted | FO |
| The Home Depot, Inc. | HD | 437076102 | 5/17/2018 | 7 | Elect Armando Codina | Mgmt | Voted | FO |
| The Home Depot, Inc. | HD | 437076102 | 5/17/2018 | 8 | Elect Helena B. Foulkes | Mgmt | Voted | FO |
| The Home Depot, Inc. | HD | 437076102 | 5/17/2018 | 9 | Elect Linda R. Gooden | Mgmt | Voted | FO |

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|-------------------------|-----|-----------|-----------|----|--|----------|-------|---------|--|
| The Home Depot, Inc. | | | | | | | | | |
| The Home Depot, Inc. | HD | 437076102 | 5/17/2018 | 10 | Elect Wayne M. Hewett | Mgmt | Voted | For | |
| The Home Depot, Inc. | HD | 437076102 | 5/17/2018 | 11 | Elect Stephanie C. Linnartz | Mgmt | Voted | For | |
| The Home Depot, Inc. | HD | 437076102 | 5/17/2018 | 12 | Elect Craig A. Menear | Mgmt | Voted | For | |
| The Home Depot, Inc. | HD | 437076102 | 5/17/2018 | 13 | Elect Mark Vadon | Mgmt | Voted | For | |
| The Home Depot, Inc. | HD | 437076102 | 5/17/2018 | 14 | Ratification of Auditor | Mgmt | Voted | For | |
| The Home Depot, Inc. | HD | 437076102 | 5/17/2018 | 15 | Advisory Vote on Executive Compensation | Mgmt | Voted | For | |
| The Home Depot, Inc. | HD | 437076102 | 5/17/2018 | 16 | Shareholder Proposal Regarding Political Contributions and Expenditures Report | ShrHoldr | Voted | Against | |
| The Home Depot, Inc. | HD | 437076102 | 5/17/2018 | 17 | Shareholder Proposal Regarding Employment Diversity Report | ShrHoldr | Voted | Against | |
| The Home Depot, Inc. | HD | 437076102 | 5/17/2018 | 18 | Shareholder Proposal Regarding Right to Call Special Meetings | ShrHoldr | Voted | Against | |
| The Home Depot, Inc. | HD | 437076102 | 5/17/2018 | 19 | Shareholder Proposal Regarding Amendment to Clawback Policy | ShrHoldr | Voted | Against | |
| The India Fund, Inc. | IFN | 454089103 | 6/20/2018 | 1 | Elect Nisha Kumar | Mgmt | Voted | For | |
| The India Fund, Inc. | IFN | 454089103 | 6/20/2018 | 2 | Elect Martin J Gilbert | Mgmt | Voted | For | |
| The India Fund, Inc. | IFN | 454089103 | 6/20/2018 | 3 | Elect Jeswald W. Salacuse | Mgmt | Voted | For | |
| The Kraft Heinz Company | KHC | 500754106 | 4/23/2018 | 1 | Elect Gregory E. Abel | Mgmt | Voted | For | |

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|--|-----|-----------|-----------|-----|---|----------|-------|----|
| The Kraft Heinz Company | KHC | 500754106 | 4/23/2018 | 2 | Elect Alexandre Behring | Mgmt | Voted | Fo |
| The Kraft Heinz Company | KHC | 500754106 | 4/23/2018 | 3 | Elect John T. Cahill | Mgmt | Voted | Fo |
| The Kraft Heinz Company | KHC | 500754106 | 4/23/2018 | 4 | Elect Tracy Britt Cool | Mgmt | Voted | Fo |
| The Kraft Heinz Company | KHC | 500754106 | 4/23/2018 | 5 | Elect Feroz Dewan | Mgmt | Voted | Fo |
| The Kraft Heinz Company | KHC | 500754106 | 4/23/2018 | 6 | Elect Jeanne P. Jackson | Mgmt | Voted | Fo |
| The Kraft Heinz Company | KHC | 500754106 | 4/23/2018 | 7 | Elect Jorge Paulo Lemann | Mgmt | Voted | Fo |
| The Kraft Heinz Company | KHC | 500754106 | 4/23/2018 | 8 | Elect John C. Pope | Mgmt | Voted | Fo |
| The Kraft Heinz Company | KHC | 500754106 | 4/23/2018 | 9 | Elect Marcel Herrmann Telles | Mgmt | Voted | Fo |
| The Kraft Heinz Company | KHC | 500754106 | 4/23/2018 | 10 | Elect Alexandre Van Damme | Mgmt | Voted | Fo |
| The Kraft Heinz Company | KHC | 500754106 | 4/23/2018 | 11 | Elect George El Zoghbi | Mgmt | Voted | Fo |
| The Kraft Heinz Company | KHC | 500754106 | 4/23/2018 | 12 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| The Kraft Heinz Company | KHC | 500754106 | 4/23/2018 | 13 | Ratification of Auditor | Mgmt | Voted | Fo |
| The Kraft Heinz Company | KHC | 500754106 | 4/23/2018 | 14 | Shareholder Proposal Regarding Reporting on the Use of Non-Recyclable Packaging | ShrHoldr | Voted | Ap |
| The New Germany Fund, Inc. | GF | 644465106 | 6/22/2018 | 1.1 | Elect Richard R. Burt | Mgmt | Voted | Fo |
| The New Germany Fund, Inc. | GF | 644465106 | 6/22/2018 | 1.2 | Elect Wolfgang Leoni | Mgmt | Voted | Fo |
| The New Germany Fund, Inc. | GF | 644465106 | 6/22/2018 | 2 | Ratification of Auditor | Mgmt | Voted | Fo |
| The PNC Financial Services Group, Inc. | PNC | 693475105 | 4/24/2018 | 1 | Elect Charles E. Bunch | Mgmt | Voted | Fo |
| The PNC Financial Services Group, Inc. | PNC | 693475105 | 4/24/2018 | 2 | Elect Debra A. Cafaro | Mgmt | Voted | Fo |

