

Firsthand Technology Value Fund, Inc.  
Form 8-K  
December 23, 2015

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

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FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the  
Securities Exchange Act of 1934

Date of Report: December 23, 2015  
(Date of earliest event reported)

Firsthand Technology Value Fund, Inc.  
(Exact name of registrant as specified in its charter)

|   |                                       |   |
|---|---------------------------------------|---|
| Maryland<br>(State or other jurisdiction<br>of incorporation) | 814-00830<br>(Commission File Number) | 27-3008946<br>(IRS Employer<br>Identification Number) |
|---|---------------------------------------|---|

|   |                     |
|---|---------------------|
| 150 Almaden Blvd., Suite 1250<br>San Jose, CA<br>(Address of principal executive offices) | 95113<br>(Zip Code) |
|---|---------------------|

(800) 976-8776  
(Registrant's telephone number, including area code)

Not Applicable  
(Former Name or Former Address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
  - Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
  - Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
  - Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
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Item 8.01. Other Events

On December 23, 2015, Firsthand Technology Value Fund, Inc. issued a press release announcing today that Nutanix, a Fund holding since May 2015, has filed its plans to go public through an initial public offering (“IPO”). The number of shares and the price range for the offering have not yet been determined, and there can be no assurances whether the offering will be completed or market conditions at the time of any such offering. Nutanix has applied for listing on the NASDAQ under the ticker symbol “NTNX”.

Item 9.01. Financial Statements and Exhibits

(a) Financial statements:

None

(b) Pro forma financial information:

None

(c) Shell company transactions:

None

(d) Exhibits

99.1 Press Release dated December 23, 2015.

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SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: December 23, 2015  
FIRSTHAND  
TECHNOLOGY  
VALUE FUND,  
INC.

By: /s/ Kevin Landis  
Kevin Landis  
President

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Exhibit Index

| Exhibit No. | Description |
|-------------|-------------|
|-------------|-------------|

|      |                                       |
|------|---------------------------------------|
| 99.1 | Press release dated December 23, 2015 |
|------|---------------------------------------|