

Edgar Filing: FORT DEARBORN INCOME SECURITIES INC - Form NSAR-B/A

FORT DEARBORN INCOME SECURITIES INC

Form NSAR-B/A

October 11, 2013

000 B000000 09/30/2011  
000 C000000 0000038188  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 Y  
000 H000000 N  
000 I000000 6.1  
000 J000000 B  
001 A000000 FORT DEARBORN INCOME SECURITIES, INC.  
001 B000000 811-02319  
001 C000000 2128213000  
002 A000000 ONE NORTH WACKER DRIVE  
002 B000000 CHICAGO  
002 C000000 IL  
002 D010000 60606  
002 D020000 2807  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
008 A000001 UBS GLOBAL ASSET MANAGEMENT (AMERICAS) INC.  
008 B000001 A  
008 C000001 801-34910  
008 D010001 CHICAGO  
008 D020001 IL  
008 D030001 60606  
008 D040001 1295  
010 A000001 J.P. MORGAN INVESTOR SERVICES, CO.  
010 B000001 84-1719  
010 C010001 BOSTON  
010 C020001 MA  
010 C030001 02108  
010 C040001 3913  
012 A000001 Computershare Trust Company, N.A.  
012 B000001 84-0000  
012 C010001 PROVIDENCE  
012 C020001 RI  
012 C030001 02940  
012 C040001 3069  
013 A000001 ERNST & YOUNG LLP  
013 B010001 NEW YORK  
013 B020001 NY  
013 B030001 10036  
014 A000001 CAISSE CENTRALE DE REESCOMPTE  
014 B000001 8-00000  
014 A000002 CCR ACTIONS SA  
014 B000002 8-00000  
014 A000003 CCR GESTION SA  
014 B000003 8-00000  
014 A000004 FONDCENTER AG  
014 B000004 8-00000  
014 A000005 OOO UBS BANK  
014 B000005 8-00000  
014 A000006 PT UBS SECURITIES INDONESIA  
014 B000006 8-00000  
014 A000007 UBS (BAHAMAS) LTD.

Edgar Filing: FORT DEARBORN INCOME SECURITIES INC - Form NSAR-B/A

014 B000007 8-00000  
014 A000008 UBS (FRANCE) SA  
014 B000008 8-00000  
014 A000009 UBS (GRAND CAYMAN) LTD.  
014 B000009 8-00000  
014 A000010 UBS (ITALIA) SPA  
014 B000010 8-00000  
014 A000011 UBS (LUXEMBOURG) SA  
014 B000011 8-00000  
014 A000012 UBS (MONACO) SA  
014 B000012 8-00000  
014 A000013 UBS ALTERNATIVE AND QUANTITATIVE INVESTMENTS LLC  
014 B000013 8-00000  
014 A000014 UBS ALTERNATIVE AND QUANTITATIVE INVESTMENTS LTD.  
014 B000014 8-00000  
014 A000015 UBS AMERICAS, INC.  
014 B000015 8-00000  
014 A000016 UBS ASESORES SA  
014 B000016 8-00000  
014 A000017 UBS BANK (CANADA)  
014 B000017 8-00000  
014 A000018 UBS BANK MEXICO, SA INSTITUCION DE BANCA MULTIPLE  
014 B000018 8-00000  
014 A000019 UBS BANK SA  
014 B000019 8-00000  
014 A000020 UBS BANK USA  
014 B000020 8-00000  
014 A000021 UBS BELGIUM SA/NV  
014 B000021 8-00000  
014 A000022 UBS CAPITAL (JERSEY) LTD.  
014 B000022 8-00000  
014 A000023 UBS CAPITAL BV  
014 B000023 8-00000  
014 A000024 UBS CARD CENTER AG  
014 B000024 8-00000  
014 A000025 UBS CLEARING AND EXECUTION SERVICES LTD.  
014 B000025 8-00000  
014 A000026 UBS CONVERTIBLE SECURITIES (JERSEY) LTD.  
014 B000026 8-00000  
014 A000027 UBS DERIVATIVES HONG KONG LTD.  
014 B000027 8-00000  
014 A000028 UBS DEUTSCHLAND AG  
014 B000028 8-00000  
014 A000029 UBS FACTORING AG  
014 B000029 8-00000  
014 A000030 UBS FIDUCIARIA SPA  
014 B000030 8-00000  
014 A000031 UBS FINANCE (CAYMAN ISLAND) LTD.  
014 B000031 8-00000  
014 A000032 UBS FINANCE (CURACAO) NV  
014 B000032 8-00000  
014 A000033 UBS FINANCE (DELAWARE) LLC  
014 B000033 8-00000  
014 A000034 UBS FINANCIAL SERVICES, INC.  
014 B000034 8-00000  
014 A000035 UBS FINANCIAL SERVICES, INC. OF PUERTO RICO  
014 B000035 8-00000  
014 A000036 UBS FUND ADVISOR, L.L.C.  
014 B000036 8-00000  
014 A000037 UBS FUND HOLDING (LUXEMBOURG) S.A.  
014 B000037 8-00000  
014 A000038 UBS FUND HOLDING (SWITZERLAND) AG