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|--|-----|-----------|------------|-----|---|------|---------|----|
| The PNC Financial Services Group, Inc. | PNC | 693475105 | 4/24/2018 | 3 | Elect Marjorie Rodgers Cheshire | Mgmt | Voted | FO |
| The PNC Financial Services Group, Inc. | PNC | 693475105 | 4/24/2018 | 4 | Elect William S. Demchak | Mgmt | Voted | FO |
| The PNC Financial Services Group, Inc. | PNC | 693475105 | 4/24/2018 | 5 | Elect Andrew T. Feldstein | Mgmt | Voted | FO |
| The PNC Financial Services Group, Inc. | PNC | 693475105 | 4/24/2018 | 6 | Elect Daniel R. Hesse | Mgmt | Voted | FO |
| The PNC Financial Services Group, Inc. | PNC | 693475105 | 4/24/2018 | 7 | Elect Richard B. Kelson | Mgmt | Voted | FO |
| The PNC Financial Services Group, Inc. | PNC | 693475105 | 4/24/2018 | 8 | Elect Linda R. Medler | Mgmt | Voted | FO |
| The PNC Financial Services Group, Inc. | PNC | 693475105 | 4/24/2018 | 9 | Elect Martin Pfinsgraff | Mgmt | Voted | FO |
| The PNC Financial Services Group, Inc. | PNC | 693475105 | 4/24/2018 | 10 | Elect Donald J. Shepard | Mgmt | Voted | FO |
| The PNC Financial Services Group, Inc. | PNC | 693475105 | 4/24/2018 | 11 | Elect Michael J. Ward | Mgmt | Voted | FO |
| The PNC Financial Services Group, Inc. | PNC | 693475105 | 4/24/2018 | 12 | Elect Gregory D. Wasson | Mgmt | Voted | FO |
| The PNC Financial Services Group, Inc. | PNC | 693475105 | 4/24/2018 | 13 | Ratification of Auditor | Mgmt | Voted | FO |
| The PNC Financial Services Group, Inc. | PNC | 693475105 | 4/24/2018 | 14 | Advisory Vote on Executive Compensation | Mgmt | Voted | FO |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 1.1 | Elect Francis S. Blake | Mgmt | Unvoted | FO |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 1.2 | Elect Angela F. Braly | Mgmt | Unvoted | FO |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 1.3 | Elect Amy Chang | Mgmt | Unvoted | FO |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 1.4 | Elect Kenneth I. Chenault | Mgmt | Unvoted | FO |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 1.5 | Elect Scott D. Cook | Mgmt | Unvoted | FO |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 1.6 | Elect Terry J. Lundgren | Mgmt | Unvoted | FO |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 1.7 | Elect W. James McNerney, Jr. | Mgmt | Unvoted | FO |

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|------------------------------|----|-----------|------------|------|---|----------|---------|----|
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 1.8 | Elect David. S. Taylor | Mgmt | Unvoted | FO |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 1.9 | Elect Margaret C. Whitman | Mgmt | Unvoted | FO |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 1.10 | Elect Patricia A. Woertz | Mgmt | Unvoted | FO |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 1.11 | Elect Ernesto Zedillo | Mgmt | Unvoted | FO |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 2 | Ratification of Auditor | Mgmt | Unvoted | FO |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 3 | Advisory Vote on Executive Compensation | Mgmt | Unvoted | FO |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 4 | Frequency of Advisory Vote on Executive Compensation | Mgmt | Unvoted | 1 |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 5 | Shareholder Proposal Regarding Holy Land Principles | ShrHoldr | Unvoted | AP |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 6 | Shareholder Proposal Regarding Report on Risks Of LGBT-Focused State Regulations | ShrHoldr | Unvoted | AP |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 7 | Shareholder Proposal Regarding Report on Conducting Business in Conflict-Affected Areas | ShrHoldr | Unvoted | AP |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 8 | Shareholder Proposal Regarding Repeal of Bylaw Amendments | ShrHoldr | Unvoted | AP |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 1.1 | Elect Nelson Peltz | Mgmt | Voted | NA |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 1.2 | Elect Mgmt. Nominee Francis S. Blake | Mgmt | Voted | NA |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 1.3 | Elect Mgmt. Nominee Angela F. Braly | Mgmt | Voted | NA |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 1.4 | Elect Mgmt. Nominee Amy L. Chang | Mgmt | Voted | NA |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 1.5 | Elect Mgmt. Nominee Kenneth I. Chenault | Mgmt | Voted | NA |

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|-------------------------------|-----|-----------|------------|------|--|----------|---------|----|
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 1.6 | Elect Mgmt. Nominee Scott D. Cook | Mgmt | Voted | NA |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 1.7 | Elect Mgmt. Nominee Terry J. Lundgren | Mgmt | Voted | NA |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 1.8 | Elect Mgmt. Nominee W. James McNerney, Jr. | Mgmt | Voted | NA |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 1.9 | Elect Mgmt. Nominee David. S. Taylor | Mgmt | Voted | NA |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 1.10 | Elect Mgmt. Nominee Margaret C. Whitman | Mgmt | Voted | NA |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 1.11 | Elect Mgmt. Nominee Patricia A. Woertz | Mgmt | Voted | NA |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 2 | Ratification of Auditor | Mgmt | Voted | NA |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 3 | Advisory Vote on Executive Compensation | Mgmt | Voted | NA |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 4 | Frequency of Advisory Vote on Executive Compensation | Mgmt | Voted | NA |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 5 | Shareholder Proposal Regarding Holy Land Principles | ShrHoldr | Voted | NA |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 6 | Shareholder Proposal Regarding Report on Risks Of LGBT-Focused State Regulations | ShrHoldr | Voted | NA |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 7 | Shareholder Proposal Regarding Report on Conducting Business in Conflict-Affected Areas | ShrHoldr | Voted | NA |
| The Procter & Gamble Company | PG | 742718109 | 10/10/2017 | 8 | Shareholder Proposal Regarding Repeal of Bylaw Amendments | ShrHoldr | Voted | NA |
| The Swiss Helvetia Fund, Inc. | SWZ | 870875101 | 6/19/2018 | 1.1 | Elect Phillip Goldstein | ShrHoldr | Unvoted | NA |
| The Swiss Helvetia Fund, Inc. | SWZ | 870875101 | 6/19/2018 | 1.2 | Elect Gerald Hellerman | ShrHoldr | Unvoted | NA |
| The Swiss Helvetia | SWZ | 870875101 | 6/19/2018 | 2 | Ratification of Auditor | Mgmt | Unvoted | NA |

