

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

Clough Global Allocation Fund
Form NSAR-A
November 27, 2013

PAGE 1
000 A000000 09/30/2013
000 C000000 0001288795
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 CLOUGH GLOBAL ALLOCATION FUND
001 B000000 811-21583
001 C000000 8772568445
002 A000000 1290 BROADWAY, SUITE 1100
002 B000000 DENVER
002 C000000 CO
002 D010000 80203
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
008 A000001 CLOUGH CAPITAL PARTNERS
008 B000001 A
008 C000001 801-63142
008 D010001 BOSTON
008 D020001 MA
008 D030001 02109
010 A000001 ALPS FUND SERVICES, INC.
010 B000001 84-5730
010 C010001 DENVER
010 C020001 CO
010 C030001 80203
011 A000001 MERRILL LYNCH
011 B000001 8-0114235
011 C010001 NEW YORK
011 C020001 NY
011 C030001 10080
012 A000001 COMPUTERSHARE SHAREOWNER SERVICES LLC
012 B000001 84-05579
012 C010001 JERSEY CITY
012 C020001 NJ
012 C030001 07310
013 A000001 COHEN FUND AUDIT SERVICES, LTD.
013 B010001 WESTLAKE
013 B020001 OH
013 B030001 44145
015 A000001 Bank of New York Mellon Corp.
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
PAGE 2
015 C030001 10286
015 E010001 X
015 A000002 HSBC Malaysia
015 B000002 S
015 C010002 Kuala Lumpur
015 D010002 Malaysia Berhad

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015 D020002 206-001
015 E040002 X
015 A000003 DBS Bank Ltd., Singapore
015 B000003 S
015 C010003 The Comtech
015 D010003 Singapore
015 D020003 118502
015 E040003 X
015 A000004 HSBC Hong Kong
015 B000004 S
015 C010004 Kowloon
015 D010004 Hong Kong
015 D020004 C00019
015 E040004 X
015 A000005 CIBC Mellon Trust Company
015 B000005 S
015 C010005 Toronto
015 D010005 Canada
015 D020005 M5H 4A6
015 E040005 X
015 A000006 HSBC Seoul
015 B000006 S
015 C010006 Bongrae-dong
015 D010006 South Korea
015 D020006 1-ga Seoul
015 E040006 X
015 A000007 Citibank N.A., Brazilian Branch
015 B000007 S
015 C010007 Sao Paulo
015 D010007 Brazil
015 D020007 01311
015 E040007 X
015 A000008 National Australia Bank
015 B000008 S
015 C010008 Melbourne
015 D010008 Australia
015 D020008 3000
015 E040008 X
015 A000009 Citibank International Plc
015 B000009 S
015 C010009 Brussels
015 D010009 Belgium
015 D020009 6-1140
015 E040009 X
015 A000010 HSBC Shanghai
PAGE 3
015 B000010 S
015 C010010 Shanghai
015 D010010 China
015 E040010 X
015 A000011 HSBC Shenzhen
015 B000011 S
015 C010011 Shenzhen
015 D010011 China
015 E040011 X
015 A000012 Euroclear Bank
015 B000012 S
015 C010012 Brussels
015 D010012 Belgium
015 D020012 1210
015 E040012 X
015 A000013 Skandinaviska Enskilda Banken AB

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015 B000013 S
015 C010013 Helsinki
015 D010013 Finland
015 D020013 00100
015 E040013 X
015 A000014 BNP Paribas Securities Services
015 B000014 S
015 C010014 Paris
015 D010014 France
015 D020014 93761
015 E040014 X
015 A000015 Deutsche Bank AG
015 B000015 S
015 C010015 Jakarta
015 D010015 Indonesia
015 D020015 10310
015 E040015 X
015 A000016 Intesa Sanpaolo S.p.A.
015 B000016 S
015 C010016 Milan
015 D010016 Italy
015 D020016 20152
015 E040016 X
015 A000017 The Bank of Tokyo Mitsubishi UFJ Ltd.
015 B000017 S
015 C010017 Tokyo
015 D010017 Japan
015 E040017 X
015 A000018 Banco Nacional de Mexico
015 B000018 S
015 C010018 Mexico City
015 D010018 Mexico
015 D020018 06695 DF
015 E040018 X
015 A000019 National Australia Bank - National Nominees
PAGE 4
015 B000019 S
015 C010019 Wellington
015 D010019 New Zealand
015 D020019 6000
015 E040019 X
015 A000020 Skandinaviska Enskilda Banken AB
015 B000020 S
015 C010020 Oslo
015 D010020 Norway
015 D020020 0252
015 E040020 X
015 A000021 Deutsche Bank AG
015 B000021 S
015 C010021 Makati City
015 D010021 Phillipines
015 D020021 1226
015 E040021 X
015 A000022 ING Bank (Eurasia) ZAO
015 B000022 S
015 C010022 Moscow
015 D010022 Russia
015 D020022 127473
015 E040022 X
015 A000023 Standard Bank of South Africa
015 B000023 S
015 C010023 Johannesburg