Edgar Filing: FORT DEARBORN INCOME SECURITIES INC - Form NSAR-B/A

014 B000038 8-00000  
014 A000039 UBS FUND MANAGEMENT (SWITZERLAND) AG  
014 B000039 8-00000  
014 A000040 UBS FUND SERVICES (CAYMAN) LTD.  
014 B000040 8-00000  
014 A000041 UBS FUND SERVICES (IRELAND) LTD.  
014 B000041 8-00000  
014 A000042 UBS FUND SERVICES (LUXEMBOURG) S.A.  
014 B000042 8-00000  
014 A000043 UBS FUND SERVICES (LUXEMBOURG) S.A. POLAND BRANCH  
014 B000043 8-00000  
014 A000044 UBS FUTURES SINGAPORE LTD.  
014 B000044 8-00000  
014 A000045 UBS GLOBAL ASSET MANAGEMENT (AMERICAS) INC.  
014 B000045 8-00000  
014 A000046 UBS GLOBAL ASSET MANAGEMENT (AUSTRALIA) LTD.  
014 B000046 8-00000  
014 A000047 UBS GLOBAL ASSET MANAGEMENT (CANADA) CO  
014 B000047 8-00000  
014 A000048 UBS GLOBAL ASSET MANAGEMENT (DEUTSCHLAND) GMBH  
014 B000048 8-00000  
014 A000049 UBS GLOBAL ASSET MANAGEMENT (FRANCE) S.A.  
014 B000049 8-00000  
014 A000050 UBS GLOBAL ASSET MANAGEMENT (HONG KONG) LTD.  
014 B000050 8-00000  
014 A000051 UBS GLOBAL ASSET MANAGEMENT (ITALIA) SGR SPA  
014 B000051 8-00000  
014 A000052 UBS GLOBAL ASSET MANAGEMENT (JAPAN) LTD.  
014 B000052 8-00000  
014 A000053 UBS GLOBAL ASSET MANAGEMENT (SINGAPORE) LTD.  
014 B000053 8-00000  
014 A000054 UBS GLOBAL ASSET MANAGEMENT (TAIWAN) LTD.  
014 B000054 8-00000  
014 A000055 UBS GLOBAL ASSET MANAGEMENT (UK) LTD.  
014 B000055 8-00000  
014 A000056 UBS GLOBAL ASSET MANAGEMENT (US) INC.  
014 B000056 8-00000  
014 A000057 UBS GLOBAL ASSET MANAGEMENT FUNDS LTD.  
014 B000057 8-00000  
014 A000058 UBS GLOBAL ASSET MANAGEMENT HOLDING LTD.  
014 B000058 8-00000  
014 A000059 UBS GLOBAL ASSET MANAGEMENT LIFE LTD.  
014 B000059 8-00000  
014 A000060 UBS GLOBAL LIFE AG  
014 B000060 8-00000  
014 A000061 UBS GLOBAL TRUST CORPORATION  
014 B000061 8-00000  
014 A000062 UBS GRUPO FINANCIERO  
014 B000062 8-00000  
014 A000063 UBS GRUPO FINANCIERO, S.A. DE C.V.  
014 B000063 8-00000  
014 A000064 UBS HANA ASSET MANAGEMENT COMPANY LTD.  
014 B000064 8-00000  
014 A000065 UBS INTERNATIONAL HOLDINGS B.V.  
014 B000065 8-00000  
014 A000066 UBS INTERNATIONAL LIFE LTD.  
014 B000066 8-00000  
014 A000067 UBS INTERNATIONAL, INC.  
014 B000067 8-00000  
014 A000068 UBS INVESTMENT MANAGEMENT CANADA INC.  
014 B000068 8-00000  
014 A000069 UBS INVESTMENTS PHILIPPINES, INC.

