#### Edgar Filing: WHALEN DANIEL A - Form 5

WHALEN DANIEL A Form 5 November 01, 2006

#### OMB APPROVAL FORM 5 OMB UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations **OWNERSHIP OF SECURITIES** response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer WHALEN DANIEL A Symbol TETRA TECH INC [TTEK] (Check all applicable) (First) 3. Statement for Issuer's Fiscal Year Ended (Last) (Middle) (Month/Day/Year) Director 10% Owner Officer (give title \_\_\_X\_\_\_ Other (specify 09/30/2006 below) below) 5866 OSTRANDER ROAD Retired Director (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line)

### OAKLAND, CAÂ 94618

\_X\_ Form Filed by One Reporting Person \_\_\_\_ Form Filed by More than One Reporting Person

(City)	(State) (	Zip) Table	e I - Non-Deri	vative Sec	curitie	s Acqu	ired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi Acquirec Disposec (Instr. 3, Amount	l (A) o l of (D	))	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	Â	Â	Â	Â	Â	Â	374,992	I	See Note

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)		4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year) tive ties red sed 3,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Prio Deriv Secur (Instr.
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Options - Common Stock	Â	Â	Â	Â	Â	( <u>3)</u>	(4)	Common Stock	24,000	Â

## **Reporting Owners**

Reporting Owner Name / Address		Rel	ationship	98
	Director	10% Owner	Officer	Other
WHALEN DANIEL A 5866 OSTRANDER ROAD OAKLAND, CA 94618	Â	Â	Â	Retired Director
Signatures				

Jeffrey D. Cornelius, For Brown Investment Advisory & Trust Co., Under Power of Attorney Dated 1/22/99					
**Signature of Reporting Person	Date				

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares are held in the name of the Daniel A. Whalen 2006 Grantor Retained Annuity Trust, dated May 6, 2006 [187,496 shares] and the Katharine C. Whalen Grantor Retained Annuity Trust, dated May 6, 2006 [187,496 shares].
- (2) Exercise price for options issued 3/1/2003 is \$13.16 per share. Exercise price for options issued 3/1/2004 is \$21.41 per share. Exercise price for options issued 3/1/2005 is \$16.55 per share.
- (3) Options are fully vested.
- (4) Options granted on 3/1/2003 expire 3/2/2013. Options granted on 3/1/2004 expire 3/2/2014. Options granted on 3/1/2005 expire 3/2/2015.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.