#### Edgar Filing: ALLIANCE RESOURCE PARTNERS LP - Form 4

#### ALLIANCE RESOURCE PARTNERS LP

Form 4

February 07, 2005

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Number: January 31, 2005

**OMB APPROVAL** 

Section 16.
Form 4 or
Form 5

SECURITIES

Filed pursuant to Section 16(a) of the Securities Evaluates Act of 1024

Estimated average burden hours per response... 0.5

obligations may continue. *See* Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \*\* WILKERSON DALE G

(First)

(Street)

2. Issuer Name **and** Ticker or Trading

Issuer

Symbol Symbol

(Middle)

(Zip)

ALLIANCE RESOURCE

(Check all applicable)

5. Relationship of Reporting Person(s) to

PARTNEI

PARTNERS LP [ARLP]
3. Date of Earliest Transaction

\_\_\_\_ Director \_\_\_\_\_ 10% Owner X\_ Officer (give title \_\_\_\_\_ Other (specify

1717 S. BOULDER

(Month/Day/Year) 02/06/2005 \_\_X\_\_ Officer (give title \_\_\_\_ Other (special below)

Vice President and Controller

(Instr. 4)

AVENUE, SUITE 600

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_ Form filed by More than One Reporting

**TULSA, OK 74119** 

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

(City) (State)

2. Transaction Date 2A. Deemed 3. 4. Securities (Month/Day/Year) Execution Date, if TransactionAcquired (A) or

5. Amount of 6. Owner Securities Form: Deneficially (D) or

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership

(Instr. 4)

Security (Month/Day/Year) Execution Date, if (Instr. 3) any (Month/Day/Year)

TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

Following Reported Transaction(s)

Owned

(A) Transaction(s)
or (Instr. 3 and 4)

Common unit

1. Title of

695 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number op f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pric Deriva Securi (Instr.
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom unit	(2)					<u>(1)</u>	<u>(5)</u>	Common unit	2,161	
Restricted unit	(2)					(3)	(5)	Common unit	2,000	
Restricted unit	(2)					<u>(4)</u>	(5)	Common unit	1,400	
Restricted unit	<u>(2)</u>	02/06/2005		A	750	<u>(6)</u>	(5)	Common unit	750	<u>(5</u> )

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

WILKERSON DALE G 1717 S. BOULDER AVENUE SUITE 600 **TULSA, OK 74119** 

Vice President and Controller

### **Signatures**

Dale G. 02/07/2005 Wilkerson \*\*Signature of Date

Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Phantom Units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee, upon **(1)** the reporting person's death or termination.
- **(2)** 1 for 1
- The Restricted units will vest on September 30, 2005 provided that the issuer meets certain financial tests. Once vested the **(3)** restricted units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee.

Reporting Owners 2

**(4)** 

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The Restricted units will vest on December 31, 2006 provided that the issuer meets certain financial tests. Once vested the restricted units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee.

- (5) Not applicable
- The Restricted units will vest on January 1, 2008 provided that the issuer meets certain financial tests. Once vested the restricted units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.