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Fund, Inc.

| | | | | | | | | |
|-------------------------------|-----|-----------|-----------|-----|---|----------|---------|----|
| The Swiss Helvetia Fund, Inc. | SWZ | 870875101 | 6/19/2018 | 3 | Shareholder Proposal Regarding Right to Call Special Meetings | ShrHoldr | Unvoted | NA |
| The Swiss Helvetia Fund, Inc. | SWZ | 870875101 | 6/19/2018 | 4 | Shareholder Proposal Regarding Self-Tender Offer | ShrHoldr | Unvoted | NA |
| The Swiss Helvetia Fund, Inc. | SWZ | 870875101 | 6/19/2018 | 1.1 | Elect Brian A. Berris | Mgmt | Voted | FO |
| The Swiss Helvetia Fund, Inc. | SWZ | 870875101 | 6/19/2018 | 1.2 | Elect Jean Hoysradt | Mgmt | Voted | FO |
| The Swiss Helvetia Fund, Inc. | SWZ | 870875101 | 6/19/2018 | 2 | Ratification of Auditor | Mgmt | Voted | FO |
| The Swiss Helvetia Fund, Inc. | SWZ | 870875101 | 6/19/2018 | 3 | Shareholder Proposal Regarding Right to Call Special Meetings | ShrHoldr | Voted | AP |
| The Swiss Helvetia Fund, Inc. | SWZ | 870875101 | 6/19/2018 | 4 | Shareholder Proposal Regarding Self-Tender Offer | ShrHoldr | Voted | AP |
| The TJX Companies, Inc. | TJX | 872540109 | 6/5/2018 | 1 | Elect Zein Abdalla | Mgmt | Voted | FO |
| The TJX Companies, Inc. | TJX | 872540109 | 6/5/2018 | 2 | Elect Alan M. Bennett | Mgmt | Voted | FO |
| The TJX Companies, Inc. | TJX | 872540109 | 6/5/2018 | 3 | Elect David T. Ching | Mgmt | Voted | FO |
| The TJX Companies, Inc. | TJX | 872540109 | 6/5/2018 | 4 | Elect Ernie Herrman | Mgmt | Voted | FO |
| The TJX Companies, Inc. | TJX | 872540109 | 6/5/2018 | 5 | Elect Michael F. Hines | Mgmt | Voted | FO |
| The TJX Companies, Inc. | TJX | 872540109 | 6/5/2018 | 6 | Elect Amy B. Lane | Mgmt | Voted | FO |
| The TJX Companies, Inc. | TJX | 872540109 | 6/5/2018 | 7 | Elect Carol Meyrowitz | Mgmt | Voted | FO |
| The TJX Companies, Inc. | TJX | 872540109 | 6/5/2018 | 8 | Elect Jackwyn L. Nemerov | Mgmt | Voted | FO |
| The TJX Companies, Inc. | TJX | 872540109 | 6/5/2018 | 9 | Elect John F. O'Brien | Mgmt | Voted | FO |
| The TJX Companies, Inc. | TJX | 872540109 | 6/5/2018 | 10 | Elect Willow B. Shire | Mgmt | Voted | FO |
| | TJX | 872540109 | 6/5/2018 | 11 | Ratification of Auditor | Mgmt | Voted | FO |

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The TJX Companies,
Inc.

| | | | | | | | | |
|----------------------------------|-----|-----------|-----------|----|--|----------|-------|----|
| The TJX Companies, Inc. | TJX | 872540109 | 6/5/2018 | 12 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| The TJX Companies, Inc. | TJX | 872540109 | 6/5/2018 | 13 | Shareholder Proposal Regarding Gender and Ethnicity Pay Equity Report | ShrHoldr | Voted | Ap |
| The TJX Companies, Inc. | TJX | 872540109 | 6/5/2018 | 14 | Shareholder Proposal Regarding Amendment to Clawback Policy | ShrHoldr | Voted | Ap |
| The TJX Companies, Inc. | TJX | 872540109 | 6/5/2018 | 15 | Shareholder Proposal Regarding Prison Labor Report | ShrHoldr | Voted | Ap |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/23/2018 | 1 | Elect Marc N. Casper | Mgmt | Voted | Fo |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/23/2018 | 2 | Elect Nelson J. Chai | Mgmt | Voted | Fo |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/23/2018 | 3 | Elect C. Martin Harris | Mgmt | Voted | Fo |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/23/2018 | 4 | Elect Tyler Jacks | Mgmt | Voted | Fo |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/23/2018 | 5 | Elect Judy C. Lewent | Mgmt | Voted | Fo |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/23/2018 | 6 | Elect Thomas J. Lynch | Mgmt | Voted | Fo |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/23/2018 | 7 | Elect Jim P. Manzi | Mgmt | Voted | Fo |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/23/2018 | 8 | Elect Lars Rebien Sorensen | Mgmt | Voted | Fo |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/23/2018 | 9 | Elect Scott M. Sperling | Mgmt | Voted | Fo |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/23/2018 | 10 | Elect Elaine S. Ullian | Mgmt | Voted | Fo |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/23/2018 | 11 | Elect Dion J. Weisler | Mgmt | Voted | Fo |
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/23/2018 | 12 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |

