

HERZFELD CARIBBEAN BASIN FUND INC

Form NSAR-A

February 28, 2013

PAGE 1
000 A000000 12/31/2012
000 C000000 0000880406
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 THE HERZFELD CARIBBEAN BASIN FUND INC.
001 B000000 811-6445
001 C000000 3052711900
002 A000000 119 WASHINGTON AVE SUITE 504
002 B000000 MIAMI
002 C000000 FL
002 D010000 33139
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 HERZFELD/CUBA
008 B000001 A
008 C000001 801-20866
008 D010001 MIAMI
008 D020001 FL
008 D030001 33139
011 A000001 THOMAS J. HERZFELD & CO., INC.
011 B000001 8-25933
011 C010001 MIAMI BEACH
011 C020001 FL
011 C030001 33139
012 A000001 STATE STREET BANK AND TRUST COMPANY
012 B000001 84-XXXX
012 C010001 BOSTON
012 C020001 MA
012 C030001 02111
013 A000001 ROTHSTEIN KASS
013 B010001 WALNUT CREEK
013 B020001 CA
PAGE 2
013 B030001 94596
014 A000001 THOMAS J. HERZFELD & CO., INC.
014 B000001 8-25933
015 A000001 STATE STREET BANK AND TRUST COMPANY
015 B000001 C
015 C010001 BOSTON

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015 C020001 MA
015 C030001 02111
015 E010001 X
018 000000 Y
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019 B000000 0
020 A000001 CHARLES SCHWAB
020 B000001 94-1737782
020 C000001 1
020 A000002 BLOOMBERG TRADEBOOK
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020 C000004 0
020 C000005 0
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022 C000010 0
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023 C000000 0
023 D000000 0
024 000000 N
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PAGE 3
025 D000002 0
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025 D000008 0
026 A000000 N
026 B000000 Y
026 C000000 N
026 D000000 Y
026 E000000 N
026 F000000 Y
026 G010000 N
026 G020000 N
026 H000000 N

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| | | | |
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| 027 | 000000 | N | |
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| 028 | A020000 | | 0 |
| 028 | A030000 | | 0 |
| 028 | A040000 | | 0 |
| 028 | B010000 | | 0 |
| 028 | B020000 | | 0 |
| 028 | B030000 | | 0 |
| 028 | B040000 | | 0 |
| 028 | C010000 | | 0 |
| 028 | C020000 | | 0 |
| 028 | C030000 | | 0 |
| 028 | C040000 | | 0 |
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| 028 | E030000 | | 0 |
| 028 | E040000 | | 0 |
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| 028 | F020000 | | 0 |
| 028 | F030000 | | 0 |
| 028 | F040000 | | 0 |
| 028 | G010000 | | 0 |
| 028 | G020000 | | 0 |
| 028 | G030000 | | 0 |
| 028 | G040000 | | 0 |
| 028 | H000000 | | 0 |
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| 030 | B000000 | 0.00 | |
| 030 | C000000 | 0.00 | |
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| 031 | B000000 | | 0 |
| | PAGE | 4 | |
| 032 | 000000 | | 0 |
| 033 | 000000 | | 0 |
| 035 | 000000 | | 0 |
| 036 | B000000 | | 0 |
| 038 | 000000 | | 0 |
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| 042 | B000000 | | 0 |
| 042 | C000000 | | 0 |
| 042 | D000000 | | 0 |
| 042 | E000000 | | 0 |
| 042 | F000000 | | 0 |
| 042 | G000000 | | 0 |
| 042 | H000000 | | 0 |
| 043 | 000000 | | 0 |
| 044 | 000000 | | 0 |
| 045 | 000000 | Y | |
| 046 | 000000 | N | |
| 047 | 000000 | Y | |
| 048 | 000000 | 1.450 | |
| 048 | A010000 | | 0 |
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| 048 | B020000 | 0.000 | |
| 048 | C010000 | | 0 |
| 048 | C020000 | 0.000 | |
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048 D020000 0.000
 048 E010000 0
 048 E020000 0.000
 048 F010000 0
 048 F020000 0.000
 048 G010000 0
 048 G020000 0.000
 048 H010000 0
 048 H020000 0.000
 048 I010000 0
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 048 K010000 0
 048 K020000 0.000
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 050 000000 N
 051 000000 N
 052 000000 N
 053 A000000 N
 054 A000000 Y
 054 B000000 Y
 054 C000000 N
 054 D000000 N
 054 E000000 N
 PAGE 5
 054 F000000 N
 054 G000000 N
 054 H000000 Y
 054 I000000 N
 054 J000000 Y
 054 K000000 N
 054 L000000 N
 054 M000000 Y
 054 N000000 N
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 062 D000000 0.0
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 062 J000000 0.0
 062 K000000 0.0
 062 L000000 0.0
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 062 O000000 0.0
 062 P000000 0.0
 062 Q000000 0.0