Edgar Filing: FORT DEARBORN INCOME SECURITIES INC - Form NSAR-B/A

014 B000069 8-00000  
014 A000070 UBS ITALIA SIM SPA  
014 B000070 8-00000  
014 A000071 UBS LEASING AG  
014 B000071 8-00000  
014 A000072 UBS LIFE AG  
014 B000072 8-00000  
014 A000073 UBS LIFE INSURANCE COMPANY USA  
014 B000073 8-00000  
014 A000074 UBS LIMITED  
014 B000074 8-00000  
014 A000075 UBS LOAN FINANCE LLC  
014 B000075 8-00000  
014 A000076 UBS MENKUL DEGERLER AS  
014 B000076 8-00000  
014 A000077 UBS NEW ZEALAND LTD.  
014 B000077 8-00000  
014 A000078 UBS O'CONNOR LLC  
014 B000078 8-00000  
014 A000079 UBS O'CONNOR LTD.  
014 B000079 8-00000  
014 A000080 UBS PREFERRED FUNDING COMPANY LLC I  
014 B000080 8-00000  
014 A000081 UBS PREFERRED FUNDING COMPANY LLC II  
014 B000081 8-00000  
014 A000082 UBS PREFERRED FUNDING COMPANY LLC IV  
014 B000082 8-00000  
014 A000083 UBS PREFERRED FUNDING COMPANY LLC V  
014 B000083 8-00000  
014 A000084 UBS REAL ESTATE KAPITALANLAGEGESELLSCHAFT MBH  
014 B000084 8-00000  
014 A000085 UBS REAL ESTATE SECURITIES INC  
014 B000085 8-00000  
014 A000086 UBS REALTY INVESTORS LLC  
014 B000086 8-00000  
014 A000087 UBS SAUERBORN PRIVATE EQUITY KOMPLEMENTAR GMBH  
014 B000087 8-00000  
014 A000088 UBS SECURITIES (CANADA), INC.  
014 B000088 8-00000  
014 A000089 UBS SECURITIES (THAILAND) LTD.  
014 B000089 8-00000  
014 A000090 UBS SECURITIES ASIA LTD.  
014 B000090 8-00000  
014 A000091 UBS SECURITIES AUSTRALIA LTD.  
014 B000091 8-00000  
014 A000092 UBS SECURITIES FRANCE SA  
014 B000092 8-00000  
014 A000093 UBS SECURITIES HONG KONG LTD.  
014 B000093 8-00000  
014 A000094 UBS SECURITIES INDIA PRIVATE LTD.  
014 B000094 8-00000  
014 A000095 UBS SECURITIES INTERNATIONAL LTD.  
014 B000095 8-00000  
014 A000096 UBS SECURITIES JAPAN LTD.  
014 B000096 8-00000  
014 A000097 UBS SECURITIES LLC  
014 B000097 8-00000  
014 A000098 UBS SECURITIES MALAYSIA SDN. BHD.  
014 B000098 8-00000  
014 A000099 UBS SECURITIES PHILIPPINES, INC.  
014 B000099 8-00000  
014 A000100 UBS SECURITIES PTE. LTD.

# Edgar Filing: FORT DEARBORN INCOME SECURITIES INC - Form NSAR-B/A

014 B0000100 8-00000  
014 A0000101 UBS SECURITIES PTE. LTD. SEOUL BRANCH  
014 B0000101 8-00000  
014 A0000102 UBS SECURITIES, ESPANA, SV SA  
014 B0000102 8-00000  
014 A0000103 UBS SERVICE CENTRE (INDIA) PRIVATE LTD.  
014 B0000103 8-00000  
014 A0000104 UBS SERVICE CENTRE (POLAND) SP. ZO.O  
014 B0000104 8-00000  
014 A0000105 UBS SERVICES (USA) LLC  
014 B0000105 8-00000  
014 A0000106 UBS SOUTH AFRICA (PROPRIETARY) LTD.  
014 B0000106 8-00000  
014 A0000107 UBS SWISS FINANCIAL ADVISERS AG  
014 B0000107 8-00000  
014 A0000108 UBS TRUST COMPANY NATIONAL ASSOCIATION  
014 B0000108 8-00000  
014 A0000109 UBS TRUSTEES (BAHAMAS) LTD.  
014 B0000109 8-00000  
014 A0000110 UBS TRUSTEES (CAYMAN) LTD.  
014 B0000110 8-00000  
014 A0000111 UBS TRUSTEES (JERSEY) LTD.  
014 B0000111 8-00000  
014 A0000112 UBS TRUSTEES (SINGAPORE) LTD.  
014 B0000112 8-00000  
014 A0000113 UBS UK HOLDING LTD.  
014 B0000113 8-00000  
014 A0000114 UBS UK PROPERTIES LTD.  
014 B0000114 8-00000  
014 A0000115 UBS WEALTH MANAGEMENT (UK) LTD.  
014 B0000115 8-00000  
014 A0000116 UBS WEALTH MANAGEMENT AUSTRALIA LTD.  
014 B0000116 8-00000  
014 A0000117 VERMOGENS ADVIES HOLDING B.V.  
014 B0000117 8-00000  
015 A000001 JPMORGAN CHASE BANK  
015 B000001 C  
015 C010001 NEW YORK  
015 C020001 NY  
015 C030001 10003  
015 C040001 9598  
015 E010001 X  
018 000000 Y  
019 A000000 Y  
019 B000000 100  
019 C000000 UBSGLOBLAM  
021 000000 0  
022 A000001 J.P. MORGAN CHASE BANK & CO.  
022 B000001 13-3379014  
022 C000001 54716  
022 D000001 55590  
022 A000002 MORGAN STANLEY & CO., INC.  
022 B000002 36-2655985  
022 C000002 58892  
022 D000002 39729  
022 A000003 DEUTSCHE BANK  
022 B000003 52-1319768  
022 C000003 40629  
022 D000003 52894  
022 A000004 CREDIT SUISSE FIRST BOSTON  
022 B000004 13-5659485  
022 C000004 30781