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| | | | | | | | | |
|--------------------------------|-----|-----------|------------|-----|--|------|-------|----|
| Thermo Fisher Scientific Inc. | TMO | 883556102 | 5/23/2018 | 13 | Ratification of Auditor | Mgmt | Voted | FO |
| Tri-Continental Corporation | TY | 895436103 | 4/16/2018 | 1.1 | Elect George S. Batejan | Mgmt | Voted | FO |
| Tri-Continental Corporation | TY | 895436103 | 4/16/2018 | 1.2 | Elect Kathleen Blatz | Mgmt | Voted | FO |
| Tri-Continental Corporation | TY | 895436103 | 4/16/2018 | 1.3 | Elect Edward J. Boudreau, Jr. | Mgmt | Voted | FO |
| Tri-Continental Corporation | TY | 895436103 | 4/16/2018 | 1.4 | Elect Pamela G. Carlton | Mgmt | Voted | FO |
| Tri-Continental Corporation | TY | 895436103 | 4/16/2018 | 2 | Ratification of Auditor | Mgmt | Voted | FO |
| Turkish Investment Fund Inc | TKF | 900145103 | 8/3/2017 | 1.1 | Elect Kathleen A. Dennis | Mgmt | Voted | FO |
| Turkish Investment Fund Inc | TKF | 900145103 | 8/3/2017 | 1.2 | Elect Joseph J. Kearns | Mgmt | Voted | FO |
| Turkish Investment Fund Inc | TKF | 900145103 | 8/3/2017 | 1.3 | Elect Michael E. Nugent | Mgmt | Voted | FO |
| Turkish Investment Fund Inc | TKF | 900145103 | 8/3/2017 | 1.4 | Elect Fergus Reid | Mgmt | Voted | FO |
| Turkish Investment Fund Inc | TKF | 900145103 | 8/3/2017 | 1.5 | Elect Patricia Maleski | Mgmt | Voted | FO |
| Turkish Investment Fund Inc | TKF | 900145103 | 8/3/2017 | 2 | Liquidation of the Turkish Investment Fund | Mgmt | Voted | FO |
| Twenty-First Century Fox, Inc. | FOX | 90130A101 | 11/15/2017 | 1 | Elect K. Rupert Murdoch | Mgmt | Voted | FO |
| Twenty-First Century Fox, Inc. | FOX | 90130A101 | 11/15/2017 | 2 | Elect Lachlan K. Murdoch | Mgmt | Voted | FO |
| Twenty-First Century Fox, Inc. | FOX | 90130A101 | 11/15/2017 | 3 | Elect Delphine Arnault | Mgmt | Voted | FO |

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| | | | | | | | | |
|--------------------------------|-----|-----------|------------|----|--|----------|-------|----|
| Twenty-First Century Fox, Inc. | FOX | 90130A101 | 11/15/2017 | 4 | Elect James W. Breyer | Mgmt | Voted | FO |
| Twenty-First Century Fox, Inc. | FOX | 90130A101 | 11/15/2017 | 5 | Elect Chase Carey | Mgmt | Voted | FO |
| Twenty-First Century Fox, Inc. | FOX | 90130A101 | 11/15/2017 | 6 | Elect David F. DeVoe | Mgmt | Voted | FO |
| Twenty-First Century Fox, Inc. | FOX | 90130A101 | 11/15/2017 | 7 | Elect Viet D. Dinh | Mgmt | Voted | FO |
| Twenty-First Century Fox, Inc. | FOX | 90130A101 | 11/15/2017 | 8 | Elect Roderick Ian Eddington | Mgmt | Voted | FO |
| Twenty-First Century Fox, Inc. | FOX | 90130A101 | 11/15/2017 | 9 | Elect James Murdoch | Mgmt | Voted | FO |
| Twenty-First Century Fox, Inc. | FOX | 90130A101 | 11/15/2017 | 10 | Elect Jacques Nasser | Mgmt | Voted | FO |
| Twenty-First Century Fox, Inc. | FOX | 90130A101 | 11/15/2017 | 11 | Elect Robert S. Silberman | Mgmt | Voted | FO |
| Twenty-First Century Fox, Inc. | FOX | 90130A101 | 11/15/2017 | 12 | Elect Tidjane Thiam | Mgmt | Voted | FO |
| Twenty-First Century Fox, Inc. | FOX | 90130A101 | 11/15/2017 | 13 | Elect Jeffrey W. Ubben | Mgmt | Voted | FO |
| Twenty-First Century Fox, Inc. | FOX | 90130A101 | 11/15/2017 | 14 | Ratification of Auditor | Mgmt | Voted | FO |
| Twenty-First Century Fox, Inc. | FOX | 90130A101 | 11/15/2017 | 15 | Advisory Vote on Executive Compensation | Mgmt | Voted | FO |
| Twenty-First Century Fox, Inc. | FOX | 90130A101 | 11/15/2017 | 16 | Frequency of Advisory Vote on Executive Compensation | Mgmt | Voted | 1 |
| Twenty-First Century Fox, Inc. | FOX | 90130A101 | 11/15/2017 | 17 | Shareholder Proposal Regarding Recapitalization | ShrHoldr | Voted | A |
| Tyson Foods, Inc. | TSN | 902494103 | 2/8/2018 | 1 | Elect John H. Tyson | Mgmt | Voted | FO |
| Tyson Foods, Inc. | TSN | 902494103 | 2/8/2018 | 2 | Elect Gaurdie E. Banister, Jr. | Mgmt | Voted | FO |
| Tyson Foods, Inc. | TSN | 902494103 | 2/8/2018 | 3 | Elect Dean Banks | Mgmt | Voted | FO |
| Tyson Foods, Inc. | TSN | 902494103 | 2/8/2018 | 4 | Elect Mike D. Beebe | Mgmt | Voted | FO |
| Tyson Foods, Inc. | TSN | 902494103 | 2/8/2018 | 5 | Elect Mikel A. Durham | Mgmt | Voted | FO |
| Tyson Foods, Inc. | TSN | 902494103 | 2/8/2018 | 6 | Elect Tom Hayes | Mgmt | Voted | FO |

