## Edgar Filing: CALIFORNIA WATER SERVICE GROUP - Form 4

CALIFORNIA WATER SERVICE GROUP Form 4

November 07, 2016

11/05/2016

Stock

November 0	7,2010									
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL		
	UNITED	STATES		ITIES Al hington, ]			NGE (	COMMISSION	OMB Number:	3235-0287
Check this box if no longer STATEMENT OF CHANG				GES IN BENEFICIAL OWNE				NERSHIP OF	Expires:	January 31, 2005
Subject to					SECURITIES					average rs per 0.5
Form 5 obligation may cont <i>See</i> Instru 1(b).	ns Section 17(a	) of the	Public Ut		ing Com	ipany	Act of	e Act of 1934, f 1935 or Sectio 40	response n	
(Print or Type F	Responses)									
Webb Ronald D Symbol			r Name <b>and</b> Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer			
				ORNIA W [CWT]	ATER S	SERV	VICE	(Check all applicable)		
(Last)         (First)         (Middle)         3. Date of           1720 NORTH FIRST STREET         11/03/20			-				Director 10% Owner Officer (give title Other (specify below) below)			
1/20110111	(Street)	-		ndment, Dat	o Original				uman Resource	
	(5000)			th/Day/Year)	e Original			6. Individual or Jo Applicable Line) _X_ Form filed by 0		
SAN JOSE,	CA 95112							Form filed by M Person	Iore than One Re	porting
(City)	(State) (	Zip)	Table	e I - Non-De	erivative S	Securi	ties Acc	uired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any	med on Date, if Day/Year)	3. Transactio Code (Instr. 8)		spose	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/03/2016			Code V F	Amount 25 (1)	(D) D	Price \$ 30.7	(Instr. 3 and 4) 7,280	D	
Common	11/05/001/			F	21 (2)	D	\$	<b>7 95</b> 0	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

F

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

D

\$ 30.3

7,259

21 <u>(2)</u> D

## Edgar Filing: CALIFORNIA WATER SERVICE GROUP - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Unde Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Webb Ronald D 1720 NORTH FIRST STREET SAN JOSE, CA 95112			VP, Human Resources					
Signatures								
/s/ Michelle Mortensen on behal Webb	f of Rona	ld D.	11/07/2016					
**Signature of Reporting Pe	rson		Date					

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock Award (RSA) Award #345.
- (2) Represents the number of shares withheld by and surrendered to the Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock Award (RSA) Award #297.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.