Edgar Filing: FORT DEARBORN INCOME SECURITIES INC - Form NSAR-B/A

022 D000004 29723  
022 A000005 BANK OF AMERICA CORP.  
022 B000005 56-0906609  
022 C000005 25844  
022 D000005 27630  
022 A000006 GOLDMAN SACHS & CO.  
022 B000006 13-5108880  
022 C000006 21273  
022 D000006 20968  
022 A000007 BARCLAYS CAPITAL, INC.  
022 B000007 06-1031656  
022 C000007 9629  
022 D000007 11264  
022 A000008 JEFFERIES & CO.  
022 B000008 95-2622900  
022 C000008 9993  
022 D000008 5976  
022 A000009 BNP PARIBAS  
022 B000009 13-3235334  
022 C000009 5180  
022 D000009 6192  
022 A000010 CITIGROUP INC.  
022 B000010 52-1568099  
022 C000010 2837  
022 D000010 8056  
023 C000000 268446  
023 D000000 267675  
024 000000 Y  
025 A000001 BANK OF AMERICA CORP.  
025 B000001 56-0906609  
025 C000001 D  
025 D000001 3045  
025 A000002 BARCLAYS CAPITAL, INC.  
025 B000002 06-1031656  
025 C000002 D  
025 D000002 49  
025 A000003 CITIGROUP  
025 B000003 13-2614988  
025 C000003 D  
025 D000003 2328  
025 A000004 CREDIT SUISSE SECURITIES (USA) LLC  
025 B000004 13-2853402  
025 C000004 D  
025 D000004 375  
025 A000005 GOLDMAN SACHS & CO.  
025 B000005 13-5108880  
025 C000005 D  
025 D000005 521  
025 A000006 JPMORGAN CHASE & CO.  
025 B000006 13-3379014  
025 C000006 D  
025 D000006 3298  
025 A000007 MORGAN STANLEY & CO., INC.  
025 B000007 13-2655985  
025 C000007 D  
025 D000007 1219  
026 A000000 N  
026 B000000 Y  
026 C000000 N  
026 D000000 Y  
026 E000000 N  
026 F000000 N

Edgar Filing: FORT DEARBORN INCOME SECURITIES INC - Form NSAR-B/A

026 G010000 N  
 026 G020000 N  
 026 H000000 N  
 027 000000 N  
 045 000000 Y  
 046 000000 N  
 047 000000 Y  
 048 000000 0.000  
 048 A010000 100000  
 048 A020000 0.500  
 048 B010000 0  
 048 B020000 0.000  
 048 C010000 0  
 048 C020000 0.000  
 048 D010000 0  
 048 D020000 0.000  
 048 E010000 0  
 048 E020000 0.000  
 048 F010000 0  
 048 F020000 0.000  
 048 G010000 0  
 048 G020000 0.000  
 048 H010000 0  
 048 H020000 0.000  
 048 I010000 0  
 048 I020000 0.000  
 048 J010000 0  
 048 J020000 0.000  
 048 K010000 100000  
 048 K020000 0.400  
 049 000000 N  
 050 000000 N  
 051 000000 N  
 052 000000 N  
 053 A000000 N  
 054 A000000 Y  
 054 B000000 Y  
 054 C000000 N  
 054 D000000 N  
 054 E000000 N  
 054 F000000 N  
 054 G000000 Y  
 054 H000000 N  
 054 I000000 N  
 054 J000000 Y  
 054 K000000 N  
 054 L000000 N  
 054 M000000 Y  
 054 N000000 N  
 054 O000000 N  
 055 A000000 Y  
 055 B000000 N  
 056 000000 Y  
 057 000000 N  
 058 A000000 N  
 059 000000 Y  
 060 A000000 Y  
 060 B000000 Y  
 061 000000 0  
 062 A000000 Y  
 062 B000000 0.0  
 062 C000000 0.0

