

HERZFELD CARIBBEAN BASIN FUND INC

Form NSAR-B

August 28, 2009

PAGE 1  
000 B000000 06/30/2009  
000 C000000 0000880406  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 THE HERZFELD CARIBBEAN BASIN FUND INC.  
001 B000000 811-6445  
001 C000000 3052711900  
002 A000000 PO BOX 161465  
002 B000000 MIAMI  
002 C000000 FL  
002 D010000 33116  
002 D020000 1465  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 HERZFELD/CUBA  
008 B000001 A  
008 C000001 801-20866  
008 D010001 MIAMI  
008 D020001 FL  
008 D030001 33116  
011 A000001 THOMAS J. HERZFELD & CO., INC.  
011 B000001 8-25933  
011 C010001 MIAMI  
011 C020001 FL  
011 C030001 33116  
012 A000001 STATE STREET BANK AND TRUST COMPANY  
012 B000001 84-XXXX  
012 C010001 BOSTON  
012 C020001 MA  
012 C030001 02111  
013 A000001 ROTHSTEIN KASS & COMPANY LLP  
013 B010001 SAN FRANCISCO  
PAGE 2  
013 B020001 CA  
013 B030001 94104  
014 A000001 THOMAS J. HERZFELD & CO., INC.  
014 B000001 8-25933  
015 A000001 STATE STREET BANK AND TRUST COMPANY  
015 B000001 C

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015 C010001 BOSTON  
015 C020001 MA  
015 C030001 02111  
015 E010001 X  
018 000000 Y  
019 A000000 N  
019 B000000 0  
020 A000001 THOMAS J. HERZFELD & CO., INC.  
020 B000001 59-2053807  
020 C000001 15  
020 A000002 CARTER TERRY & COMPANY  
020 B000002 58-1608676  
020 C000002 1  
020 C000003 0  
020 C000004 0  
020 C000005 0  
020 C000006 0  
020 C000007 0  
020 C000008 0  
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PAGE 3  
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026 E000000 N  
026 F000000 Y  
026 G010000 N  
026 G020000 N

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| 048 | A020000 | 0.000 |   |
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| 048 | B020000 | 0.000 |   |
| 048 | C010000 |       | 0 |
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|     |         |       |
|-----|---------|-------|
| 048 | D010000 | 0     |
| 048 | D020000 | 0.000 |
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| 048 | F020000 | 0.000 |
| 048 | G010000 | 0     |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0     |
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| 048 | I010000 | 0     |
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| 048 | J010000 | 0     |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 0     |
| 048 | K020000 | 0.000 |
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| 054 | B000000 | Y     |
| 054 | C000000 | N     |
| 054 | D000000 | N     |
|     | PAGE    | 5     |
| 054 | E000000 | N     |
| 054 | F000000 | N     |
| 054 | G000000 | N     |
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| 054 | I000000 | N     |
| 054 | J000000 | Y     |
| 054 | K000000 | N     |
| 054 | L000000 | N     |
| 054 | M000000 | Y     |
| 054 | N000000 | N     |
| 054 | O000000 | N     |
| 055 | A000000 | N     |
| 055 | B000000 | N     |
| 056 | 000000  | Y     |
| 057 | 000000  | N     |
| 058 | A000000 | N     |
| 059 | 000000  | Y     |
| 060 | A000000 | N     |
| 060 | B000000 | N     |
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| 062 | C000000 | 0.0   |
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| 062 | E000000 | 0.0   |
| 062 | F000000 | 0.0   |
| 062 | G000000 | 0.0   |
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| 062 | M000000 | 0.0   |
| 062 | N000000 | 0.0   |
| 062 | O000000 | 0.0   |
| 062 | P000000 | 0.0   |

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|     |         |       |
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| 063 | B000000 | 0.0   |
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| 066 | B000000 | N     |
| 066 | C000000 | Y     |
| 066 | D000000 | N     |
| 066 | E000000 | N     |
| 066 | F000000 | N     |
| 066 | G000000 | N     |
| 067 | 000000  | N     |
| 068 | A000000 | N     |
| 068 | B000000 | N     |
| 069 | 000000  | N     |
|     | PAGE    | 6     |
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| 070 | A020000 | N     |
| 070 | B010000 | Y     |
| 070 | B020000 | N     |
| 070 | C010000 | N     |
| 070 | C020000 | N     |
| 070 | D010000 | Y     |
| 070 | D020000 | N     |
| 070 | E010000 | Y     |
| 070 | E020000 | N     |
| 070 | F010000 | Y     |
| 070 | F020000 | N     |
| 070 | G010000 | Y     |
| 070 | G020000 | N     |
| 070 | H010000 | Y     |
| 070 | H020000 | N     |
| 070 | I010000 | Y     |
| 070 | I020000 | N     |
| 070 | J010000 | Y     |
| 070 | J020000 | N     |
| 070 | K010000 | Y     |
| 070 | K020000 | Y     |
| 070 | L010000 | Y     |
| 070 | L020000 | Y     |
| 070 | M010000 | Y     |
| 070 | M020000 | N     |
| 070 | N010000 | Y     |
| 070 | N020000 | N     |
| 070 | O010000 | Y     |
| 070 | O020000 | N     |
| 070 | P010000 | N     |
| 070 | P020000 | N     |
| 070 | Q010000 | N     |
| 070 | Q020000 | N     |
| 070 | R010000 | N     |
| 070 | R020000 | N     |
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| 071 | B000000 | 5880  |
| 071 | C000000 | 19894 |
| 071 | D000000 | 17    |
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| 072 | B000000 | 0     |
| 072 | C000000 | 438   |
| 072 | D000000 | 0     |
| 072 | E000000 | 0     |
| 072 | F000000 | 283   |