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| | | |
|-----|---------|-------|
| 062 | R000000 | 0.0 |
| 063 | A000000 | 0 |
| 063 | B000000 | 0.0 |
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| 066 | B000000 | N |
| 066 | C000000 | Y |
| 066 | D000000 | N |
| 066 | E000000 | N |
| 066 | F000000 | N |
| 066 | G000000 | N |
| 067 | 000000 | N |
| 068 | A000000 | N |
| 068 | B000000 | N |
| 069 | 000000 | N |
| 070 | A010000 | Y |
| | PAGE | 6 |
| 070 | A020000 | N |
| 070 | B010000 | Y |
| 070 | B020000 | N |
| 070 | C010000 | N |
| 070 | C020000 | N |
| 070 | D010000 | Y |
| 070 | D020000 | N |
| 070 | E010000 | Y |
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| 070 | G020000 | N |
| 070 | H010000 | Y |
| 070 | H020000 | N |
| 070 | I010000 | Y |
| 070 | I020000 | N |
| 070 | J010000 | Y |
| 070 | J020000 | Y |
| 070 | K010000 | Y |
| 070 | K020000 | Y |
| 070 | L010000 | Y |
| 070 | L020000 | Y |
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| 070 | P010000 | N |
| 070 | P020000 | N |
| 070 | Q010000 | N |
| 070 | Q020000 | N |
| 070 | R010000 | N |
| 070 | R020000 | N |
| 071 | A000000 | 4272 |
| 071 | B000000 | 4868 |
| 071 | C000000 | 29411 |
| 071 | D000000 | 15 |
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| 072 | B000000 | 0 |
| 072 | C000000 | 422 |
| 072 | D000000 | 0 |
| 072 | E000000 | 0 |
| 072 | F000000 | 223 |
| 072 | G000000 | 0 |

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072 H000000 13
 072 I000000 12
 072 J000000 42
 072 K000000 2
 072 L000000 4

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072 M000000 10
 072 N000000 8
 072 O000000 0
 072 P000000 0
 072 Q000000 0
 072 R000000 25
 072 S000000 14
 072 T000000 0
 072 U000000 0
 072 V000000 5
 072 W000000 35
 072 X000000 393
 072 Y000000 0
 072 Z000000 29
 072AA000000 1603
 072BB000000 0
 072CC010000 2112
 072CC020000 0
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 072EE000000 0
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 073 A020000 0.0000
 073 B000000 0.1960
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 074 A000000 955
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 074 R030000 0
 074 R040000 46
 074 S000000 0
 074 T000000 32346
 074 U010000 3713
 074 U020000 0
 074 V010000 8.71

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074 V020000 0.00
 074 W000000 0.0000
 074 X000000 3440
 074 Y000000 0

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| | | |
|-----------|---------------------|--------------|
| 075 | A000000 | 0 |
| 075 | B000000 | 27981 |
| 076 | 000000 | 7.64 |
| 077 | A000000 | N |
| 086 | A010000 | 0 |
| 086 | A020000 | 0 |
| 086 | B010000 | 0 |
| 086 | B020000 | 0 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |
| 086 | D010000 | 0 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| 087 | A010000 | COMMON STOCK |
| 087 | A020000 | 42804T105 |
| 087 | A030000 | CUBA |
| 088 | A000000 | N |
| 088 | B000000 | N |
| 088 | C000000 | N |
| 088 | D000000 | N |
| SIGNATURE | CECILIA GONDOR | |
| TITLE | SECRETARY/TREASURER | |