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015 D010023 South Africa
015 D020023 2001
015 E040023 X
015 A000024 Banco Bilbao Vizcaya Argentina
015 B000024 S
015 C010024 Madrid
015 D010024 Spain
015 D020024 28014
015 E040024 X
015 A000025 Skandinaviska Enskilda Banken AB
015 B000025 S
015 C010025 Stockholm
015 D010025 Sweden
015 D020025 SE-106 40
015 E040025 X
015 A000026 Credit Suisse AG
015 B000026 S
015 C010026 Zurich
015 D010026 Switzerland
015 D020026 8070
015 E040026 X
015 A000027 HSBC Taiwan
015 B000027 S
015 C010027 Taipei
015 D010027 Taiwan
PAGE 5
015 D020027 115
015 E040027 X
015 A000028 HSBC Bangkok
015 B000028 S
015 C010028 Bangkok
015 D010028 Thailand
015 D020028 10500
015 E040028 X
018 000000 Y
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020 A000001 BARCLAYS CAPITAL, INC.
020 B000001 06-1031656
020 C000001 41
020 A000002 MERRILL LYNCH & CO., INC.
020 B000002 13-2740599
020 C000002 33
020 A000003 STATE STREET GLOBAL MARKETS, LLC
020 B000003 04-3464336
020 C000003 27
020 A000004 CITIGROUP GLOBAL MARKETS INC.
020 B000004 11-2418191
020 C000004 23
020 A000005 STERNE, AGEE & LEACH, INC.
020 B000005 63-0463600
020 C000005 15
020 A000006 UBS FINANCIAL SERVICES, INC.
020 B000006 13-2638166
020 C000006 15
020 A000007 DEUTSCHE BANK SECURITIES, INC.
020 B000007 13-2730828
020 C000007 15
020 A000008 GOLDMAN SACHS & CO.
020 B000008 13-5108880
020 C000008 14
020 A000009 MACQUARIE CAPITAL (USA) INC.

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020 B000009 98-0141094
020 C000009 13
020 A000010 CREDIT AGRICOLE CHEUVREUX NORTH AMERICA, INC.
020 B000010 13-3867844
020 C000010 13
021 000000 374
022 A000001 DREYFUS TREASURY PRIME CASH MANAGEMENT
022 C000001 121098
022 D000001 109046
022 A000002 MORGAN STANLEY & CO., INC.
022 B000002 13-2655998
022 C000002 6169
022 D000002 28407
022 A000003 LEGG MASON WOOD WALKER, INC.
022 B000003 52-0902557

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022 C000003 14103
022 D000003 12829
022 A000004 CREDIT SUISSE SECURITIES (USA) LLC
022 B000004 05-0546650
022 C000004 5771
022 D000004 9528
022 A000005 NOMURA SECURITIES INTERNATIONAL, INC.
022 B000005 13-2642206
022 C000005 0
022 D000005 8367
022 A000006 JEFFERIES & CO., INC.
022 B000006 95-2622900
022 C000006 2075
022 D000006 2076
022 A000007 MORGAN STANLEY INSTITUTIONAL LIQUIDITY FUND
022 C000007 1960
022 D000007 1960
022 A000008 DEUTSCHE BANK SECURITIES, INC.
022 B000008 13-2730828
022 C000008 3602
022 D000008 0
022 A000009 RBC CAPITAL MARKETS CORP.
022 B000009 13-5033225
022 C000009 1671
022 D000009 1216
022 A000010 PIPER JAFFRAY & CO.
022 B000010 41-0953246
022 C000010 1494
022 D000010 859
023 C000000 161086
023 D000000 174287
024 000000 Y
025 A000001 DREYFUS TREASURY PRIME CASH MANAGEMENT
025 B000001 -
025 C000001 D
025 D000001 25739
025 A000002 CITIGROUP GLOBAL MARKETS, INC.
025 B000002 11-2418191
025 C000002 E
025 D000002 5003
025 A000003 NOMURA SECURITIES INTERNATIONAL, INC.
025 B000003 13-2642206
025 C000003 E
025 D000003 1318
025 A000004 BANK OF AMERICA
025 B000004 94-1687665

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025 C000004 E
025 D000004 2777
026 A000000 N
026 B000000 Y
026 C000000 Y

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026 D000000 Y
026 E000000 Y
026 F000000 N
026 G010000 N
026 G020000 N
026 H000000 N
027 000000 N
045 000000 Y
046 000000 N
047 000000 Y
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048 A010000 0
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PAGE 8
054 N000000 N
054 O000000 Y
055 A000000 N
055 B000000 Y

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| 070 | F020000 | N | |
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| 070 | L010000 | Y | |
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| 070 | M020000 | Y | |
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| 070 | O010000 | Y | |
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| 074 | J000000 | 5811 |
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| 074 | L000000 | 527 |
| 074 | M000000 | 70957 |
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| 074 | R030000 | 199 |
| 074 | R040000 | 90573 |
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| 074 | U020000 | 0 |
| 074 | V010000 | 17.91 |
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| 074 | Y000000 | 205650 |
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| 076 | 000000 | 15.25 |
| 077 | A000000 | N |
| 078 | 000000 | N |
| 080 | C000000 | 0 |

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| 086 | B010000 | 0 |
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| 086 | C020000 | 0 |
| 086 | D010000 | 0 |
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| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| 087 | A010000 | COMMON STOCK |
| 087 | A020000 | 18913Y103 |
| 087 | A030000 | GLV |
| 088 | A000000 | Y |
| 088 | B000000 | N |
| 088 | C000000 | N |
| 088 | D000000 | Y |
| SIGNATURE | Jill Kerschen | |
| TITLE | Assistant Treasurer | |