

SPECTRUM CONTROL INC
 Form 4
 November 03, 2005

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 SOUTHWORTH RICHARD A

2. Issuer Name and Ticker or Trading Symbol
 SPECTRUM CONTROL INC
 [SPEC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
 8031 AVONIA ROAD
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)
 11/01/2005

Director 10% Owner
 Officer (give title below) Other (specify below)
 President

FAIRVIEW, PA 16415
 (City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) Code V Amount (D) Price | | | |
| Common Stock | | | | | 1,425 | D ⁽⁹⁾ | |
| Common Stock | | | | | 94,868 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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- (2) 2/3rds of the options are currently exercisable and the remaining 1/3rd are exercisable 3/7/06.
- (3) 1/3rd of the options are currently exercisable, 1/3rd are exercisable on 1/31/06, and the final 1/3rd are exercisable on 1/31/07.
- (4) 1/3rd of the options are exercisable 1/19/06, 1/3rd are exercisable 1/19/07, and the remaining 1/3rd are exercisable 1/19/08.
- (5) 1/3rd of the options are exercisable 1/19/07, 1/3rd are exercisable 1/19/08, and the remaining 1/3rd are exercisable 1/19/09.
- (6) 1/3rd of the options are exercisable 1/19/07, 1/3rd are exercisable 1/19/08, and the remaining 1/3rd are exercisable 1/19/09.
- (7) 1/3rd of the options are exercisable 11/1/07, 1/3rd are exercisable 11/1/08, and the remaining 1/3rd are exercisable 11/1/09.
- (8) * All are Granted under Spectrum Control, Inc. Employee Stock Option Plan which is a Rule 16b-3 Plan.
- (9) These shares are held jointly with the reporting persons spouse.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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