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| | | | | | | | | |
|-------------------|-----|-----------|-----------|----|---|----------|-------|----|
| Tyson Foods, Inc. | TSN | 902494103 | 2/8/2018 | 7 | Elect Kevin M. McNamara | Mgmt | Voted | Fo |
| Tyson Foods, Inc. | TSN | 902494103 | 2/8/2018 | 8 | Elect Cheryl S. Miller | Mgmt | Voted | Fo |
| Tyson Foods, Inc. | TSN | 902494103 | 2/8/2018 | 9 | Elect Jeffrey K. Schomburger | Mgmt | Voted | Fo |
| Tyson Foods, Inc. | TSN | 902494103 | 2/8/2018 | 10 | Elect Robert Thurber | Mgmt | Voted | Fo |
| Tyson Foods, Inc. | TSN | 902494103 | 2/8/2018 | 11 | Elect Barbara A. Tyson | Mgmt | Voted | Fo |
| Tyson Foods, Inc. | TSN | 902494103 | 2/8/2018 | 12 | Ratification of Auditor | Mgmt | Voted | Fo |
| Tyson Foods, Inc. | TSN | 902494103 | 2/8/2018 | 13 | Amendment to the 2000 Stock Incentive Plan | Mgmt | Voted | Fo |
| Tyson Foods, Inc. | TSN | 902494103 | 2/8/2018 | 14 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Voted | Ap |
| Tyson Foods, Inc. | TSN | 902494103 | 2/8/2018 | 15 | Shareholder Proposal Regarding Water Stewardship Policy | ShrHoldr | Voted | Ap |
| U.S. Bancorp | USB | 902973304 | 4/17/2018 | 1 | Elect Warner L. Baxter | Mgmt | Voted | Fo |
| U.S. Bancorp | USB | 902973304 | 4/17/2018 | 2 | Elect Marc N. Casper | Mgmt | Voted | Fo |
| U.S. Bancorp | USB | 902973304 | 4/17/2018 | 3 | Elect Andrew Cecere | Mgmt | Voted | Fo |
| U.S. Bancorp | USB | 902973304 | 4/17/2018 | 4 | Elect Arthur D. Collins, Jr. | Mgmt | Voted | Fo |
| U.S. Bancorp | USB | 902973304 | 4/17/2018 | 5 | Elect Kimberly J. Harris | Mgmt | Voted | Fo |
| U.S. Bancorp | USB | 902973304 | 4/17/2018 | 6 | Elect Roland Hernandez | Mgmt | Voted | Fo |
| U.S. Bancorp | USB | 902973304 | 4/17/2018 | 7 | Elect Doreen Woo Ho | Mgmt | Voted | Fo |
| U.S. Bancorp | USB | 902973304 | 4/17/2018 | 8 | Elect Olivia F. Kirtley | Mgmt | Voted | Fo |
| U.S. Bancorp | USB | 902973304 | 4/17/2018 | 9 | Elect Karen S. Lynch | Mgmt | Voted | Fo |
| U.S. Bancorp | USB | 902973304 | 4/17/2018 | 10 | Elect Richard P. McKenney | Mgmt | Voted | Fo |
| U.S. Bancorp | USB | 902973304 | 4/17/2018 | 11 | Elect David B. O'Maley | Mgmt | Voted | Fo |
| U.S. Bancorp | USB | 902973304 | 4/17/2018 | 12 | Elect O'dell M. Owens | Mgmt | Voted | Fo |
| U.S. Bancorp | USB | 902973304 | 4/17/2018 | 13 | Elect Craig D. Schnuck | Mgmt | Voted | Fo |
| U.S. Bancorp | USB | 902973304 | 4/17/2018 | 14 | Elect Scott W. Wine | Mgmt | Voted | Fo |
| U.S. Bancorp | USB | 902973304 | 4/17/2018 | 15 | Ratification of Auditor | Mgmt | Voted | Fo |
| U.S. Bancorp | USB | 902973304 | 4/17/2018 | 16 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |

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| | | | | | | | | |
|-----------------------------|-----|-----------|-----------|----|--|----------|-------|----|
| Union Pacific Corporation | UNP | 907818108 | 5/10/2018 | 1 | Elect Andrew H. Card, Jr. | Mgmt | Voted | FO |
| Union Pacific Corporation | UNP | 907818108 | 5/10/2018 | 2 | Elect Erroll B. Davis, Jr. | Mgmt | Voted | FO |
| Union Pacific Corporation | UNP | 907818108 | 5/10/2018 | 3 | Elect David B. Dillon | Mgmt | Voted | FO |
| Union Pacific Corporation | UNP | 907818108 | 5/10/2018 | 4 | Elect Lance M. Fritz | Mgmt | Voted | FO |
| Union Pacific Corporation | UNP | 907818108 | 5/10/2018 | 5 | Elect Deborah C. Hopkins | Mgmt | Voted | FO |
| Union Pacific Corporation | UNP | 907818108 | 5/10/2018 | 6 | Elect Jane H. Lute | Mgmt | Voted | FO |
| Union Pacific Corporation | UNP | 907818108 | 5/10/2018 | 7 | Elect Michael R. McCarthy | Mgmt | Voted | FO |
| Union Pacific Corporation | UNP | 907818108 | 5/10/2018 | 8 | Elect Thomas F. McLarty III | Mgmt | Voted | FO |
| Union Pacific Corporation | UNP | 907818108 | 5/10/2018 | 9 | Elect Bhavesh V. Patel | Mgmt | Voted | FO |
| Union Pacific Corporation | UNP | 907818108 | 5/10/2018 | 10 | Elect Jose H. Villarreal | Mgmt | Voted | FO |
| Union Pacific Corporation | UNP | 907818108 | 5/10/2018 | 11 | Ratification of Auditor | Mgmt | Voted | FO |
| Union Pacific Corporation | UNP | 907818108 | 5/10/2018 | 12 | Advisory Vote on Executive Compensation | Mgmt | Voted | FO |
| Union Pacific Corporation | UNP | 907818108 | 5/10/2018 | 13 | Shareholder Proposal Regarding Independent Board Chair | ShrHoldr | Voted | AP |
| United Parcel Service, Inc. | UPS | 911312106 | 5/10/2018 | 1 | Elect David P. Abney | Mgmt | Voted | FO |
| United Parcel Service, Inc. | UPS | 911312106 | 5/10/2018 | 2 | Elect Rodney C. Adkins | Mgmt | Voted | FO |
| United Parcel Service, Inc. | UPS | 911312106 | 5/10/2018 | 3 | Elect Michael J. Burns | Mgmt | Voted | FO |
| United Parcel Service, Inc. | UPS | 911312106 | 5/10/2018 | 4 | Elect William R. Johnson | Mgmt | Voted | FO |
| United Parcel Service, Inc. | UPS | 911312106 | 5/10/2018 | 5 | Elect Candace Kendle | Mgmt | Voted | FO |
| United Parcel Service, Inc. | UPS | 911312106 | 5/10/2018 | 6 | Elect Ann M. Livermore | Mgmt | Voted | FO |

