## Edgar Filing: GABELLI GLOBAL UTILITY & INCOME TRUST - Form 4

## GABELLI GLOBAL UTILITY & INCOME TRUST

Form 4

Common

Shares, Par Value

November 30, 2016

<b>FORI</b>	M 4								OMB A	PPROVAL
	UNITED	STATES		IRITIES ashington				OMMISSION	OMB Number:	3235-0287
	this box								Expires:	January 31,
subject Section	if no longer subject to Section 16. Form 4 or  STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES				NERSHIP OF	Estimated average burden hours per response 0.5				
	i i i i i i i i i i i i i i i i i i i	(a) of the	Public 1	Utility Ho	olding Co	ompa		e Act of 1934, 1935 or Section 0	·	
(Print or Type	e Responses)									
	l Address of Reporting I MARIO J	g Person *	Symbol GABE	ELLI GLO	OBAL U	TILI		5. Relationship of I Issuer (Check	Reporting Pers	
(Last)	(First)	(Middle)		ME TRU of Earliest	-	-		Director	10%	o Owner
C/O GAM	ICO INVESTORS E CORPORATE O	5,		/Day/Year)		,11		Officer (give t	itle _X_ Oth below) Person of Adv	er (specify
RYE, NY	(Street) 10580			mendment, l Ionth/Day/Ye	_	nal		6. Individual or Joi Applicable Line) _X_ Form filed by O Form filed by Me Person	ne Reporting Pe	erson
(City)	(State)	(Zip)	Ta	ble I - Non	-Derivati	ve Sec	urities Acq	uired, Disposed of,	or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution I any (Month/Da	Date, if	Code (Instr. 8)	4. Securities Acquired (A) oner Disposed of (D) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Shares, Par Value \$0.001	11/28/2016			Code V S	Amount 3,753		Price \$ 16.4247	0.638	I	E3M, LLC <u>(</u>
Common Shares, Par Value \$0.001	11/29/2016			S	0.638	D	\$ 16.395	0	I	E3M, LLC <u>(</u>

1,796

D

## Edgar Filing: GABELLI GLOBAL UTILITY & INCOME TRUST - Form 4

#### \$0.001

Common Shares, Par Value \$0.001	3,604	I	MJG Management LLC (2)
Common Shares, Par Value \$0.001	235,031.3083	I	Associated Capital Group, Inc.
Common Shares, Par Value \$0.001	1,895.48	I	Trust Account (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities	;		(Instr.	3 and 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable	^ [1]	Title	Number		
									of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Toporting of the Filmo, Filmos	Director	10% Owner	Officer	Other			
GABELLI MARIO J C/O GAMCO INVESTORS, INC ONE CORPORATE CENTER RYE, NY 10580				Control Person of Adviser			

Reporting Owners 2

### Edgar Filing: GABELLI GLOBAL UTILITY & INCOME TRUST - Form 4

# **Signatures**

Douglas R. Jamieson as Attorney-in-Fact for Mario J. Gabelli

11/30/2016

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares reported reflect the total shares owned by E3M, LLC for which Mario J. Gabelli serves as a member.
- (2) The shares reported reflect the total shares owned by MJG Management, LLC a limited liability company for which Mr. Gabelli serves as the member.
- The shares reported reflect the total shares owned by Associated Capital Group, Inc. (ACG). Mario J. Gabelli is the Executive Chairman
- (3) and controlling shareholder of ACG. Mr. Gabelli has less than a 100% interest in ACG and disclaims beneficial ownership of the shares held by it which are in excess of his indirect pecuniary interest.
- (4) The shares reported reflect the total shares owned by a trust account established by Mario J. Gabelli.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3