#### COLUMBUS MCKINNON CORP

Form 4 May 20, 2009

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16. Form 4 or Form 5

obligations may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

1.Title of

Security

(Instr. 3)

1. Name and Address of Reporting Person \*

HARVEY TIMOTHY R

(First) (Middle)

140 JOHN JAMES AUDUBON **PARKWAY** 

(Street)

(State)

AMHERST, NY 14228

3. Date of Earliest Transaction

(Month/Day/Year) 05/18/2009

Symbol

[CMCO]

4. If Amendment, Date Original

3.

A

Α

Filed(Month/Day/Year)

2. Issuer Name and Ticker or Trading

COLUMBUS MCKINNON CORP

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

2. Transaction Date 2A. Deemed 4. Securities (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Code Disposed of (D) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5)

> (A) or Price Code V Amount (D)

> > 887 (1)

1,963

(3)

D

Α

\$0

\$0

05/18/2009

(Zip)

Common 05/18/2009 Stock

Common Stock

Common

Stock

Common Stock

4,893

789,344

1,455 (4)

I

Additional shares held by ESOP:

7. Nature of

Indirect

Beneficial

Ownership

(Instr. 4)

reporting

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005 Estimated average

burden hours per response...

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify

General Counsel

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Ownership

Direct (D)

or Indirect

(Instr. 4)

D

D

D

Form:

6.

Person

5. Amount of

Securities

Owned

Beneficially

**Following** 

Reported

 $2,930^{(2)}$ 

Transaction(s)

(Instr. 3 and 4)

below)

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person is 1 of 3 trustees; **DISCLAIMS** beneficial ownership.

(9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

E S	Title of Derivative ecurity instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exerci Expiration Dat (Month/Day/Y	e	7. Title and Amour Underlying Securit (Instr. 3 and 4)	
					Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Share
S	Non-Qualified tock Options Right to Buy)	\$ 28.45					05/19/2009	05/18/2018	Common Stock	1,2
S	Non-Qualified tock Options Right to Buy)	\$ 13.27	05/18/2009		A	3,678	<u>(6)</u>	05/17/2019	Common Stock	3,6

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
HARVEY TIMOTHY R 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228			General Counsel			

# **Signatures**

Timothy R. 05/19/2009 Harvey

\*\*Signature of Date Reporting Person

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents restricted stock untis forfeitied for failure of Company to achieve performance targets.
- Includes 680 shares of restricted stock issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive (2) Plan dated as of May 4, 2006, subject to forfeiture in whole or part; shares become fully vested and non-forfeitable 33.33% on May 19, 2011, 33.33% on May 19, 2012 and 33.33% on May 19, 2013; if reporting person remains an employee of issuer.
- Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan (3) dated as of May 4, 2006, subject to forfeiture in whole or part; units become fully vested and non-forfeitable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
- (4) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Plan, as amended (the "ESOP").
- (5) Exercisable 25% per year for four years, beginning 5/19/09, subject to IRS limitations.
- Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive (6) Plan dated as of May 4, 2006; options become exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.