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| | | | | | | | | |
|---------------------------------|-----|-----------|-----------|----|--|----------|-------|----|
| United Parcel Service, Inc. | UPS | 911312106 | 5/10/2018 | 7 | Elect Rudy H.P. Markham | Mgmt | Voted | Fo |
| United Parcel Service, Inc. | UPS | 911312106 | 5/10/2018 | 8 | Elect Franck J. Moison | Mgmt | Voted | Fo |
| United Parcel Service, Inc. | UPS | 911312106 | 5/10/2018 | 9 | Elect Clark T. Randt, Jr. | Mgmt | Voted | Fo |
| United Parcel Service, Inc. | UPS | 911312106 | 5/10/2018 | 10 | Elect Christiana Shi | Mgmt | Voted | Fo |
| United Parcel Service, Inc. | UPS | 911312106 | 5/10/2018 | 11 | Elect John T. Stankey | Mgmt | Voted | Fo |
| United Parcel Service, Inc. | UPS | 911312106 | 5/10/2018 | 12 | Elect Carol B. Tome | Mgmt | Voted | Fo |
| United Parcel Service, Inc. | UPS | 911312106 | 5/10/2018 | 13 | Elect Kevin M. Warsh | Mgmt | Voted | Fo |
| United Parcel Service, Inc. | UPS | 911312106 | 5/10/2018 | 14 | 2018 Omnibus Incentive Compensation Plan | Mgmt | Voted | Fo |
| United Parcel Service, Inc. | UPS | 911312106 | 5/10/2018 | 15 | Ratification of Auditor | Mgmt | Voted | Fo |
| United Parcel Service, Inc. | UPS | 911312106 | 5/10/2018 | 16 | Shareholder Proposal Regarding Lobbying Report | ShrHoldr | Voted | Ap |
| United Parcel Service, Inc. | UPS | 911312106 | 5/10/2018 | 17 | Shareholder Proposal Regarding Recapitalization | ShrHoldr | Voted | Ap |
| United Parcel Service, Inc. | UPS | 911312106 | 5/10/2018 | 18 | Shareholder Proposal Regarding Linking Executive Pay to Sustainability | ShrHoldr | Voted | Ap |
| United Technologies Corporation | UTX | 913017109 | 4/30/2018 | 1 | Elect Lloyd J Austin | Mgmt | Voted | Fo |
| United Technologies Corporation | UTX | 913017109 | 4/30/2018 | 2 | Elect Diane M. Bryant | Mgmt | Voted | Fo |
| United Technologies Corporation | UTX | 913017109 | 4/30/2018 | 3 | Elect John V. Faraci | Mgmt | Voted | Fo |
| United Technologies Corporation | UTX | 913017109 | 4/30/2018 | 4 | Elect Jean-Pierre Garnier | Mgmt | Voted | Fo |
| United Technologies Corporation | UTX | 913017109 | 4/30/2018 | 5 | Elect Gregory J. Hayes | Mgmt | Voted | Fo |
| | UTX | 913017109 | 4/30/2018 | 6 | Elect Ellen J. Kullman | Mgmt | Voted | Fo |

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|---------------------------------|-----|-----------|-----------|----|---|----------|-------|---------|--|
| United Technologies Corporation | | | | | | | | | |
| United Technologies Corporation | UTX | 913017109 | 4/30/2018 | 7 | Elect Marshall O. Larsen | Mgmt | Voted | For | |
| United Technologies Corporation | UTX | 913017109 | 4/30/2018 | 8 | Elect Harold W. McGraw III | Mgmt | Voted | For | |
| United Technologies Corporation | UTX | 913017109 | 4/30/2018 | 9 | Elect Margaret L. O'Sullivan | Mgmt | Voted | For | |
| United Technologies Corporation | UTX | 913017109 | 4/30/2018 | 10 | Elect Fredric G. Reynolds | Mgmt | Voted | For | |
| United Technologies Corporation | UTX | 913017109 | 4/30/2018 | 11 | Elect Brian C. Rogers | Mgmt | Voted | For | |
| United Technologies Corporation | UTX | 913017109 | 4/30/2018 | 12 | Elect Christine Todd Whitman | Mgmt | Voted | For | |
| United Technologies Corporation | UTX | 913017109 | 4/30/2018 | 13 | Advisory Vote on Executive Compensation | Mgmt | Voted | For | |
| United Technologies Corporation | UTX | 913017109 | 4/30/2018 | 14 | Approval of the 2018 Long-Term Incentive Plan | Mgmt | Voted | For | |
| United Technologies Corporation | UTX | 913017109 | 4/30/2018 | 15 | Ratification of Auditor | Mgmt | Voted | For | |
| United Technologies Corporation | UTX | 913017109 | 4/30/2018 | 16 | Elimination of Supermajority Requirement | Mgmt | Voted | For | |
| United Technologies Corporation | UTX | 913017109 | 4/30/2018 | 17 | Shareholder Proposal Regarding Right to Call Special Meetings | ShrHoldr | Voted | Against | |
| UnitedHealth Group Incorporated | UNH | 91324P102 | 6/4/2018 | 1 | Elect William C. Ballard, Jr. | Mgmt | Voted | For | |
| UnitedHealth Group Incorporated | UNH | 91324P102 | 6/4/2018 | 2 | Elect Richard T. Burke | Mgmt | Voted | For | |
| UnitedHealth Group Incorporated | UNH | 91324P102 | 6/4/2018 | 3 | Elect Timothy P. Flynn | Mgmt | Voted | For | |
| UnitedHealth Group Incorporated | UNH | 91324P102 | 6/4/2018 | 4 | Elect Stephen J. Hemsley | Mgmt | Voted | For | |
| UnitedHealth Group Incorporated | UNH | 91324P102 | 6/4/2018 | 5 | Elect Michele J. Hooper | Mgmt | Voted | For | |
| UnitedHealth Group Incorporated | UNH | 91324P102 | 6/4/2018 | 6 | Elect F. William Mcabb, III | Mgmt | Voted | For | |