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|             |    |
|-------------|----|
| 072 G000000 | 0  |
| 072 H000000 | 27 |
| 072 I000000 | 18 |
| 072 J000000 | 54 |
| 072 K000000 | 12 |

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|             |        |
|-------------|--------|
| 072 L000000 | 11     |
| 072 M000000 | 16     |
| 072 N000000 | 15     |
| 072 O000000 | 0      |
| 072 P000000 | 0      |
| 072 Q000000 | 0      |
| 072 R000000 | 54     |
| 072 S000000 | 39     |
| 072 T000000 | 0      |
| 072 U000000 | 0      |
| 072 V000000 | 5      |
| 072 W000000 | 73     |
| 072 X000000 | 607    |
| 072 Y000000 | 0      |
| 072 Z000000 | -170   |
| 072AA000000 | 0      |
| 072BB000000 | 2918   |
| 072CC010000 | 0      |
| 072CC020000 | 3378   |
| 072DD010000 | 0      |
| 072DD020000 | 0      |
| 072EE000000 | 0      |
| 073 A010000 | 0.1616 |
| 073 A020000 | 0.0000 |
| 073 B000000 | 0.0494 |
| 073 C000000 | 0.0000 |
| 074 A000000 | 1966   |
| 074 B000000 | 0      |
| 074 C000000 | 0      |
| 074 D000000 | 0      |
| 074 E000000 | 0      |
| 074 F000000 | 17973  |
| 074 G000000 | 0      |
| 074 H000000 | 0      |
| 074 I000000 | 0      |
| 074 J000000 | 0      |
| 074 K000000 | 0      |
| 074 L000000 | 17     |
| 074 M000000 | 33     |
| 074 N000000 | 19989  |
| 074 O000000 | 0      |
| 074 P000000 | 66     |
| 074 Q000000 | 0      |
| 074 R010000 | 0      |
| 074 R020000 | 0      |
| 074 R030000 | 0      |
| 074 R040000 | 41     |
| 074 S000000 | 0      |
| 074 T000000 | 19882  |
| 074 U010000 | 3713   |
| 074 U020000 | 0      |

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|             |        |
|-------------|--------|
| 074 V010000 | 5.35   |
| 074 V020000 | 0.00   |
| 074 W000000 | 0.0000 |
| 074 X000000 | 3440   |

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|           |                     |                          |
|-----------|---------------------|--------------------------|
| 074       | Y000000             | 0                        |
| 075       | A000000             | 0                        |
| 075       | B000000             | 20039                    |
| 076       | 000000              | 6.07                     |
| 077       | A000000             | Y                        |
| 077       | B000000             | Y                        |
| 080       | A000000             | ICI MUTUAL INSURANCE CO. |
| 080       | C000000             | 200                      |
| 081       | A000000             | N                        |
| 081       | B000000             | 0                        |
| 082       | A000000             | N                        |
| 082       | B000000             | 0                        |
| 083       | A000000             | N                        |
| 083       | B000000             | 0                        |
| 084       | A000000             | N                        |
| 084       | B000000             | 0                        |
| 085       | A000000             | Y                        |
| 085       | B000000             | N                        |
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| 086       | A020000             | 0                        |
| 086       | B010000             | 0                        |
| 086       | B020000             | 0                        |
| 086       | C010000             | 0                        |
| 086       | C020000             | 0                        |
| 086       | D010000             | 0                        |
| 086       | D020000             | 0                        |
| 086       | E010000             | 0                        |
| 086       | E020000             | 0                        |
| 086       | F010000             | 0                        |
| 086       | F020000             | 0                        |
| 087       | A010000             | COMMON STOCK             |
| 087       | A020000             | 42804T105                |
| 087       | A030000             | CUBA                     |
| 088       | A000000             | N                        |
| 088       | B000000             | N                        |
| 088       | C000000             | N                        |
| 088       | D000000             | N                        |
| SIGNATURE | CECILIA GONDOR      |                          |
| TITLE     | SECRETARY/TREASURER |                          |