KISSEL JOHN D Form 4 January 06, 2010

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

KISSEL JOHN D

(Last)

1. Name and Address of Reporting Person \*

(First)

2. Issuer Name and Ticker or Trading

Symbol

PEAPACK GLADSTONE

FINANCIAL CORP [PGC]

3. Date of Earliest Transaction

(Month/Day/Year)

190 MAIN STREET, PO BOX 178 01/04/2010

(Middle)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

\_X\_\_ Director 10% Owner Officer (give title \_ Other (specify

below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

GLADSTONE, NJ 07934

(City)	(State) (Z	Zip) Table	e I - Non-D	Perivative Securities A	cquired, Disposed	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock				1 mount (2) 1 m	37,578 <u>(5)</u>	D	
Common Stock					1,689 <u>(5)</u>	I	Spouse
Common Stock					1,949 <u>(5)</u>	I	Child
Common Stock					1,937 (5)	I	Child
Common Stock					1,937 (5)	I	Child

#### Edgar Filing: KISSEL JOHN D - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	Transaction Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A	) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock option (right to buy)	\$ 14.93 (5)						(3)	03/08/2011	Common Stock	4,074 (5)
Stock option (right to buy)	\$ 27.51 (5)						<u>(1)</u>	01/09/2014	Common Stock	11,550 (5)
Stock option (right to buy)	\$ 26.76 (5)						(2)	01/03/2017	Common Stock	2,310 (5)
Stock Option (right to buy)	\$ 23.4 (5)						<u>(4)</u>	01/02/2018	Common Stock	2,310 (5)
Stock Option (right to buy)	\$ 13.43	01/04/2010		A	5,0	00	<u>(6)</u>	01/04/2020	Common Stock	5,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
	X					

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KISSEL JOHN D 190 MAIN STREET PO BOX 178 GLADSTONE, NJ 07934

## **Signatures**

John D. Kissel 01/06/2010

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The options were exercisable in five equal annual installments on January 9, 2005, 2006, 2007, 2008 and 2009. On December 8, 2005, the Compensation Committee of the Board of Directors of Peapack-Gladstone Financial Corporation authorized the accelerated vesting of the remaining options.
- (2) The options are exercisable in five equal annual installments on January 3, 2008, 2009, 2010, 2011 and 2012.
- (3) The options were exercisable in five equal annual installments on March 8, 2002, 2003, 2004, 2005 and 2006.
- (4) The options are exercisable in five equal annual installments on January 2, 2009, 2010, 2011, 2012 and 2013.
- (5) Adjusted for 5% stock dividend payable on 7/9/2009
- (6) The options are exercisable in five equal annual installments on January 4, 2011, 2012, 2013, 2014 and 2015.
- (7) Option grant has no purchase or sale price

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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