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|--|-----|-----------|-----------|-----|---|------|-------|----|
| UnitedHealth Group Incorporated | UNH | 91324P102 | 6/4/2018 | 7 | Elect Valerie C. Montgomery Rice | Mgmt | Voted | Fo |
| UnitedHealth Group Incorporated | UNH | 91324P102 | 6/4/2018 | 8 | Elect Glenn M. Renwick | Mgmt | Voted | Fo |
| UnitedHealth Group Incorporated | UNH | 91324P102 | 6/4/2018 | 9 | Elect Kenneth I. Shine | Mgmt | Voted | Fo |
| UnitedHealth Group Incorporated | UNH | 91324P102 | 6/4/2018 | 10 | Elect David S. Wichmann | Mgmt | Voted | Fo |
| UnitedHealth Group Incorporated | UNH | 91324P102 | 6/4/2018 | 11 | Elect Gail R. Wilensky | Mgmt | Voted | Fo |
| UnitedHealth Group Incorporated | UNH | 91324P102 | 6/4/2018 | 12 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| UnitedHealth Group Incorporated | UNH | 91324P102 | 6/4/2018 | 13 | Ratification of Auditor | Mgmt | Voted | Fo |
| Visa Inc. | V | 92826C839 | 1/30/2018 | 1 | Elect Lloyd A. Carney | Mgmt | Voted | Fo |
| Visa Inc. | V | 92826C839 | 1/30/2018 | 2 | Elect Mary B. Cranston | Mgmt | Voted | Fo |
| Visa Inc. | V | 92826C839 | 1/30/2018 | 3 | Elect Francisco Javier Fernandez-Carbajal | Mgmt | Voted | Fo |
| Visa Inc. | V | 92826C839 | 1/30/2018 | 4 | Elect Gary A. Hoffman | Mgmt | Voted | Fo |
| Visa Inc. | V | 92826C839 | 1/30/2018 | 5 | Elect Alfred F. Kelly, Jr. | Mgmt | Voted | Fo |
| Visa Inc. | V | 92826C839 | 1/30/2018 | 6 | Elect John F. Lundgren | Mgmt | Voted | Fo |
| Visa Inc. | V | 92826C839 | 1/30/2018 | 7 | Elect Robert W. Matschullat | Mgmt | Voted | Fo |
| Visa Inc. | V | 92826C839 | 1/30/2018 | 8 | Elect Suzanne Nora Johnson | Mgmt | Voted | Fo |
| Visa Inc. | V | 92826C839 | 1/30/2018 | 9 | Elect John A.C. Swainson | Mgmt | Voted | Fo |
| Visa Inc. | V | 92826C839 | 1/30/2018 | 10 | Elect Maynard G. Webb, Jr. | Mgmt | Voted | Fo |
| Visa Inc. | V | 92826C839 | 1/30/2018 | 11 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Visa Inc. | V | 92826C839 | 1/30/2018 | 12 | Ratification of Auditor | Mgmt | Voted | Fo |
| Voya Asia Pacific High Dividend Equity Income Fund | IAE | 92912J102 | 7/6/2017 | 1.1 | Elect Martin J. Gavin | Mgmt | Voted | Fo |

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|--|-----|-----------|-----------|-----|--|------|-------|----|
| Voya Asia Pacific High Dividend Equity Income Fund | IAE | 92912J102 | 7/6/2017 | 1.2 | Elect Patrick W. Kenny | Mgmt | Voted | Fo |
| Voya Asia Pacific High Dividend Equity Income Fund | IAE | 92912J102 | 7/6/2017 | 1.3 | Elect Shaun P. Mathews | Mgmt | Voted | Fo |
| Voya Asia Pacific High Dividend Equity Income Fund | IAE | 92912J102 | 7/6/2017 | 1.4 | Elect Roger B. Vincent | Mgmt | Voted | Fo |
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/17/2018 | 1 | Elect Jose E. Almeida | Mgmt | Voted | Fo |
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/17/2018 | 2 | Elect Janice M. Babiak | Mgmt | Voted | Fo |
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/17/2018 | 3 | Elect David J. Brailer | Mgmt | Voted | Fo |
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/17/2018 | 4 | Elect William C. Foote | Mgmt | Voted | Fo |
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/17/2018 | 5 | Elect Ginger L. Graham | Mgmt | Voted | Fo |
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/17/2018 | 6 | Elect John A. Lederer | Mgmt | Voted | Fo |
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/17/2018 | 7 | Elect Dominic P. Murphy | Mgmt | Voted | Fo |
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/17/2018 | 8 | Elect Stefano Pessina | Mgmt | Voted | Fo |
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/17/2018 | 9 | Elect Leonard D. Schaeffer | Mgmt | Voted | Fo |
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/17/2018 | 10 | Elect Nancy M. Schlichting | Mgmt | Voted | Fo |
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/17/2018 | 11 | Elect James A. Skinner | Mgmt | Voted | Fo |
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/17/2018 | 12 | Ratification of Auditor | Mgmt | Voted | Fo |
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/17/2018 | 13 | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/17/2018 | 14 | Frequency of Advisory Vote on Executive Compensation | Mgmt | Voted | 1 |
| | WBA | 931427108 | 1/17/2018 | 15 | | Mgmt | Voted | Fo |