Edgar Filing: FORT DEARBORN INCOME SECURITIES INC - Form NSAR-B/A

062	D000000	0.0
062	E000000	0.0
062	F000000	0.0
062	G000000	0.0
062	H000000	0.0
062	I000000	0.0
062	J000000	0.0
062	K000000	0.0
062	L000000	1.3
062	M000000	23.8
062	N000000	1.8
062	O000000	6.0
062	P000000	59.9
062	Q000000	6.3
062	R000000	0.0
063	A000000	0
063	B000000	16.6
064	A000000	Y
064	B000000	Y
065	000000	Y
066	A000000	N
067	000000	N
068	A000000	N
068	B000000	N
069	000000	N
070	A010000	Y
070	A020000	N
070	B010000	N
070	B020000	N
070	C010000	N
070	C020000	N
070	D010000	N
070	D020000	N
070	E010000	N
070	E020000	N
070	F010000	N
070	F020000	N
070	G010000	N
070	G020000	N
070	H010000	N
070	H020000	N
070	I010000	N
070	I020000	N
070	J010000	Y
070	J020000	Y
070	K010000	Y
070	K020000	Y
070	L010000	Y
070	L020000	Y
070	M010000	Y
070	M020000	N
070	N010000	Y
070	N020000	N
070	O010000	Y
070	O020000	N
070	P010000	Y
070	P020000	N
070	Q010000	N
070	Q020000	N
070	R010000	N
070	R020000	N
071	A000000	220711



Edgar Filing: FORT DEARBORN INCOME SECURITIES INC - Form NSAR-B/A

071	B000000	224253
071	C000000	143264
071	D000000	154
072	A000000	12
072	B000000	7584
072	C000000	3
072	D000000	0
072	E000000	0
072	F000000	684
072	G000000	0
072	H000000	0
072	I000000	43
072	J000000	60
072	K000000	0
072	L000000	71
072	M000000	17
072	N000000	0
072	O000000	9
072	P000000	0
072	Q000000	0
072	R000000	44
072	S000000	42
072	T000000	0
072	U000000	0
072	V000000	0
072	W000000	48
072	X000000	1018
072	Y000000	0
072	Z000000	6569
072AA	000000	6034
072BB	000000	0
072CC	010000	0
072CC	020000	1302
072DD	010000	8091
072DD	020000	0
072EE	000000	3756
073	A010000	0.9200
073	A020000	0.0000
073	B000000	0.4300
073	C000000	0.0000
074	A000000	0
074	B000000	0
074	C000000	1913
074	D000000	148443
074	E000000	28
074	F000000	0
074	G000000	0
074	H000000	0
074	I000000	0
074	J000000	2576
074	K000000	0
074	L000000	1685
074	M000000	15
074	N000000	154660
074	O000000	2498
074	P000000	0
074	Q000000	0
074	R010000	0
074	R020000	0
074	R030000	0
074	R040000	467
074	S000000	0

Edgar Filing: FORT DEARBORN INCOME SECURITIES INC - Form NSAR-B/A

074	T000000	151695
074	U010000	8776
074	U020000	0
074	V010000	17.29
074	V020000	0.00
074	W000000	0.0000
074	X000000	1430
074	Y000000	0
075	A000000	0
075	B000000	145987
076	000000	16.07
077	A000000	Y
077	B000000	Y
077	C000000	N
077	D000000	N
077	E000000	N
077	F000000	N
077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	N
077	K000000	N
077	L000000	N
077	M000000	N
077	N000000	N
077	O000000	N
077	P000000	N
077	Q010000	N
077	Q020000	Y
077	Q030000	N
078	000000	N
080	A000000	ICI MUTUAL INSURANCE COMPANY
080	C000000	70000
081	A000000	Y
081	B000000	99
082	A000000	N
082	B000000	0
083	A000000	N
083	B000000	0
084	A000000	N
084	B000000	0
085	A000000	Y
085	B000000	N
086	A010000	0
086	A020000	0
086	B010000	0
086	B020000	0
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	COMMON STOCK
087	A020000	347200107
087	A030000	FDI
088	A000000	N
088	B000000	N
088	C000000	N
088	D000000	N

Edgar Filing: FORT DEARBORN INCOME SECURITIES INC - Form NSAR-B/A

SIGNATURE THOMAS DISBROW  
TITLE Vice President and Treasurer