

Firsthand Technology Value Fund, Inc.  
 Form 4  
 March 06, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**Bulldog Investors, LLC**

2. Issuer Name and Ticker or Trading Symbol  
**Firsthand Technology Value Fund, Inc. [SVVC]**

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)

(Last) (First) (Middle)  
**PARK 80 WEST - PLAZA  
 TWO, 250 PEHLE AVE. SUITE 708**  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
**03/04/2014**

\_\_\_\_ Director  
 \_\_\_\_ Officer (give title below)  
 10% Owner  
 \_\_\_\_ Other (specify below)

**SADDLE BROOK, NJ 07663**

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 \_\_\_\_ Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |                    |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|--------------------|
|                                 |                                      |  |                                | Code V  | Amount  | (A) or (D) Price   |   |                    |
| Common Stock                    | 02/26/2014                           |  | P                              | 277   | A \$ 23.6889  | 998,689  | I   | By clients (1) (2) |
| Common Stock                    | 03/04/2014                           |  | P                              | 12,146  | A \$ 23.6955  | 1,010,835  | I   | By clients (1) (2) |
| Common Stock                    | 03/05/2014                           |  | P                              | 43,328  | A \$ 23.3088  | 1,054,163  | I   | By clients (1) (2) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form**

SEC 1474 (9-02)

displays a currently valid OMB control number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares  |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| Bulldog Investors, LLC<br>PARK 80 WEST - PLAZA TWO<br>250 PEHLE AVE. SUITE 708<br>SADDLE BROOK, NJ 07663 |               | X         |         |       |
| GOLDSTEIN PHILLIP<br>60 HERITAGE DRIVE<br>PLEASANTVILLE, NY 10570  |               | X         |         |       |
| Dakos Andrew<br>PARK 80 WEST<br>250 PEHLE AVE. STE 708<br>SADDLE BROOK, NJ 07663                         |               | X         |         |       |
| Samuels Steven<br>72 COLEYTOWN ROAD<br>WESTPORT, CT 06882  |               | X         |         |       |

## Signatures

|   |            |
|---|------------|
| /s/ Phillip Goldstein on behalf of Bulldog Investors, LLC | 03/06/2014 |
| **Signature of Reporting Person                           | Date       |
| /s/ Phillip Goldstein                                     | 03/06/2014 |
| **Signature of Reporting Person                           | Date       |
| /s/ Andrew Dakos  | 03/06/2014 |

Edgar Filing: Firsthand Technology Value Fund, Inc. - Form 4

\_\_Signature of Reporting Person

Date

/s/ Steven Samuels

03/06/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Certain private investment fund clients of Bulldog Investors, LLC are the beneficial owners of these shares of SVVC. Bulldog Investors, LLC disclaims beneficial interest in such shares except to the extent of any pecuniary interest therein.  

Andrew Dakos, Steven Samuels and Phillip Goldstein are the owners of Bulldog Investors, LLC. Messrs. Dakos, Samuels and Goldstein also are the Principals of the general partner of certain of such private investment fund clients of Bulldog Investors, LLC, and are limited partners in certain of such private fund clients. Each of Messrs. Dakos, Samuels and Goldstein disclaims beneficial interest in shares held by such clients, except to the extent of any pecuniary interest therein.
- (2)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.