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|--------------------------------|-----|-----------|-----------|----|--|---|----------|-------|---|
| Walgreens Boots Alliance, Inc. | | | | | | Amendment to the 2013 Omnibus Incentive Plan | | | |
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/17/2018 | 16 | | Shareholder Proposal Regarding Right to Call a Special Meeting | ShrHoldr | Voted | A |
| Walgreens Boots Alliance, Inc. | WBA | 931427108 | 1/17/2018 | 17 | | Shareholder Proposal Regarding Amendments to Proxy Access Bylaw | ShrHoldr | Voted | A |
| Waste Management, Inc. | WM | 94106L109 | 5/14/2018 | 1 | | Elect Bradbury H. Anderson | Mgmt | Voted | F |
| Waste Management, Inc. | WM | 94106L109 | 5/14/2018 | 2 | | Elect Frank M. Clark, Jr. | Mgmt | Voted | F |
| Waste Management, Inc. | WM | 94106L109 | 5/14/2018 | 3 | | Elect James C Fish | Mgmt | Voted | F |
| Waste Management, Inc. | WM | 94106L109 | 5/14/2018 | 4 | | Elect Andres R. Gluski | Mgmt | Voted | F |
| Waste Management, Inc. | WM | 94106L109 | 5/14/2018 | 5 | | Elect Patrick W. Gross | Mgmt | Voted | F |
| Waste Management, Inc. | WM | 94106L109 | 5/14/2018 | 6 | | Elect Victoria M. Holt | Mgmt | Voted | F |
| Waste Management, Inc. | WM | 94106L109 | 5/14/2018 | 7 | | Elect Kathleen M. Mazzarella | Mgmt | Voted | F |
| Waste Management, Inc. | WM | 94106L109 | 5/14/2018 | 8 | | Elect John C. Pope | Mgmt | Voted | F |
| Waste Management, Inc. | WM | 94106L109 | 5/14/2018 | 9 | | Elect Thomas H. Weidemeyer | Mgmt | Voted | F |
| Waste Management, Inc. | WM | 94106L109 | 5/14/2018 | 10 | | Ratification of Auditor | Mgmt | Voted | F |
| Waste Management, Inc. | WM | 94106L109 | 5/14/2018 | 11 | | Advisory Vote on Executive Compensation | Mgmt | Voted | F |
| Waste Management, Inc. | WM | 94106L109 | 5/14/2018 | 12 | | Shareholder Proposal Regarding Compensation in the Event of a Change in Control | ShrHoldr | Voted | A |
| Xcel Energy Inc. | XEL | 98389B100 | 5/16/2018 | 1 | | Elect Richard K. Davis | Mgmt | Voted | F |
| Xcel Energy Inc. | XEL | 98389B100 | 5/16/2018 | 2 | | Elect Benjamin G.S. Fowke III | Mgmt | Voted | F |
| Xcel Energy Inc. | XEL | 98389B100 | 5/16/2018 | 3 | | | Mgmt | Voted | F |

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| | | | | | | | | | |
|-------------------|-----|-----------|-----------|----|--|---|------|-------|----|
| | | | | | | Elect Richard T. O'Brien | | | |
| Xcel Energy Inc. | XEL | 98389B100 | 5/16/2018 | 4 | | Elect David K Owens | Mgmt | Voted | Fo |
| Xcel Energy Inc. | XEL | 98389B100 | 5/16/2018 | 5 | | Elect Christopher J. Policinski | Mgmt | Voted | Fo |
| Xcel Energy Inc. | XEL | 98389B100 | 5/16/2018 | 6 | | Elect James T. Prokopanko | Mgmt | Voted | Fo |
| Xcel Energy Inc. | XEL | 98389B100 | 5/16/2018 | 7 | | Elect A. Patricia Sampson | Mgmt | Voted | Fo |
| Xcel Energy Inc. | XEL | 98389B100 | 5/16/2018 | 8 | | Elect James J. Sheppard | Mgmt | Voted | Fo |
| Xcel Energy Inc. | XEL | 98389B100 | 5/16/2018 | 9 | | Elect David A. Westerlund | Mgmt | Voted | Fo |
| Xcel Energy Inc. | XEL | 98389B100 | 5/16/2018 | 10 | | Elect Kim Williams | Mgmt | Voted | Fo |
| Xcel Energy Inc. | XEL | 98389B100 | 5/16/2018 | 11 | | Elect Timothy V. Wolf | Mgmt | Voted | Fo |
| Xcel Energy Inc. | XEL | 98389B100 | 5/16/2018 | 12 | | Elect Daniel Yohannes | Mgmt | Voted | Fo |
| Xcel Energy Inc. | XEL | 98389B100 | 5/16/2018 | 13 | | Advisory Vote on Executive Compensation | Mgmt | Voted | Fo |
| Xcel Energy Inc. | XEL | 98389B100 | 5/16/2018 | 14 | | Ratification of Auditor | Mgmt | Voted | Fo |
| Yum! Brands, Inc. | YUM | 988498101 | 5/17/2018 | 1 | | Elect Paget L. Alves | Mgmt | Voted | Fo |
| Yum! Brands, Inc. | YUM | 988498101 | 5/17/2018 | 2 | | Elect Michael J. Cavanagh | Mgmt | Voted | Fo |
| Yum! Brands, Inc. | YUM | 988498101 | 5/17/2018 | 3 | | Elect Christopher M. Connor | Mgmt | Voted | Fo |
| Yum! Brands, Inc. | YUM | 988498101 | 5/17/2018 | 4 | | Elect Brian C. Cornell | Mgmt | Voted | Fo |
| Yum! Brands, Inc. | YUM | 988498101 | 5/17/2018 | 5 | | Elect Greg Creed | Mgmt | Voted | Fo |
| Yum! Brands, Inc. | YUM | 988498101 | 5/17/2018 | 6 | | Elect Tanya L. Domier | Mgmt | Voted | Fo |
| Yum! Brands, Inc. | YUM | 988498101 | 5/17/2018 | 7 | | Elect Mirian M. Graddick-Weir | Mgmt | Voted | Fo |
| Yum! Brands, Inc. | YUM | 988498101 | 5/17/2018 | 8 | | Elect Thomas C. Nelson | Mgmt | Voted | Fo |
| Yum! Brands, Inc. | YUM | 988498101 | 5/17/2018 | 9 | | Elect P. Justin Skala | Mgmt | Voted | Fo |
| Yum! Brands, Inc. | YUM | 988498101 | 5/17/2018 | 10 | | Elect Elane B. Stock | Mgmt | Voted | Fo |
| Yum! Brands, Inc. | YUM | 988498101 | 5/17/2018 | 11 | | Elect Robert D. Walter | Mgmt | Voted | Fo |
| Yum! Brands, Inc. | YUM | 988498101 | 5/17/2018 | 12 | | Ratification of Auditor | Mgmt | Voted | Fo |
| Yum! Brands, Inc. | YUM | 988498101 | 5/17/2018 | 13 | | Advisory Vote on Executive | Mgmt | Voted | Fo |

Compensation

SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) Cornerstone Total Return Fund, Inc.

By (Signature and Title) /s/ Ralph W. Bradshaw
Ralph W. Bradshaw, President and Chairman
(Principal Executive Officer)

Date August 17, 2018