Natalizia Michael J Form 4 June 13, 2018

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Natalizia Michael J Issuer Symbol AstroNova, Inc. [ALOT] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify C/O ASTRONOVA, INC., 600 E 06/11/2018 below) **GREENWICH AVENUE** Vice President and CTO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### W WARWICK, RI 02893

(City)	(State) (	(Zip) Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	06/11/2018		F	343	D	\$ 18.15	19,505 <u>(1)</u>	D	
Common Stock	06/12/2018		F	2,490	D	\$ 18.15	17,015	D	
Common Stock	06/13/2018		S	4,000	D	\$ 19.1 (2)	13,015	D	
Common Stock	06/11/2018		M	1,000	A	\$ 6.22	14,015	D	
Common Stock	06/12/2018		M	1,000	A	\$ 7.36	15,015	D	

**OMB APPROVAL** 

3235-0287

January 31,

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Common Stock	06/12/2018	M	1,000	A	\$ 7.95	16,015	D
Common Stock	06/12/2018	M	3,575	A	\$ 8.35	19,590	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Purchase)	\$ 6.22	06/11/2018		M		1,000	03/18/2009	03/18/2019	Common Stock	1,000
Stock Option (Right to Purchase)	\$ 7.36	06/12/2018		M		1,000	03/15/2010	03/15/2020	Common Stock	1,000
Stock Option (Right to Purchase)	\$ 7.95	06/12/2018		M		1,000	03/14/2011	03/14/2021	Common Stock	1,000
Stock Option (Right to Purchase)	\$ 8.35	06/12/2018		M		3,575	03/29/2012	03/29/2022	Common Stock	3,575

# **Reporting Owners**

Relationships Reporting Owner Name / Address

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Director 10% Owner Officer Other

Natalizia Michael J C/O ASTRONOVA, INC. 600 E GREENWICH AVENUE W WARWICK, RI 02893

Vice President and CTO

### **Signatures**

Margaret V. Boericke, by power of attorney

06/13/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This amount includes shares purchased through the AstroNova, Inc. Employee Stock Purchase Plan throughout 2018.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$18.10 to \$19.15. The reporting person undertakes to provide to AstroNova, Inc., any security holder of AsroNova, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within such range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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